PROFESSIONAL SERVICES BULLETIN

BULLETIN SCHEDULE
(except otherwise noted)

Submittals for projects appearing in this Professional Services Bulletin are due by 11:30 a.m., March 13, 2014

The projects from this bulletin are scheduled to be presented at the May 13, 2014 Board Meeting

NOTICE TO RECIPIENTS:
Please read carefully and follow all rules and instructions in this document.

REMINDER: You are able to access the 255 Form (Revised January 2013) in the Reference Library Section of CDB’s website. Use only this version of the form for submittal.

 Posted to website
 February 27, 2014

Board Meeting results can be found on CDB’s website
 May 14, 2014

CDB’s Internet Address:
www.illinois.gov/cdb

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Volume 195
February 2014
The procurement of design services by the Capital Development Board (CDB) is governed by the Illinois Compiled Statutes; Illinois Procurement Code, 30 ILCS 500 and Architectural, Engineering, and Land Surveying Qualifications Based Selection Act, 30 ILCS 535.

This bulletin is the advertisement to procure design services whose official notification is made via the CDB’s website, www.illinois.gov/cdb.

The responsible State Purchasing Officers for procurements under this bulletin are Mike Hays and Ken Morris.

Listed below are important guidelines, instructions and general information. Please read the following information carefully.

Unless otherwise specified, submittal(s) are to be sent to:

Qualifications Based Selection (QBS) Department  
Capital Development Board  
3rd Floor, William G. Stratton Building  
401 South Spring Street  
Springfield, IL  62706

The CDB is not responsible for submittal(s) sent to any individual within the CDB or to another office.

SUBMITTAL REQUIREMENTS:

● **Prequalification requirements in accordance with 44 Illinois Administrative Code 980.130:**

  a) All Architect/Engineers (A/E’s) submitting statements of qualifications for a project shall be prequalified with the CDB prior to the date and time that the submittal(s) are due. Failure to be prequalified will result in rejection of the submittal(s).

  b) Consultants that will be performing architectural, engineering or land surveying professional services for the Prime A/E submitting the 255 form shall be prequalified with the CDB prior to the date and time that the submittal(s) are due. Failure of the professional consultants to be prequalified shall result in rejection of the Prime A/E’s submittal(s).

For Joint Ventures, if any of the members of the Joint Venture is required to be registered with the Board of Elections, then please submit the registration certificate for each applicable member with the 255 form.

● **Financial Disclosures and Conflicts of Interest and Standard Certifications.**

In accordance with 30 ILCS 500/50-35, the financial disclosures and conflicts of interest of the prime A/E are required to be submitted with the 255 form. The standard certifications are also to be included in the submittal of the 255 form.

For Joint Ventures, the financial disclosures and conflicts of interest and standard certifications of each member of the Joint Venture are required to be submitted with the 255 form.
**Joint Ventures.**

Two or more prequalified A/E’s may submit a 255 form as a Joint Venture. If a Joint Venture submits a 255 form, the CDB may require disclosure of the contractual arrangement between the members of the Joint Venture prior to selection award. At a minimum, the Joint Venture documents shall identify the consent of the insurance underwriters to insure the Joint Venture is in accordance with the CDB requirements. If awarded the project, the parties of the subsequent contract will be the CDB and the Joint Venture. For partnership arrangements between firms who do not have a contractual joint venture relationship, one firm shall submit the 255 form as the prime A/E and the other firm(s) shall be listed as a consultant.

**PREQUALIFICATION:**

- Any firm providing architectural, engineering, asbestos abatement design services or land surveying must be prequalified with CDB.

- To verify that all design firms (prime and/or consultants) are currently prequalified with the CDB, please access CDB’s website, [http://www.cdb.state.il.us/forms/download/ARCH.pdf](http://www.cdb.state.il.us/forms/download/ARCH.pdf).

- To obtain prequalification forms you may access the CDB’s website, [http://www.cdb.state.il.us/forms/download/AEprequal.7.1.2013.doc](http://www.cdb.state.il.us/forms/download/AEprequal.7.1.2013.doc) or contact Jim Dautel at (217) 782-1383.

- The CDB requires within its prequalification process that all firms obtain an Illinois Department of Human Rights eligibility number.

- Please notify Jim Dautel within ten (10) days of any significant changes in the information provided in your firm’s prequalification application form.

- If selected, the CDB prequalification is required until the issuance of the contract. It is the responsibility of all firms to maintain a current CDB prequalification status.

- Corporations, LLPs and LLCs shall be in good standing with the Illinois Secretary of State, Corporation Division, to be granted prequalification and issued a contract.

- Firms must be properly licensed in the respective profession(s) with the Illinois Department of Professional Regulation and the Illinois Department of Public Health.

- Each member of a Joint Venture shall be prequalified with the CDB as an A/E. The Joint Venture does not need to submit a separate prequalification form; however, each member of the Joint Venture shall be prequalified separately under the CDB A/E prequalification process as described in this section.

**SUBMITTAL PROCEDURES:**

- **REMINDER:** The revised 255 form is located at the CDB’s website at [http://www.cdb.state.il.us/forms/download/255 FORM 1.2013.docx](http://www.cdb.state.il.us/forms/download/255 FORM 1.2013.docx). A copy of this form is no longer included in this publication. Use only this version of the form for submittal. The 255 form contains the financial disclosures and conflicts of interest and standard certifications. **Previous versions of the 255 form will not be accepted.**
● One (1) original and three (3) copies of the 255 form are necessary for each project submittal. The original submittal must include one (1) copy of the Board of Elections registration certificate and one (1) copy of the final disclosure and certifications. Please do not staple the original submittal, but rather attach with a binder clip. The submittal of the three (3) copies may be stapled.

● By making a submittal, the firm attests that it has read and agrees or conforms to the standard certifications required by the Professional Services Agreement (PSA) and the current Design and Construction Manual, along with the current supplement.

● **Please do not include pictures or other graphics.** The submittal is not intended to be a marketing brochure. Provide only the information requested. It is not necessary to submit a copy of your CDB prequalification letter.

● Cover/transmittal letters on the 255 form or accordion binders will not be accepted. The 255 form is the only information accepted as the submittal.

● The name of the firm making the submittal must be the legal name associated with the taxpayer’s identification number and as provided on that firm’s prequalification application.

● The firm making the submittal must be the office location where the work is being performed. The office location must be prequalified either as the home office or branch office. **Branch offices not prequalified will not be accepted.**

● For Joint Venture submittals, all members of the Joint Venture must be identified on the front page of the 255 form, the design discipline identified for each member, and the anticipated percentage of services to be provided by each member. **The office location of each Joint Venture member must be prequalified with the CDB.**

● The original submittal must contain the signature of the firm’s president, vice president, partner, sole owner or any other person authorized to execute business for the firm.

● Submittal(s) for more than one project may be mailed or packaged together. Separate envelopes are not required.

● The submittal shall include the names of persons who will perform the services, including their project assignment or duties, as well as a resume of their experience and expertise that qualifies them to perform the assignment. This includes the listed consultant’s designated staff.

● The A/E shall clearly indicate the anticipated percentage of the services that will be performed by each listed consultant.

● The prime A/E must use the consultants and staff as submitted in their 255 form. Consultants are considered first tier and shall hold a contract directly with the firm submitting the 255 form.

● **Project team changes will not be allowed without prior written approval of the CDB’s Executive Director.**

● Faxed submittal(s) will not be accepted.

● Submittal(s) received on the designated date at or prior to 11:30 a.m. (CST) deadline will be given consideration. If a delivery service (USPS, UPS, and FedEx) is used, the CDB strongly encourages that submittals be delivered one day before the deadline date.
• Provide your official Board of Elections certificate of registration issued electronically by the Board of Elections. Include only your certification and not those of your consultants. Certification of all members of a Joint Venture shall be submitted with the 255 form.

• Provide the financial disclosures and conflicts of interest and standard certifications for each applicable owner of the prime A/E or each member of a Joint Venture (see instructions on disclosure form).

• Do not include any additional information such as a prequalification letter, minority certification, etc.

• Do not include the name of your firm on the consultant page.

• Do not include fractions when showing the percentages of work done by any consultants.

• When showing the percentage of work performed by consultants in Item #4, the number should reflect the total of percentage as shown under the heading “Percentage” in the second column of the consultant page. For example, if you have three consultants and one is doing 10 percent MBE/FBE and another is doing 5 percent MBE/FBE, then 15 percent is what you will put in Item #4.

REJECTION OF SUBMITTALS WILL OCCUR FOR THE FOLLOWING REASONS:

• Submittals received after the designated due date and time as stated on the PSB.

• Submittals received from a branch office that is not prequalified with the CDB.

• Failure of any of the members of the Joint Venture to be prequalified.

• Failure of proper identification and services to be provided by members of the Joint Venture at the time of the 255 submittal.

• Failure to submit the disclosure of the contractual arrangement between members of a Joint Venture when requested by the CDB.

• Determination by the CDB that the contractual arrangement between Joint Venture members is not approved.

• Including pictures or any graphics.

• Failure of the prime A/E firm to be prequalified.

• Failure of the consultants (providing regulated design services) to be prequalified will result in rejection of the prime A/E’s submittal(s).

• Any incorrect, incomplete or misleading information given on the 255 form may result in the rejection of the submittal. Please be sure to have the information completed in its entirety.

• Failure to sign the 255 submittal(s).

• Failure to comply with 30 ILCS 500/50-35 by not submitting prime A/E financial disclosures and conflicts of interest.
If a firm’s or their consultant’s prequalification expires during the selection, award or contract negotiation process, the CDB reserves the right to remove the submittal from consideration, recommend another firm for final selection or cease negotiations of a contract and commence negotiations with another firm.

SELECTION PROCESS:

- Selection of design firms by the CDB is not based on competitive bidding but on the firm’s professional qualifications. Consideration criteria may include, but are not limited to, experience and expertise of professional personnel assigned to the project, relevant project experience, prior performance, willingness to meet time requirements, proximity to site, workload/recent selections, minority and female business enterprise participation and Veterans Business Program (VBP) participation.

- The CDB strongly encourages MBE/FBE firms to apply for selection as the prime A/E and to seek participation as a consultant with prime A/E firms.

- Effective May 24, 2013, for each project with an estimated basic services fee of $75,000 or more, a minimum combined MBE/FBE of 15 percent for the A/E design team is applicable.

- The CDB Fair Employment Practices Department will set participation goals for minority and female-owned business enterprises and veterans-owned businesses. See individual project description notice sheet for specific goal information. No A/E that fails to meet the goal shall be considered unless it provides sufficient evidence to the CDB within seven (7) days after submitting its proposal that, after making a good faith effort, it was unable to find sufficient minority or female-owned business enterprises willing and able to perform the work. The CDB strongly encourages the firms to submit evidence of good faith effort along with their 255 submittal.

- An A/E attempting to demonstrate that it made a good faith effort to meet minority and female business enterprise goals shall submit:

1. All information indicating why the specified goal cannot be met;
2. A list of all minority and female business enterprises that were contacted;
3. Copies of all solicitation letters to minority and female business enterprises containing, at a minimum:
   A) Project title and location;
   B) Classification of work items for which consultants are sought;
   C) Date, time and place responses are due;
   D) Returnable acknowledgement of the solicitation;
4. Evidence, such as a log of telephone contact, including time and date of call, telephone number and name of person spoken to;
5. Any other evidence of good faith effort or other relevant information in support of the request.

Upon review, the CDB may reject a submittal based on insufficient evidence of a “Good Faith Effort.”

- Subsequent to 255 submittal deadline, members of the A/E Selection Committee are not available to discuss project specifics. The CDB procedures ensure that all members of the committee are provided with detailed information concerning prior performance, current projects and all submittal information by the A/E firms.

- For interview level projects, all parties, including ALL CONSULTANTS, shall be available to participate in the interview process. Not all firms that submit will be interviewed. The A/E Selection
Committee will select 3-5 (typically 4) firms to participate in the interview process.

- Results of the selection process are available on the CDB’s website (www.illinois.gov/cdb) the day after the Board Meeting as designated on the respective bulletin cover.

DEBRIEF MEETING POLICY:

- All successful and unsuccessful offerors may request a debrief meeting within seven (7) days of an A/E selection being posted to the CDB’s website. The request may be made in writing or by telephone. To the extent practicable, the meeting will occur within thirty (30) days of receipt of a request.

- The meeting shall be conducted in person, by teleconference or by any other method deemed acceptable by the CDB. The scope of the meeting shall be limited to the offeror’s capabilities and to the submittal and/or interview being addressed. The meeting will only occur in a scheduled meeting or teleconference with the Chairman of the Selection Committee or their designee. The meeting may be limited to thirty (30) minutes. No more than three (3) persons may attend an in-person meeting on behalf of the offeror.

- No meeting will be conducted if any firm or team protests the procurement or takes legal action against CDB. In such cases, the meeting shall be delayed until any protests or legal actions have been resolved.

- Requests should be submitted to:

  Qualifications Based Selection (QBS) Department  
  Capital Development Board  
  3rd Floor, William G. Stratton Building  
  401 South Spring Street  
  Springfield, IL  62706

or by telephone to:

  (217) 558-4263

FEE NEGOTIATION:

- To ensure that the completion of the project is not delayed by extended or long negotiations before the start of the contract work, the CDB representative negotiating the contract will state the length of time the firm will be limited to in preparing its estimate of effort and cost.

  Should the CDB be unable to successfully negotiate a contract with the recommended A/E, the CDB will immediately proceed to negotiate with the second ranked firm.

- The determination of compensation for the performance of basic services is through negotiation of fees and services with the CDB based on the following criteria:

  1. The project type classification.
  2. The scope of basic services required to complete the agreed upon project scope.
  3. The degree of difficulty of the scope of basic services’ tasks as applied to the particular project.
  4. The proposed project construction budget. (Used primarily as a benchmark reference.)
Projects shall be classified as either new construction (N) or remodeling (R). Each classification is broken down into three levels of complexity as described below:

- **Group I** Generally, the most complex projects in both design and detail and shall involve complex programs, mechanical systems, code requirements, etc.
- **Group II** Generally, projects with normal or average complexity may include a combination of more and less complex elements in the scope.
- **Group III** Generally, these projects shall be of simple or repetitive construction without any great degree of special finish or design effort. May include projects where equipment purchases comprise a large portion of the construction budget.

- The services to be provided by the firm will conform to requirements included in the latest version of the CDB Professional Services Agreement, the Design and Construction Manual, the Standard Documents for Construction and the Centralized Fee Negotiation Professional Services and Fees Handbook.
State Board of Elections Certification

The Prime A/E will need to certify whether or not registration with the Board of Elections is applicable. This certification is provided in the revised 255 form. If registration is required, then the prime A/E should provide the certificate with each 255 submittal so that compliance is easily identified.

Section 20-160 of the Illinois Procurement Code (30 ILCS 500/20-160) requires that any bidder/vendor be registered with the Board of Elections if: 1) the company’s annual total of bid/proposals on State contracts in a given calendar year exceed $50,000; 2) the company’s annual total of bid/proposals on State contracts, combined with the annual total of State contracts already awarded in a calendar year, exceed $50,000; or 3) the company’s annual total of State contracts already awarded in a calendar year exceed $50,000. The Procurement Code also contains limitations on campaign contributions by State Vendors and their affiliated entities.

The 255 form is considered a proposal and, therefore, is subjected to the above requirement. Although exact contract amounts are not determined until negotiations are completed, projects typically listed in the bulletin could have total professional services contract values in excess of $50,000. Therefore, by submitting the 255 form(s), your firm may need to place an emphasis on registering with the Board of Elections.
Financial Disclosures and Conflicts of Interest
30 ILCS 500/50-35

In accordance with the Illinois Procurement Code, the prime A/E is required to submit the Financial Disclosures and Conflicts of Interest form for each applicable owner with the 255 submittal form for each project. This 255 submittal should also include the Standard Certifications form. The forms must be completed in their entirety and signed. Instructions for the disclosures are included within the form.

Failure to provide the Financial Disclosures and Conflicts of Interest form and the Standard Certifications form with the submittal shall be a material deficiency and will result in rejection of the submittal.

Projects included in this bulletin will be subject to other Procurement Code requirements which will include, but not be limited to, the submittal of consultant subcontracts over $50,000 in value, financial disclosures and conflicts of interest and standard certifications of all primes and those consultants over $50,000 in contract value (renewal on an annual basis). The subcontracts and financial disclosures from the consultants are not to be submitted until after the execution of the prime A/E contract.

In addition, all non-prequalified consultants will need to be registered with the CDB prior to the execution of their subcontract with the prime A/E. Contact Jim Dautel at jim.dautel@illinois.gov or (217) 782-1383 regarding the registration process.

Highlights of the Procurement Code include, but are not limited to, consultant financial disclosures and subcontracts to be submitted to the CDB; annual re-certifications of all primes and consultants; contracts, subcontracts and other project documentation to be available to the Chief Procurement Officer, State Purchasing Officer, and Procurement Compliance Monitors for review; and procurement communications reporting and lobbyist communication reporting.
The Act requires a fee of $15 to cover expenses related to the administration of the Minority Contractor Opportunity Initiative. Any vendor awarded a contract of $1,000 or more from this solicitation is required to pay a fee of $15. The Comptroller shall deduct the fee from the first check issued to the vendor under the contract and deposit the fee in the Comptroller’s Administrative Fund.
Supplement to the
Design and Construction Manual (DCM)

Effective with the PSB 181, the new supplement to the DCM will be added to the Professional Services Agreement (contract) for all projects selected on or after October 26, 2012.

The supplement includes requirements regarding the Procurement of Domestic Products Act (Public Act 94-0540, 30 ILCS 517) and the revised Project Manual Workbook for Asbestos, Lead, UST and PCB.

A/E Fee Compensation Increase

At the September 11, 2012, meeting of the CDB, the Board approved an increase in compensation negotiated and paid to the A/E for basic services and additional services.

The revision in basic services compensation will be a 10 percent across-the-board increase in the fee rates negotiated by the CDB.

The revision in the additional services compensation is an allowance for meeting attendance for small projects. This compensation is structured as tier level as follows:

- $2,000 for projects $300,000 and under
- $1,500 for projects $300,001 to $500,000
- $1,000 for projects $500,001 to $900,000
- $500 for projects $900,001 to $1,200,000

The increases in the basic services and additional services will become effective for those projects advertised on or after September 27, 2012. The projects listed in the PSB 182, December 11, 2012 Board Meeting, are subjected to the fee increases.

The fee rate tables will be revised and added to the Centralized Fee Negotiation Handbook as a supplement. These revised fee tables will be available prior to the selection of the PSB 182 projects.
Prevailing Wage Requirements

Pursuant to Illinois Compiled Statutes 820 ILCS 130/.01 et seq. the Illinois Department of Labor (DOL) has established a prevailing rate of wages for on-site materials testers for the following counties:

Boone       Carroll       Cook       DeKalb
DuPage      Grundy       Kane       Kankakee
Kendall     Lake         Lee        McHenry
Ogle        Will         Winnebago

A/E’s and their consultants selected for projects in these counties must comply with all applicable provisions of the Prevailing Wage Act 820 ILCS 130/.01 et seq.

Material Testers are defined by the DOL as:

**MATERIAL TESTER I: Hand coring and drilling for testing of materials; field inspection of uncured concrete and asphalt.**

**MATERIAL TESTER II: Field inspection of welds, structural steel, fireproofing, masonry, soil, facade, reinforcing steel, formwork, cured concrete, and concrete and asphalt batch plants; adjusting proportions of bituminous mixtures.**

Note: the prevailing wage has not been established for the counties not listed above.

All of the above-mentioned requirements shall be effective for all contracts entered into as a result of selections from PSB 185 and all subsequent bulletins shall require that the A/E comply with the above requirements. These requirements shall also be applicable to contracts resulting from all other types of A/E selections on or after May 14, 2013.
Apprenticeship and Training Requirements

To ensure the highest quality and safety for on-site construction related work, all selected A/E’s and/or their consultants will be required by contract to participate in applicable apprenticeship and training programs approved by and registered with the United States Department of Labor’s Bureau of Apprenticeship and Training for all on-site construction related activities, including but not limited to material testing and drilling.

All of the above-mentioned requirements shall be effective for all contracts entered into as a result of selections from PSB 185 and all subsequent bulletins shall require that the A/E comply with the above requirements. These requirements shall also be applicable to contracts resulting from all other types of A/E selections on or after May 14, 2013.
The Eagle Creek State Park is a 11-building facility constructed in 1975.

The scope of work provides for increasing the septic tank capacity at Eagle Creek Resort, repairing the sewage lagoon, increasing the capacity of lift stations and installing sewer lines under Lake Shelbyville to the sewage treatment plant at Wolf Creek. The scope also includes associated electrical work.

The A/E must provide adequate resources to complete an accelerated design and provide construction administration for an accelerated construction schedule.

A combined MBE/FBE goal of 15 percent is applicable to the A/E team.

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CDB PROJECT NO. 120-215-063
Department of Corrections
Replace Roofing Systems (C-3)
Sheridan Correctional Center, LaSalle County

CDB PROJECT MANAGER: Steve Halm

APPROPRIATED AMOUNT: $725,000
ESTIMATED TOTAL PROJECT COST: $725,000

PROFESSIONAL LIABILITY INSURANCE REQUIRED: $250,000

PROJECT FEE CLASSIFICATION: Group III R

The "C-3" building (C0809) is a 30,542 square foot, three-story building constructed in 1949.

The scope of work provides for replacing the roofing systems on the “C-3” building, including flashing, trim, gutters and downspouts and accessories.

There are no MBE/FBE goals applied to the A/E team but participation is encouraged.

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Building 19 (C1116) is an 89,500 square foot, three-story building constructed in 1965.

The scope of work provides for gutting the existing third floor inmate toilet/shower rooms and providing new fixtures and finishes, in addition to converting two second floor storage rooms into new inmate toilet/shower rooms. The work also includes removing existing windows and replacing them with new security grade windows and security screens.

The interviews for this project are tentatively scheduled for April 17, 2014.

A combined MBE/FBE goal of 15 percent is applicable to the A/E team.

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