FINANCIAL DISCLOSURE FORM
COVER PAGE

The Financial Disclosure Form ("Form") must be accurately completed and submitted by each Disclosing Entity. The requirement of disclosure of financial interests is a continuing obligation. If circumstances change and the disclosure is no longer accurate, Disclosing Entities must provide an updated form within Thirty (30) business days of the change. The Form must also be updated annually by July 1. Upon review of the Form, CMS and IOC may request additional information to clarify and/or complete the submission.

Separate forms are required for the QP and Sub-Participant; additional disclosures may be required for parent entities, upon request of CMS or IOC.

This disclosure is submitted for (check one):

☒ Qualified Purchaser

☐ Sub Participant

Qualified Purchaser Name: Payplant LLC

Doing Business As (DBA): _____

Disclosing Entity Name: Payplant LLC

Dates and Jurisdiction of business formation or incorporation, if applicable: _____

Instrument of Ownership or Beneficial Interest (check one):

☐ Sole Proprietorship

☐ Corporate Stock (C-Corporation, S-Corporation, Professional Corporation, Service Corporation)

☒ Limited Liability Company Membership Agreement (Series LLC, Low-Profit Limited Liability Partnership)

☐ Partnership Agreement (General Partnership, Limited Partnership, Limited Liability Partnership, Limited Liability Limited Partnership)

☐ Trust Agreement (Beneficiary)

☐ Other

If you selected Other, please describe: _____.

STEP 1
SUPPORTING DOCUMENTATION SUBMITTAL

☒ All Disclosing Entities must provide a current organizational chart showing its ownership structure and composition.

Payplant LLC

Neerav Berry & Dr. Ronjon Nag (Managing Member)

List of Non-managing members in Table-3 below

Disclosing Entities must select one of the options below and select the documentation you are submitting. You must provide the documentation the applicable section requires with this form.

☐ Option 1 – Publicly Traded Entities

1.A. ☐ Complete Step 2, Option A for each qualifying individual or entity holding any direct or indirect financial interest in the Disclosing Entity.

OR

1.B. ☐ Provide a copy of the federal 10-K (attached or electronically), and skip to Step 3.

☐ Option 2 – Privately Held Entities with more than 200 Shareholders

2.A. ☐ Complete Step 2, Tables 1 and 2 for each qualifying individual or entity holding any direct or indirect financial interest in the Disclosing Entity.

OR

2.B. ☐ Complete Step 2, Tables 1 and 2 for each qualifying individual or entity holding any direct or indirect financial interest in the Disclosing Entity and attach the information federal 10-K reporting companies are required to report under 17 CFR 229.401.

☒ Option 3 – All other Privately Held Entities

3.A. ☒ Complete Step 2, Tables 1 and 2 (and Table 3 if a TRUST) for each qualifying individual or entity holding any direct or indirect financial interest in the Disclosing Entity.

STEP 2
DISCLOSURE OF FINANCIAL INTEREST OR BOARD OF DIRECTORS

Complete for all entities. Additional rows may be inserted into the tables or an attachment if needed.

Ownership Share and Distributive Income –Provide the name and address of each person, director, owner, officer, association, financial backer, partnership, other entity, corporation or trust with an indirect or direct financial interest in each qualified purchaser, or entity. If you checked **3.A**. and the entity is a **TRUST also** complete **TABLE 3**. Earnings should reflect direct earnings by QP or Sub-participant or indirect earnings of a parent entity originally derived through the VPP.

☐ Check here if including an attachment with requested information in a format substantially similar to the format below.

TABLE – 1

Name (first, middle, last, maiden, aliases)	Mailing Address	Percentage of Ownership/Interest	Type of Ownership	Class of Stock	Earnings
Berry & Pandey Living Trust	[redacted] Menlo Park, CA 94025	46%	Equity	A	$0.00
Dr. Ronjon Nag & Sally-Ann Rudd	[redacted] Palo Alto, CA 94302	46%	Equity	A	$0.00
Riya Berry Trust	[redacted] Menlo Park, CA 94025	2%	Equity	A	$0.00
Nikhil Berry Trust	[redacted] Menlo Park, CA 94025	2%	Equity	A	$0.00
Nila-Ann Nag Trust	[redacted] Palo Alto, CA 94302	2%	Equity	A	$0.00
Rowan Nag Trust	[redacted] Palo Alto, CA 94302	2%	Equity	A	$0.00

Distributive Income – If you selected Option 1.A., 2.A, 2.B. or 3.A. in Step 1, provide the name and address of each individual or entity and their percentage of the Disclosing Entity's total distributive income. Distributive income refers to income derived by virtue of ownership in an entity (e.g. QP, Sub-participant).

☐ Check here if including an attachment with requested information in a format substantially similar to the format below.

TABLE – 2

Name (first, middle, last, maiden, aliases)	Mailing Address	% of Distributive Income	$ Value of Distributive Income (Direct and Indirect)
Berry & Pandey Living Trust	[redacted] Menlo Park, CA 94025	46%	$0.00
Dr. Ronjon Nag & Sally-Ann Rudd	[redacted] Palo Alto, CA 94302	46%	$0.00

Riya Berry Trust	[redacted] Menlo Park, CA 94025	2%	$0.00
Nikhil Berry Trust	[redacted] Menlo Park, CA 94025	2%	$0.00
Nila-Ann Nag Trust	[redacted] Palo Alto, CA 94302	2%	$0.00
Rowan Nag Trust	[redacted] Palo Alto, CA 94302	2%	$0.00

☐ Check here if including an attachment with requested information in a format substantially similar to the format below.

TABLE – 3		
Name (first, middle, last, maiden, aliases)	**Date of Birth**	**Mailing Address**
Neerav Berry, Trustee, Berry & Pandey Living Trust	[redacted]	[redacted] Menlo Park, CA 94025
Noopur Pandey, Trustee, Berry & Pandey Living Trust	[redacted]	[redacted] Menlo Park, CA 94025
Dr. Ronjon Nag	[redacted]	[redacted] Palo Alto, CA 94301
Sally-Ann Rudd	[redacted]	[redacted] Palo Alto, CA 94301
Riya Berry	[redacted]	[redacted] Menlo Park, CA 94025
Nikhil Berry	[redacted]	[redacted] Menlo Park, CA 94025
Nila-Ann Nag	[redacted]	[redacted] Palo Alto, CA 94301
Rowan Nag	[redacted]	[redacted] Palo Alto, CA 94301

Certify that the following statements are true.

I have disclosed all individuals or entities that hold an indirect or direct ownership interest in the Disclosing Entity.
☒ Yes ☐ No

I have disclosed distributive income for all individuals or entities that hold an indirect or direct ownership interest in the Disclosing Entity.
☒Yes ☐ No

I have disclosed to the State all earnings associated with the Disclosing Entities participating with the VPP.
☒ Yes ☐ No

STEP 3
DISCLOSURE OF LOBBYIST OR AGENT

☐ Yes ☒ No. Have you previously or currently retained or contracted any registered lobbyist, lawyer, accountant, or other consultant to prepare the financial disclosure required under 30 ILCS 540/9?

STEP 4
SIGN THE DISCLOSURE

This disclosure is signed, and made for all entities, by an authorized officer or employee on behalf of the Disclosing Entity. This disclosure information is submitted on behalf of:

Name of Disclosing Entity:

Signature: [redacted] Date: Oct 23, 2018

Printed Name: Neerav Berry,
Title: CEO & Co-founder, Payplant LLC

Phone Number: 650-575-6002

Email Address: neerav@payplant.com