

SOLE SOURCE REPORT FOR FISCAL YEAR 2011

In Fiscal Year 2011, the recently established Independent Chief Procurement Officers have ensured that approximately \$10 billion in procurements made by the State were transparent, accountable, and in the best interest of the State. Where possible, a competitive procurement process was used and an award was made to either the lowest-cost responsible and responsive bidder or the responsible offeror whose proposal was determined to be the most advantageous to the State.

Section 20-25 of the Illinois Procurement Code allows state agencies and universities to contract directly with vendors that are the sole economically feasible source. Sole source awards may be based on factors such as a lack of competition, proprietary technology, copyright or a supplier's unique capability.

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Breakdown by Chief Procurement Officer

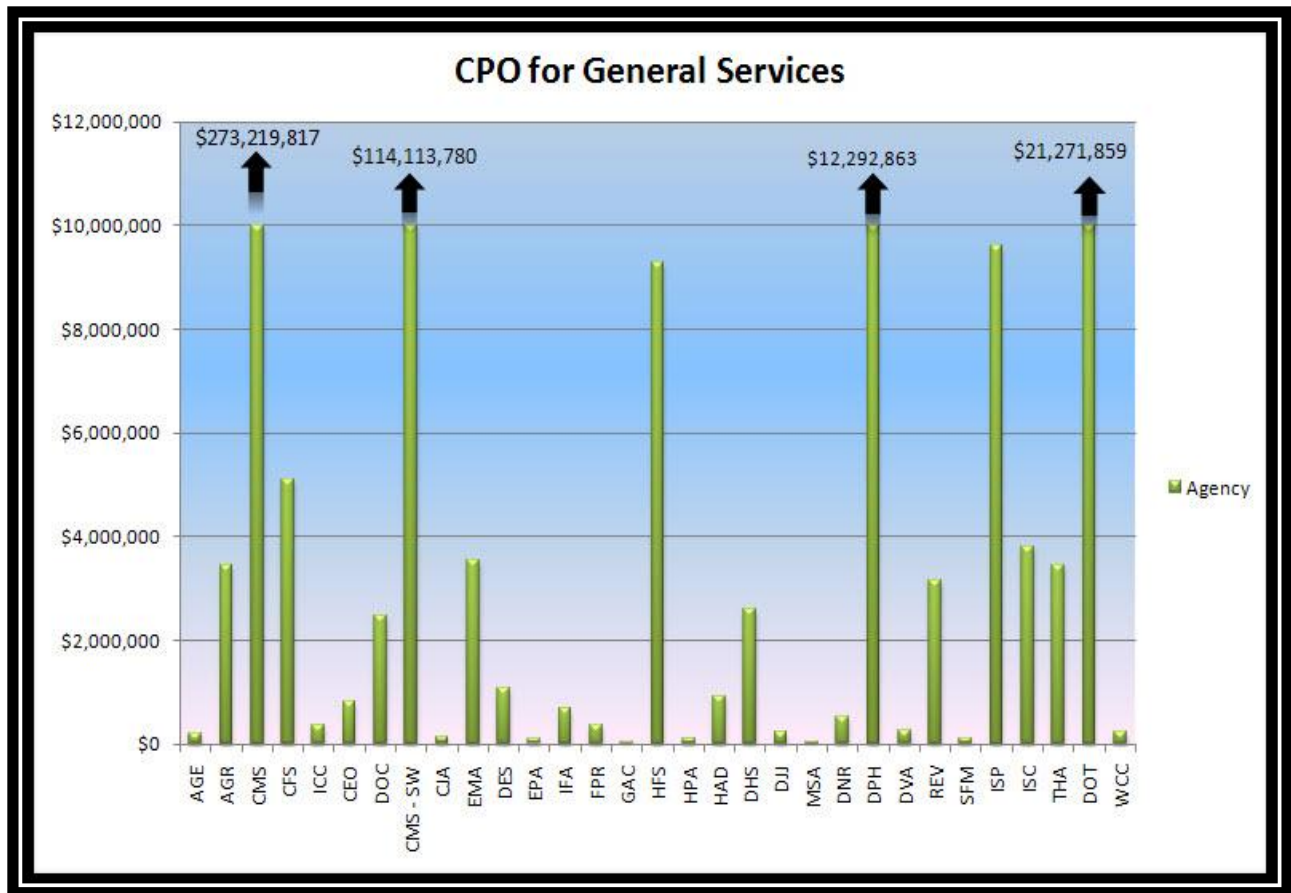
In Fiscal Year 2011, the Chief Procurement Officers made 839 awards made via the sole source procurement method totaling an estimated \$850,367,474 (8.5% of estimated total procurement spend). This report utilizes transaction data accumulated by the Procurement Policy Board based on information posted to the Procurement Bulletin by each agency and university. The CPOs thank the Procurement Policy Board for their assistance in obtaining the detailed transaction data.

The number and estimated value of sole source awards for Fiscal Year 2011 was:

- General Services 259 awards = \$473,540,045
- Institutions of Higher Education 580 awards = \$376,827,428
- Capital Development Board 0 awards = \$0
- Department of Transportation 0 awards = \$0

CPO for General Services Awards by Agency

30 agencies made 259 sole source procurements.

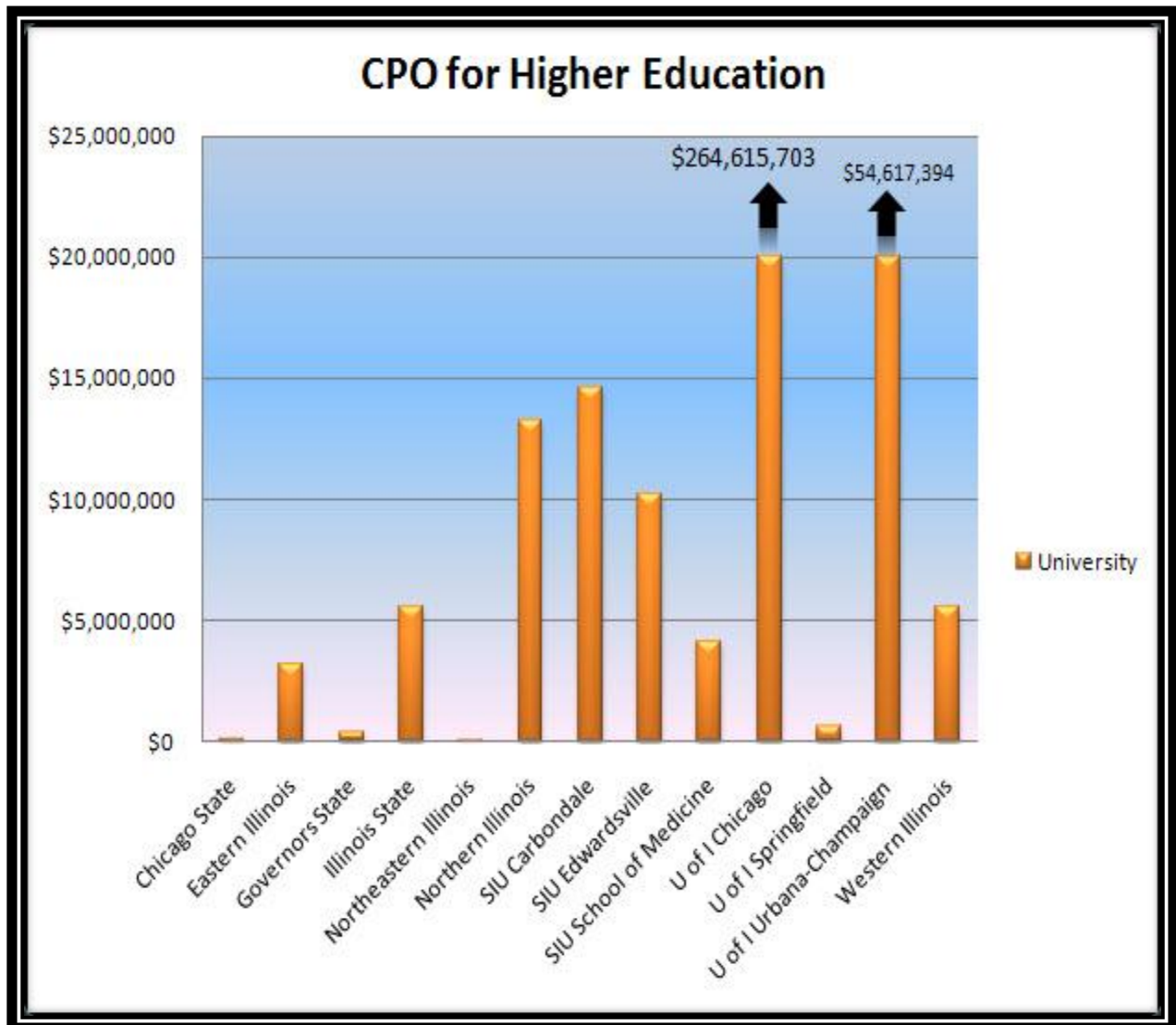


<u>Agency</u>	<u>#Sole Source Awards</u>	<u>Total Value of Awards</u>
Aging	1	\$214,288
Agriculture	31	\$3,429,055
Central Management Services	43	\$273,219,817
Central Management Services – Statewide Contracts	2	\$114,113,780
Children & Family Services	6	\$5,096,133
IL Commerce Commission	3	\$360,540
Commerce & Economic Opportunity	5	\$813,295
Corrections	6	\$2,465,000
Criminal Justice Information Authority	2	\$127,400
Emergency Management Agency	11	\$3,553,627
Employment Security	5	\$1,062,463
Environmental Protection Agency	1	\$89,988
IL Finance Authority	1	\$699,000
Financial & Professional Regulation	4	\$371,228
Guardianship & Advocacy Commission	1	\$44,385
Healthcare & Family Services	6	\$9,286,364
Historic Preservation Agency	3	\$107,530
Housing Development Authority	5	\$927,238
Human Services	14	\$2,589,356
Juvenile Justice	3	\$236,103
IL Math & Science Academy	1	\$56,000

Natural Resources	7	\$515,323
Public Health	31	\$12,292,863
Veteran's Affairs	3	\$251,560
Revenue	7	\$3,137,115
State Fire Marshall	1	\$121,000
IL State Police	18	\$9,603,841
IL Student Assistance Commission	2	\$3,800,000
Toll Highway Authority	8	\$3,443,889
Transportation Non-construction	27	\$21,271,858
IL Worker's Compensation Commission	1	\$240,000

CPO for Institutions of Higher Education Awards by Agency

13 institutions of higher education made 580 sole source procurements.



<u>University</u>	<u># Sole Source Awards</u>	<u>Total Value of Awards</u>
Chicago State University	1	\$91,500
Eastern Illinois University	18	\$3,200,439
Governors State University	2	\$365,000
Illinois State University	30	\$5,558,306
Northeastern Illinois University	1	\$60,405
Northern Illinois University	28	\$13,261,984
Southern Illinois University – Carbondale	34	\$14,572,723
Southern Illinois University – Edwardsville	28	\$10,207,157
SIU School of Medicine	15	\$4,103,400
University of Illinois – Chicago	200	\$264,615,703
University of Illinois – Springfield	9	\$602,463
University of Illinois – Urbana Champaign	180	\$54,617,394
Western Illinois University	34	\$5,570,952



The report detailing the individual sole source transactions is shown in Attachment 1.

Process Transparency

A State contract may not be awarded as sole source unless approved by the Chief Procurement Officer following a public hearing at which the Chief Procurement Officer and purchasing agency present written justification for the procurement method. The Procurement Policy Board and the public may present testimony.

At least 14 days before the public hearing, the Chief Procurement Officer publishes in their online electronic Procurement Bulletin the written description of intent to enter into a sole source contract along with a description of the item to be procured, the intended sole source contractor, and the date, time and location of the public hearing. A copy of the notice and all documents provided at the hearing is included in the subsequent Procurement Bulletin.

The public and other stakeholders presented testimony challenging or questioning the procurement method in less than 1% of the 839 sole source hearings conducted during Fiscal Year 2011.

- In 259 hearings held by the CPO for General Services, interested parties provided testimony at six of those hearings. The testimony did not provide information sufficient to result in a change in the original decision to contract on a sole source basis.
- In 580 hearings held by the CPO for Institutions of Higher Education, interested parties provided testimony at two of those hearings. In one instance, the CPO gave approval to move forward with the sole source contract. In the other instance, the CPO also gave approval to move forward, but on a shorter term basis and with a requirement to issue a competitive solicitation for a replacement contract.
- The Department of Transportation and the Capital Development Board had no sole source needs and thus held no hearings.

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