



ILLINOIS OFFICE OF COMPTROLLER

SUSANA A. MENDOZA
COMPTROLLER

January 28, 2021

Michelle Casey
Executive Director
Executive Ethics Commission
401 South Spring Street
Stratton Building Room 513
Springfield, Illinois 62706

Re: Annual Ethics Training

Dear Executive Director Casey,

The purpose of this letter is to report the Office of the Comptroller's ethics training completed during 2020 and the plan for ethics training during 2021.

The Office of the Comptroller reports the following 2020 trainings:

All 33 new hires completed initial ethics training and sexual harassment training. Beginning December 2017, we replaced our initial on-line training with live trainings conducted by the Office of the Comptroller's Ethics Officer. The live trainings take place on the employee's first day of work or, if that is not possible, in no event later than within thirty days of the employee's commencement of employment. Following completion of the live ethics component, new employees are shown the training video – "Harassment: Sex, Religion and Beyond" by Kantola Productions (link sent last year and available again upon request).

All 247 officers or employees certified their completion of the 2020 Annual Ethics and Sexual Harassment Training. The 2020 Annual Training consisted of live (Microsoft Teams) training sessions overseen and conducted by Executive Inspector General Joanna Gunderson.

Ongoing/upcoming 2021:

The Ethics Officer will continue to conduct initial live trainings on the employee's first day of work or, if that is not possible, in no event later than within thirty days of commencement of employment.

2021 Annual Training for all employees will take place in November and/or December 2021. The 2021 Annual Training will utilize substantially the same power point presentations compiled and approved by EIG Gunderson and updated as needed to address any potential changes to Illinois Ethics laws.

The Ethics Officer will continue to provide Revolving Door Training for new hires or other employees that assume positions subject to the revolving door determination process.

Please find materials to be utilized in the various trainings attached for approval. Please contact me at (217) 553-5588 or adam.alstott@illinoiscomptroller.gov if you seek additional information for approval of the 2021 training program.

Sincerely,

/s/ Adam Alstott

Adam Alstott
Ethics Officer
Office of the Comptroller

100 West Randolph Street, Suite
15-500
Chicago, Illinois 60601-3252
(312) 814-2451

201 State Capitol
Springfield, Illinois
62706-0001
(217) 782-6000

325 West Adams
Street Springfield, Illinois
62704-1871
(800) 877-
8078