Welcome, Huskies, to the new employee nondiscrimination and sexual misconduct awareness and education training. This training is presented by the office of Academic Diversity, Equity and Inclusion at NIU and it intends to fulfill our obligation under the State’s Ethics Act to provide training to employees related to the Illinois Human Rights Act, as well as other state laws. Completing this training is an important part of our shared responsibility to create a safe and respectful working and learning environment at NIU. Let’s get started.
This training will provide information about unlawful discrimination, unlawful sexual harassment, unlawful harassment, retaliation, and sexual misconduct. We will discuss important aspects of reporting actual and suspected offenses and we will share information about various resources that are available for both employees and students. Lastly, we will look at ways to safely intervene when we see suspected or actual misconduct as active bystanders.

Because these learning topics are sensitive in nature, they may trigger a negative reaction. We want you to take care of yourself in whatever way is most helpful to you. Take a break, breathe, grab a drink of water – do what is necessary for you. If the subject matter in this training becomes too uncomfortable or triggers a negative reaction, please stop the training and contact adei-training@niu.edu to discuss other options. Again, please take care of yourself throughout this training.
Let’s begin our training by taking a few moments to discuss the fundamental reasons why this training is so important for all employees. Your time and attention today matters. The Nondiscrimination, Harassment, and Retaliation Policy and the Sexual Misconduct Policy exist to create a safe and respectful working and learning environment for both employees and students. These policies and procedures intend to protect you and the university from legal consequences. Whether you’re an administrator, a faculty member, an instructor, a staff member, or an extra help employee, these policies apply and must be upheld. Failure to comply with these policies and procedures is taken very seriously and can result in disciplinary action up to and including termination or expulsion. We want each of you to have the awareness, knowledge, and tools to follow these policies and procedures and to contribute to a safe and respectful working and learning environment for each of us here at NIU.

At NIU all employees of the university, unless deemed confidential, are considered “Responsible Employees” and are required to report actual or suspected incidents of sexual misconduct to the Ethics and Compliance Office. “Responsible Employees” include, but are not limited to, faculty members, administrators, staff, and student employees. It is strongly encouraged that incidents of actual and suspected discrimination, harassment and retaliation be reported to the Ethics and Compliance Office as well. State employees shall report misconduct to their ethics office or the Office of the Executive Inspector General.
Those who are deemed confidential employees include people who are employed within Counseling and Consultation Services, the Employee Assistance Program (EAP), the Office of the Ombudsperson, Health Services, the Couple and Family Therapy Clinic, and the Psychological Services Center. If unsure, contact the Title IX coordinator to find out if you are a Responsible Employee.

We will discuss details of where to report possible violations of these policies later in this training.
NIU does not unlawfully discriminate on the basis of any protected category when it comes to:
- Hiring decisions
- Academic decisions
- Benefits
- Recruitment
- Admissions
- Financial Assistance
- Disciplinary action

Report Unlawful Discrimination, Harassment and Retaliation to the Ethics and Compliance Office.

Violation of Policy will result in corrective action.

Nondiscrimination, Harassment and Retaliation Policy

The full NIU Nondiscrimination, Harassment and Retaliation Policy and Procedures for Faculty, Staff and Student’s can be found by searching the NIU Policy Library or the NIU Directory. You may also click the link on this slide to be directed to it now.

As a quick snapshot, the Nondiscrimination, Harassment and Retaliation Policy states that NIU does not unlawfully discriminate on the basis of any protected category when it comes to making employment or academic decisions including but not limited to hiring, benefits, recruitment, admissions, financial assistance, and disciplinary action. We will look in a moment at the protected categories that are covered within this policy and in many cases by federal and/or state law.

The policy also asks anyone who has experienced unlawful discrimination to report it to the Ethics and Compliance Office where trained investigators will respond to the allegations. Lastly, the policy makes it clear that whenever there is a violation of policy, corrective action will take place. Each situation is different so the form of corrective action that may be taken will also be different depending on the circumstances and the situation.

In addition to NIU’s Nondiscrimination Policy, employees are also protected from unlawful discrimination, sexual harassment, and harassment through the Illinois Human Rights Act.
Question #1:

Which of the following is a true statement?

A. Anyone who has experienced unlawful discrimination should report it to the Ethics and Compliance Office where trained investigators will respond to the allegations.
B. All NIU employees must comply with the Nondiscrimination, Harassment, Retaliation and Sexual Misconduct Policies.
C. “Responsible Employees” are required to report actual or suspected incidents of sexual misconduct to the Ethics and Compliance Office.
D. All of the above.

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).
NIU prohibits discrimination and harassment based on these listed protected categories. This means that a person cannot be discriminated against or harassed based on their actual or perceived membership in one of these categories. Many of these protected categories are protected at the federal and/or state level as well.

The Illinois Human Rights Act, for example, protects against discrimination and harassment on the basis of race, color, religion, national origin, ancestry, age, sex, marital status, order of protection status, disability, military status, sexual orientation, pregnancy, or unfavorable discharge from military service. Please review the definitions for each protected category, based on Illinois law, that can be found on the Legal Definitions Handout in the left toolbar of this training. On this same handout you will also find definitions associated with violations of an individual’s civil rights under the Illinois Human Rights Act. For example, to avoid a civil rights violation, an employer is required to make certain religion-based work accommodations.

What’s important to note is that we all find ourselves within one or more of these categories and we all are afforded some protection under the law, as well as through NIU policy. An individual cannot be discriminated against or harassed because of a characteristic(s) that is protected by the university's nondiscrimination, harassment and retaliation policy. Suspected or actual acts of
discrimination or harassment should be reported to the Ethics and Compliance Office at NIU. Details about how to report to the Ethics and Compliance Office are forthcoming in this training presentation.
Unlawful Discrimination (2 factors):

- Has the person been treated unfavorably or subjected to adverse treatment?
- Was the adverse treatment inflicted based off the protected category that the person belongs to?

Example: Denial of a promotion because of gender when evenly or more qualified than another candidate.  
Example: Professor refuses to call on a student during class because they have a disability.

Unlawful discrimination is prohibited at NIU by policy and should be reported to the Ethics and Compliance Office (eco@niu.edu / 815-753-5560 / go.niu.edu/file-discrimination).

The Illinois Human Rights Act defines unlawful discrimination as “discrimination against a person because of his or her actual or perceived: race, color, religion, national origin, ancestry, age, sex, marital status, order of protection status, disability, military status, sexual orientation, pregnancy, or unfavorable discharge from military service…” (775 ILCS 5/1-103(Q)).

Unlawful discrimination happens when someone is being treated unfavorably or is being subjected to adverse treatment and that treatment is based on their protected category. This can happen to employees, students, and even visitors who come to our campus.

For example, denying a person a promotion because of their gender even though they are just or even more qualified than another candidate of a different gender for the same position, is unlawful discrimination. Another example might be if a professor refuses to call on a student during class because they have a disability.

What are some examples of unlawful discrimination that you can think of? What can you do if you see, suspect or experience unlawful discrimination? (BRIEF PAUSE) If you answered that you could report that offense to the Ethics and Compliance Office, you are correct. Good job!

Unlawful discrimination is prohibited at NIU and should be reported to the Ethics and Compliance Office by emailing eco@niu.edu, by calling 815-753-5560, or online at...
An employee at NIU is a member of the Illinois Army National Guard and attends training for two weeks during the summer and one weekend each month. This employee often leaves work early, takes longer lunch breaks than allowed, and often has very long personal phone calls during the workday. And this employee often fails to complete assignments on time. The supervisor places the employee on a corrective action plan and requires the employee to check in with a supervisor when arriving to work, taking a break or lunch, and leaving at the end of the day. The employee believes these actions are unlawful discrimination based on their membership in the Illinois National Guard because no one else in the department is a member of the National Guard.

• Is this a case of unlawful discrimination?

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).

False: This is not an example of unlawful discrimination. Although the employee is a member of a protected class because of their military status, the supervisor did not place the employee on a corrective action plan because of their military status. The employee was placed on a corrective action plan because the employee was not complying with employment policies and was poorly performing job duties.

Unlawful discrimination occurs when an employer takes a discriminatory employment action because of an applicant’s or employee’s actual or perceived membership in one of the protected classes above. However, it does not prevent an employer from taking employment action for another reason unrelated to an applicant’s or employee’s membership in a protected class.
Unlawful Harassment

Unwanted or unwelcome conduct that is:
• Based on an actual or perceived protected category, AND is either
• Severe or pervasive enough to interfere with the employee’s work or the student’s academic performance, or creates a hostile, intimidating or offensive workplace or educational environment.

**Unlawful Harassment Example:**

Surjit is a Sikh and wears a beard and turban as a part of his religion. Surjit recently moved to a new department at work. Surjit’s new coworkers often call him a terrorist and ask him what he is hiding in his turban. These comments make Surjit uncomfortable and angry, and he now dreads going to work. He tries to avoid his coworkers as much as possible. This has caused his work to suffer because he has trouble collaborating on projects.

The Illinois Human Rights Act defines harassment as “any unwelcome conduct on the basis of an individual's actual or perceived race, color, religion, national origin, ancestry, age, sex, marital status, order of protection status, disability, military status, sexual orientation, pregnancy, unfavorable discharge from military service, or citizenship status that has the purpose or effect of substantially interfering with the individual's work performance or creating an intimidating, hostile, or offensive working environment. For purposes of this definition, the phrase ‘working environment’ is not limited to a physical location an employee is assigned to perform his or her duties.” 775 ILCS 5/2-101(E-1).

To be clear, harassment is:
1. unwanted or unwelcome conduct that is based on the employee or student’s actual or perceived protected category, AND that is either
2. severe or pervasive enough to interfere with the employee’s work or the student’s academic performance OR the conduct creates a hostile, intimidating or offensive workplace or educational environment.

Let’s review an example of unlawful harassment: Surjit is a Sikh and wears a beard and turban as a part of his religion. Surjit recently moved to a new department at work. Surjit’s new coworkers often call him a terrorist and ask him what he is hiding in his turban. These comments make Surjit uncomfortable and angry, and he now dreads going to work. He tries to avoid his coworkers as much as possible. This has caused his work to suffer because he has trouble collaborating on projects.
This is an example of unlawful harassment because it is unwelcome conduct based on Surjit’s religious beliefs and practices that has the purpose or effect of substantially interfering with his work performance and creating an offensive working environment. Surjit’s coworkers are making offensive comments to Surjit based on his religious observances. These offensive comments are unwelcome and negatively affect Surjit’s ability to perform his duties.

Use of slurs, offensive jokes, intimidation, ridicule or mockery, insults and putdowns are all examples of conduct that could amount to unlawful harassment.
Sexual Harassment

Any unwelcome sexual advances, requests for sexual favors, or any conduct of a sexual nature when:

• submission to such conduct is made either explicitly or implicitly a term or condition of an individual’s employment,

• submission to or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual, or

• such conduct has the purpose or effect of substantially interfering with an individual’s work performance or creating an intimidating, hostile, or offensive working environment.

There are two Types of Sexual Harassment in the Workplace:

- **Quid Pro Quo**

- **Hostile Work Environment**

Sexual harassment is also prohibited by NIU, the state Ethic’s Act, and the Illinois Human Rights Act. The Illinois Human Rights Act defines sexual harassment as any unwelcome sexual advances, requests for sexual favors, or any conduct of a sexual nature when:

- submission to such conduct is made either explicitly or implicitly a term or condition of an individual’s employment,

- submission to or rejection of such conduct by an individual is used as the basis for employment decisions affecting such individual, or

- such conduct has the purpose or effect of substantially interfering with an individual’s work performance or creating an intimidating, hostile, or offensive working environment.

It is important to note that there are two types of sexual harassment in the workplace:

**Quid pro quo** also known as “This for That.” This type of harassment occurs when a manager or supervisor communicates to a subordinate that in order for them to receive a promotion, raise, preferred assignment, or other type of job benefit – or to avoid something negative like discipline or an unpleasant assignment – the subordinate must do something sexual in return. An example of Quid Pro Quo Sexual Harassment would be a supervisor telling an employee they supervise that they will receive a poor work evaluation unless they have sex with them – this constitutes an unwelcome sexual advance that is a condition of employment.

**Hostile work environment.** A hostile work environment occurs when unwelcome sexual advances, requests for sexual favors, or any conduct of a sexual nature has the purpose or
effect of substantially interfering with an individual’s work performance or creating an intimidating, hostile, or offensive working environment. An example of a hostile work environment sexual harassment scenario would be a recently married employee who is just back to work after their honeymoon and their coworker begins, on a daily basis, making sexual remarks about the honeymoon and asking personal questions. These remarks from the coworker causes the newly married employee to begin calling in sick to work and to miss important work deadlines because they are so upset about their coworker’s continuous sexual comments about their honeymoon. In this example, the unwelcome conduct of a sexual nature is interfering with the employee’s work performance and is creating an intimidating, hostile, and offensive work environment.

Some other examples of sexual harassment could include:

- deliberate touching, leaning over, or cornering;
- sexual looks or gestures;
- letters, telephone calls, personal e-mails, texts, or other materials of a sexual nature;
- pressure for dates;
- sexual teasing, jokes, remarks, or questions;
- referring to an adult as a “girl,” “hunk,” “doll,” “babe,” or “honey”;
- sexual comments about a person’s clothing, anatomy, or looks;
- turning work discussions to sexual topics;
- sexually suggestive posters, cartoons, websites, or magazines displayed in the workplace or shown to someone;
- making sexual gestures with hands or through body movements.
• A vendor that makes daily deliveries to a department on campus always flirts with Sam, an employee at NIU. Sam has told the vendor that they are not interested. This has been going on for over a month and has caused Sam to avoid their cubicle during the vendor’s delivery time. Last week, Sam missed an important call because the vendor was making a delivery at that same time.

• What is this an example of?

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).

A. Quid pro quo sexual harassment
B. Hostile work environment sexual harassment.
C. Unlawful discrimination
D. None of the above.

B. This is an example of hostile work environment sexual harassment. Sam is being subjected to frequent unwelcome advances of a sexual nature and those advances are creating an intimidating and hostile work environment such that Sam is uncomfortable being in the same area as the university vendor. Vendors can engage in improper sexual harassment just like NIU employees.
NIU prohibits retaliation. Retaliation is taking an adverse action against someone for participating in a protected activity.

**Protected Activities may include but are not limited to:**
- Making a charge, filing a complaint, testifying, assisting, or participating in an investigation, proceeding, or hearing.
- Participating in a sexual misconduct complaint process, reporting discrimination, harassment or bias.

An adverse action is something that would dissuade a reasonable person from engaging in a protected activity including, but not limited to, making a report and participating in an investigation.

**Adverse Actions/Acts of Retaliation include but are not limited to:**
- Threats, reprimands, intimidation, or harassment.
- Discharge, suspension, or demotion.
- Denial of probation or transfer or change in terms or conditions of employment of a State employee.
- Dismissal from a program
- Referral to a disciplinary body of the university

Educators have the power to launch a student’s professional growth – refusing to give a positive letter of recommendation or denying access to opportunities for academic growth
because that student filed a sexual misconduct complaint, for example, is retaliation. Whereas supervisors have the power to launch an employee’s professional growth – refusing to promote a subordinate or give an earned pay raise because that employee reported harassment, for example, is retaliation.

Retaliation against individuals who report sexual harassment, harassment, or discrimination, or who participate in investigations and other proceedings regarding those matters, is also a violation of the state Ethics Act, the Illinois Human Rights Act, and the Illinois Whistleblower Act. The Ethics Act specifies that retaliation is prohibited against a state employee if they disclose a reasonable violation of discrimination, sexual harassment, and/or harassment. The Illinois Human Rights Act explicitly states that it is a civil rights violation to retaliate against a person who, in good faith, engages in a protected activity such as filing a complaint, participating in an investigation, or testifying in court about a discrimination, sexual harassment or harassment matter. The Illinois Whistleblower Act states that an employer may not retaliate against an employee “for disclosing information to a government or law enforcement agency, where the employee has reasonable cause to believe that the information discloses a violation of a State or federal law, rule, or regulation.” 740 ILCS 174/15(b). Retaliation is also prohibited if a person refuses to participate in an activity that would be considered a violation of the law, rule or regulations.

Employees are encouraged to report all acts of suspected retaliation. Reports of retaliation may be made online using the Retaliation Complaint Form.
Ethics and Compliance Office
• go.niu.edu/File-Discrimination
• go.niu.edu/File-Retaliation
• ECO@niu.edu
• 815-753-5560
• Health Services 230

Ethics Officer, Sarah Garner
• NIUEthicsOfficer@niu.edu
• 815-753-5560
• Health Services 230
• Confidential

Your Supervisor * (may be a responsible employee)

If you would like to file a complaint of discrimination or harassment with the university, you have several options. You may file a complaint with the Ethics and Compliance Office online using one of the Go links on this slide, or you may contact their office at eco@niu.edu or at 815-753-5560. You can also make a report in person by visiting room 230 in the Health Services building. Filing a complaint online is encouraged. You may also file a confidential complaint with the Ethics Officer, Sarah Garner, or you may choose to inform your direct supervisor. However, depending on the information you report, your supervisor may be required, as a responsible employee, to report the information to the Title IX Coordinator.

Include information in your report about where the incident took place, who was involved, any witnesses, and a summary of what happened. Even if you don’t have all the details, report what you know. All details you can provide are important.

Northern Illinois University has trained investigators to conduct thorough investigations of complaints and walk all parties through the process. It is important to remember that the reporter should not investigate a complaint. Trained investigators in the Ethics and Compliance Office will ask any necessary questions and investigate the complaint.
If you would like to file a complaint of discrimination, harassment, sexual harassment or retaliation externally with the state of Illinois, you may do so with the Office of Executive Inspector General (OEIG). The OEIG may accept complaints that are filed anonymously. Additionally, the OEIG will keep the identity of an individual providing information or reporting any possible or alleged misconduct confidential unless the individual consents to disclosure of their name or disclosure of the individual’s identity is otherwise required by law. OEIG is an independent executive branch that does not investigate on the behalf of any individual or agency. OEIG investigations often involve interviews and review of relevant documents.

You may also make a report to the Illinois Department of Human Rights (IDHR). Reports to IDHR can be made through their confidential, toll free helpline. The helpline can be accessed by calling 877-236-7703 or through the link above. The helpline can assist people with anonymous reporting and with locating resources, including counseling services. All communication submitted to IDHR through the helpline is confidential and exempt from disclosure under the Freedom of Information Act. For people, who are subject to the Ethics Act, which includes State employees, IDHR will report allegations to the OEIG for further investigation when the reporter gives permission. The IDHR process is public and requires details such as personal contact and employer information. Reports can be made in person or by mail to both the Illinois Department of Human Rights and the OEIG. Individuals are encouraged to consult the websites of the OEIG and IDHR regarding whether the COVID-19 pandemic has impacted the process for reporting complaints.
An individual can also choose to report discrimination, harassment or sexual misconduct to the Equal Employment Opportunity Commission (EEOC) and the United States Department of Education Office for Civil Rights for any type of discrimination or harassment based on a protected category. The addresses, phone numbers, links for filing charges and websites to each are provided above.
Consequences for Engaging in Harassment, Sexual Harassment, or Discrimination

At NIU:
- Counseling statement
- Letter of warning
- Oral reprimand
- Written reprimand
- Training
- Suspension
- Termination

State penalties:
- Fines
- Subject to discipline or discharge
- Other fines and penalties imposed by a State or federal agency, or court

An employee found responsible for violating the university’s policy prohibiting discrimination, harassment or retaliation may be subject to corrective action or discipline, up to and including termination.

Additionally, any person who violates the Ethics Act’s prohibition on sexual harassment may be fined up to $5,000 per offense; is subject to discipline or discharge; and may also have fines or penalties imposed by a State or federal agency or court.

In addition to these consequences, the State may investigate reports of discrimination and harassment and make recommendations regarding continued employment. Under State law, engaging in sexual harassment, harassment, unlawful discrimination or retaliation can result in Illinois Human Rights Commission, state or federal court proceedings. If these allegations against the person or entity are founded in the courts, the individual or entity may be entitled to damages, backpay, reinstatement or other remedies.

With regards to retaliation, offenses that are found to be a violation of the Whistleblower Act are a Class A misdemeanor.
False Reporting

University penalties:
• Intentional false allegations, misrepresentation of facts, failure/refusal to cooperate with an investigation or the intentional breach of confidentiality:
• Disciplinary action including and up to suspension, termination or expulsion.

State law penalties:
• Intentional false, frivolous or bad faith allegations:
  • Fine up to $5,000
• Intentionally making a false report alleging sexual harassment to OEIG, EEC, or to certain law enforcement agencies/officials:
  • Guilty of misdemeanor
• Intentionally obstructs or interferes in an OEIG investigation:
  • Fine up to $5,000

The University’s Nondiscrimination Policy prohibits individuals from making intentional false allegations, misrepresenting facts, failing or refusing to cooperate, or intentionally breaching confidentiality. If an employee is found to have engaged in this conduct, disciplinary action may result.

In addition, state agencies may take action for similar types of conduct.
• The Executive Ethics Commission may levy a fine of up to $5,000 against any person who
  • intentionally makes a false, frivolous, or bad faith allegation, or who
  • intentionally obstructs or interferes in an OEIG investigation.

• Any person who intentionally makes a false report alleging sexual harassment to the OEIG, EEC, or to certain law enforcement agencies or officials is guilty of a misdemeanor.

• Also note that failure to cooperate with an OEIG investigation conducted pursuant to the Ethics Act, including intentional omissions and knowing false statements, is grounds for disciplinary action, including dismissal.
Q#4: Multiple Choice

• Which of the following statements is not true?

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).

A. Employees can report discrimination, harassment and retaliation internally to the Ethics and Compliance Officer.

B. Making a report and participating in an investigation are not protected activities under the retaliation policy.

C. Employees can report discrimination, harassment and retaliation externally to the Office of the Executive Inspector General (OEIG), the Illinois Department of Human Rights (IDHR), the Equal Employment Opportunity Commission (EEOC), or the U.S. Department of Education.

D. False reports of discrimination, harassment and retaliation may result in disciplinary action, termination, fines, and legal action.

The correct answer is B. Under violation of the state Ethics Act, the Illinois Human Rights Act, and the Illinois Whistleblower Act, retaliation against employees who engage in a protected activity, which includes reporting actual or suspected instances of discrimination, harassment, and retaliation and/or participating in a related investigation, is prohibited. Employees are protected against retaliation when they report, engage with investigators, testify, or otherwise participate in a protected activity related to the report of discrimination, harassment, and sexual misconduct.
Let’s turn our attention now to NIU’s Sexual Misconduct Policy and Complaint Procedures, which can be found in full in the university’s policy library or by searching the NIU directory or by selecting the hyperlink above.

As a quick snapshot the Sexual Misconduct Policy states that NIU strictly prohibits sexual misconduct by students, faculty and staff. This prohibition is consistent with a federal law called Title IX of the Education Amendments or 1972, and with Illinois state law. The policy also outlines the obligations that Responsible Employees have when it comes to reporting suspected or actual incidents of sexual misconduct.
Reporting: Responsible Employees

Responsible Employees are:

- required to report all incidents of sexual misconduct

Report Sexual Misconduct to the Ethics and Compliance Office:

- go.niu.edu/File-Misconduct
- TitleIXCoordinator@niu.edu
- 815-753-5560
- Health Services (2nd Floor)

Don’t investigate. Trained staff will do this.

Remember, most NIU employees are Responsible Employees and Responsible Employees are required to report instances of suspected or actual sexual misconduct. If you are unsure whether you are a responsible employee, contact the Title IX Coordinator to find out.

Reports of sexual misconduct are made to the Title IX Coordinator in the Ethics and Compliance Office. You may file a report online, email, call, or stop into the office. Filing a report online is encouraged. This satisfies your reporting obligation as a responsible employee. If an employee is unsure if they should report something they learned about, they may contact the Title IX Coordinator and find out.

Don’t try to categorize the incident, just report the behavior and actions. Northern Illinois University has trained investigators to conduct thorough investigations of reports and walk all parties through the process. It is important to remember that the reporter should not investigate a complaint. The trained investigators will ask the necessary questions. This is a sensitive topic and by reporting everything known, the reporter could be giving the investigator crucial information to the case.
Before we look at the forms of sexual misconduct that may occur and that are listed within the Sexual Misconduct Policy, we need to first have a clear understanding of consent. One of the, if not the most important, aspects of any healthy relationship is having consent to engage in an activity, especially sexual activities. Getting consent to engage in sexual activity of any kind is critical and communication is key. Consent is clear, informed, voluntary and a freely given agreement to knowingly engage in sexual activity. Consent must be mutually understood upon by words or through actions. The absence of an affirmative statement or a verbal “yes” is not consent (don’t assume because someone doesn’t explicitly say “no” that consent has been given) and the absence of an act of denial is not consent.

People who are incapacitated due to drugs and/or alcohol, or who are asleep, or who are unconscious cannot give consent.

Consent cannot be forced or coerced through threats, intimidation or fraud. Any prior consensual act or encounter that has occurred does not mean there is consent now. Rather, communication must occur each and every time to know if the sexual activity is consensual. This communication is essential both before and throughout the sexual activity because consent can be withdrawn at any time. If the person who is seeking consent is under the influence of drugs and/or alcohol, it is still their responsibility to seek and receive consent.
Q#5: Multiple Choice

• Consent is:

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).

A. Able to be given when a person is incapacitated.
B. Clear, informed, voluntary, and a freely given agreement to knowingly engage in sexual activity.
C. Based on previous consensual activity with a person.
D. Not able to be withdrawn once sexual activity has already begun.

The correct answer is B. Consent is clear, informed, voluntary, and a freely given agreement to knowingly engage in sexual activity.

Consent cannot be given if a person is incapacitated, consent is not based on previous sexual consensual activity, and consent can be withdrawn at any time before or during sexual activity.
Forms of Sexual Misconduct

- Sexual Harassment
- Sexual Assault
- Domestic Violence
- Dating Violence
- Stalking
- Gender Harassment

When consent is not given, sexual misconduct occurs. Sexual harassment, sexual assault, domestic violence, dating violence, stalking and gender harassment are all forms of sexual misconduct.

University policy, federal, and state law prohibit students and employees from engaging in sexual misconduct.

Next, we will look at each of these more closely.
Sexual Harassment

1. Quid Pro Quo

- An employee conditions the provisions of university aid, benefit, or service on an individual’s participation in unwelcome sexual conduct. “This for that.”

2. Denial of Equal Access

- Unwelcome conduct based on sex determined by a reasonable person to be so severe, pervasive and objectively offensive that it effectively denies a person equal access to the university’s education program or activity.

There are two types of sexual harassment to be aware of and that fall under the sexual misconduct policy and Title IX law. First is Quid Pro Quo, which is more commonly known as “this for that”, and is when an employee conditions university aid, a benefit or a service to a student or employee on the condition that the student or employee participates in unwelcome sexual conduct. As an example for Quid Pro Quo sexual harassment, a supervisor agrees to approve a subordinate’s vacation but only if that employee agrees to go on a romantic date with them. In this example, the supervisor is giving something to their employee that is wanted (approval to take vacation) but only in exchange for a romantic date (something the supervisor wants and that is unwelcome sexual conduct).

The second type of sexual harassment is Denial of Equal Access which is unwelcome conduct on the basis of sex that is determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the university’s education program or activity. An example of denial of equal access would be if there are two employees working in the same office within the same department and one employee is repeatedly sending unwelcome sexual photos to the other employee, which results in the receiving employee of those images avoiding their office. Because the employee is so uncomfortable and is experiencing severe, pervasive and objectively offensive conduct from the other employee that is causing avoidance of their office, this constitutes denial of equal access under the sexual harassment form of sexual misconduct. A key thing to know here also is that the effect of the action as perceived by the victim is what constitutes sexual harassment, not the intent of the alleged offender.
Some examples of Sexual Harassment would be:
- Deliberate touching or cornering of another person
- Pressuring a person for dates
- Sexual teasing, jokes, or questions
- Giving neck or shoulder massages to another person

Sexual harassment could be visual, for example, showing someone a sexually explicit picture from a phone or in person when they do not want to see it or have not asked to see it. It could be verbal such as making sexually derogatory comments, or it could be physical in nature, for instance touching someone in a sexual manner without their consent.

You may be wondering why this definition of sexual harassment varies somewhat from the definition you learned about earlier when discussing discrimination and harassment. This is because there are various federal and state laws that define sexual harassment, and we must follow them both. The key take away is that sexual harassment is prohibited at NIU and if you learn of any suspected or actual sexual harassment, you must report it if you are a Responsible Employee.
Sexual harassment is not limited to in-person interactions. It can occur through electronic communications as well. Consider how often technology is used to communicate with others. This includes texting, email, social media, photos and videos. Sharing unwanted images of a sexual nature through electronic means can be considered sexual harassment.

Do not send or share images, videos or communication of a sexual nature through social media posts or comments, text messages, discussion board comments and emails. If you receive unwanted communications of this nature, report them to the Title IX Coordinator.

Protect your digital footprint by not sending or sharing images, videos or communication of a sexual nature through social media posts or comments, text messages, discussion board comments and emails. Communication via technology that is unwanted and sexual in nature is still sexual harassment and prohibited by NIU policy and must be reported.
Q#6: Which of the following is a true statement?

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).

A. Under the Sexual Misconduct Policy at NIU, quid pro quo and denial of equal access are two forms of sexual harassment.
B. Sexual harassment could be visual, for example, showing someone a sexually explicit picture from a phone or in person when they do not want to see it or have not asked to see it, verbal such as making sexually derogatory comments, or physical in nature, for instance touching someone in a sexual manner without their consent.
C. Sexual harassment can occur through electronic communications including through texting, email, social media, and the posting or sharing of photos and videos.
D. All of the above.
Sexual Assault

• Any sexual act directed against another person, without consent.

• Includes instances where a person is incapable of giving consent.

❖ Incapacitation = inability to make informed, rational judgements
  o Unable to give consent due to:
    o Drugs and/or alcohol
    o Asleep or unconscious
    o Physical, intellectual, or mental disability

Sexual Assault is defined as any sexual act directed against another person, without consent, including instances where the person is incapable of giving consent. Sexual assault includes penetration without consent, and it also includes touching of private body parts (groin, breast, buttocks) without consent. For example, if an employee took a person’s hand and forced them to touch their genitals that would be a form of sexual assault or an employee forcing another person to engage in sexual intercourse that involves penetration would be sexual assault.

An important aspect of sexual assault to know about is incapacitation. When a person is unconscious, perhaps due to drugs or alcohol, or they are asleep or have a physical, intellectual or mental disability - they are unable to make informed, rational judgements and therefore are unable to give consent to sexual activity.
Domestic Violence

A felony or misdemeanor crime of violence committed by a:

- current or former spouse or intimate partner,
- person with whom a survivor/victim shares a child,
- person who has lived or is living with the survivor/victim,
- person similarly situated to a spouse (civil union or in a long-term intimate relationship)
- person against an adult or youth who is protected under the domestic or family violence laws of the State of Illinois.

Domestic Violence is a felony or misdemeanor crime of violence committed:

- By a current or former spouse or intimate partner of the complainant;
- By a person with whom the survivor/victim shares a child in common;
- By a person who is has lived or is living with, the survivor/victim as a former or current spouse or intimate partner;
- By a person similarly situated to a spouse of the survivor/victim, meaning persons in a civil union or long-term intimate relationship, under the domestic or family violence laws of the State of Illinois;
- By any other person against an adult or youth survivor/victim who is protected from that person’s acts under the domestic or family violence laws of the State of Illinois.

For example, if a person grabs and pulls the hair of their significant other, who they live with, that would be considered domestic violence.

It’s important to note that domestic violence and dating violence are not the same, despite some of the similarities centering around violent acts committed against a person. Domestic violence occurs between people in formal, on-the-record and mutually agreed upon relationships. Let’s take a look next at what dating violence is and might look like in comparison.
Dating Violence

- Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the other person.

- How do we know if two people are in a relationship?
  - Length of time
  - Type
  - Frequency of interaction

- Dating violence includes, but is not limited to, sexual or physical abuse or the threat of abuse.

Dating Violence is violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the other person. The existence of a relationship is determined by considering the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. Dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. For example, if a person slaps or pushes another person that they have gone on multiple dates with during an argument that would be considered dating violence.

However, dating violence does not include acts covered under the definition of domestic violence. Rather, dating violence might occur between friends with benefits or in a new relationship that is informal in nature because maybe rules have not yet been established to constitute mutual agreeance of a relationship. Whereas, as we saw in the previous slide, domestic violence occurs between individuals in a formally recognized and mutually agreed upon relationship.

These two are easy to confuse, but the takeaway here is if there are any signs or threats of sexual or physical abuse, we want to recognize those signs and take action to help the person being abused. More to come on how to help as we move through this training.
Stalking

- Knowingly engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for their safety or the safety of others or suffer substantial emotional distress.

What is a course of conduct?
- two or more acts
- directly, indirectly or through third parties
- follows, monitors, observes, surveils, threatens, communicates to or about another or interferes with another's personal property
- by physical acts or electronic means, such as a computer or cell phone.

Stalking is defined as engaging in a course of conduct directed at a specific person on the basis of their sex that would cause a reasonable person to fear for their safety or the safety of others, or to suffer substantial emotional distress.

A course of conduct means two or more acts in which a person directly, indirectly or through third parties: follows, monitors, observes, surveils, threatens, communicates to or about a person, or interferes with another's personal property. Substantial emotional distress means significant mental suffering or anguish that may but does not necessarily require medical or other professional treatment or counseling.

It's important to note that it is irrelevant whether or not the person sought or received counseling or medical attention as a result of stalking. It is based on if the person suffered substantial emotional distress because of what happened.

As an example, if an employee recently broke up with their partner and that partner sends them angry and aggressive texts and then shows up without warning at to their workplace which results in the employee feeling anxious about going to work, that would be considered stalking.
Gender Harassment

- Unwelcome conduct based on gender that is determined by a reasonable person to be so severe, pervasive and objectively offensive that it effectively denies a person equal access to the university’s education or activity.

Gender Harassment, which is our last form of sexual misconduct, is unwelcome conduct based on gender that is determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the university’s education program or activity.

For example, if an instructor has a student who presents as male but who identifies as female and the instructor repeatedly prevents the student from using the restroom which the student identifies with then this would be considered gender harassment.
Q#7: An example of stalking would be:

A. Asking a coworker to go on a date.
B. Showing up at the local coffee shop in the hopes of running into a friend.
C. An employee, who recently broke up with their partner, and that partner sends them angry and aggressive texts and then shows up without warning at their workplace which results in the employee feeling anxious about going to work.
D. Texting another student about a class assignment.

The correct answer is C. For stalking to occur, there must be a course of conduct where two or more acts directly, indirectly or through third parties causes a reasonable person to fear for their safety or the safety of others or to suffer substantial emotional distress.
Reporting Sexual Misconduct

Ethics and Compliance Office
- Health Services 230
- 815-753-5560
- TitleIXCoordinator@niu.edu
- Online: go.niu.edu/File-Misconduct
- Not confidential unless victim/survivor does not file formal complaint.
- Title IX Coordinator and survivor decide if an investigation will occur; supportive measures may be possible.

Police
- NIU Police and Public Safety
- 395 Wirtz Drive
- 815-753-1212 or 911
- Not confidential

Supervisors
- Your supervisor may be considered a responsible employee or not confidential/required to make a report

As a Responsible Employee, you are required to report incidents of sexual misconduct to the Ethics and Compliance Office. You can report by either stopping by the Ethics and Compliance office in the Health Services building -- room 230, by calling (815) 753-5560, by emailing TitleIXCoordinator@niu.edu, or online at go.niu.edu/File-Misconduct. The Title IX Coordinator can receive reports anonymously and confidentially if the victim/survivor does not wish to file a formal complaint.

In addition to filing a report with the Title IX Coordinator, you may also file a report of sexual misconduct with the NIU Police. They are located at the front of the Health Services building on campus. NIU Police are not a confidential resource for reporting. If in immediate danger, call 911. Anyone can walk into the NIU police station and ask to speak to an officer. The NIU Police Department can provide information about other resources available on and off campus. The officers there are trained to support students and employees in any way they can.

You may also choose to report sexual misconduct to your supervisor. It’s important to note that because most employees at NIU are Responsible Employees, your supervisor may not be a confidential resource and may be required to make a report. Likewise, as an employee you may be a point of contact for students who experience sexual misconduct, harassment or discrimination. Inform students in these instances before they disclose that as a responsible employee, you are required to report. Take care to let them know that just because you report, does not mean an investigation will automatically occur. That decision will be up to them (the victim/survivor) and the Title IX Office; however supportive
measures including relocation of on-campus housing and campus no contact orders along with other supports may be available. Let the student or colleague know about resources for services and support that are available to them.

There are anonymous and confidential reporting options also that we will discuss in a moment.
• Reports of sexual misconduct are required to be made to:

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).

A. the Ethics and Compliance Office.
B. your supervisor.
C. both A and B.
D. They are not required to be made to anyone.

Responsible employees must report suspected or actual instances of sexual misconduct to the Ethics and Compliance Office. Reports can also be made to the NIU Police or a supervisor. Keep in mind that a supervisor may also be a responsible employee, meaning what you share may not be confidential as they may be required to report also.
University Scope

- All sexual misconduct, whether (or not) it occurs in an academic, educational, extracurricular, athletic or other university program or activity.
- On or off campus, even if the sexual misconduct did not occur in the context of a university or education program or activity.
- NIU Student or Employee involvement.

You might be wondering if there are any situations where you would not be required to report sexual misconduct you learn of, for example, if a student tells you they were sexually assaulted off campus by a non-student. You are required to report ALL sexual misconduct you become aware of, regardless of where it occurred or when it occurred and regardless if it involves a student or employee of the university. The student or employee may be the person that experienced the sexual misconduct or is alleged to have engaged in it. When in doubt, report. The Ethics and Compliance Office will do the work from there to determine if and how next steps, including an investigation, will go.
This slide provides information about confidential resources, meaning whatever is shared with individuals at these offices cannot be shared with anyone else without permission. Coworkers or students may want to talk with a confidential resource following an instance of sexual misconduct for support, guidance, and to learn about their options regarding pursuing an investigation and pressing criminal charges.

**The Employee Assistance Program (EAP)** offers confidential support services to NIU employees specifically. They can be reached at 815-753-9191. **The Office of the Ombudsperson** provides confidential and informal assistance for resolving university-related concerns and will consider all sides of an issue in a neutral manner. The ombudsperson will listen to you and analyze your situation, answer questions and provide information, and offer advice and help with developing solutions. Contact the Ombudsperson at 815-753-1414. **Counseling and Consultation Services** is a confidential resource on campus. Their counselors talk with students about any concerns they may have. When it comes to domestic violence, dating violence, stalking and sexual assault they can guide students through many processes which could include individual counseling, medical options and reporting. You can contact them at 815-753-1206.

**Safe Passage**, which is DeKalb County’s Domestic Violence and Sexual Assault Crisis Center, is an important NIU partner. They provide services and support to domestic violence, dating violence, stalking and sexual assault survivors and their friends and family. Services are provided to individuals of all races, ages, genders and sexual orientations. Anyone who is fleeing an abusive partner and needs a safe place to stay can access a confidential shelter.
through Safe Passage. They also offer group, individual, and family counseling. Staff at Safe Passage are responsive 24-hours-a-day to the emergency room at Kishwaukee Hospital in DeKalb offering support day or night to individuals who have experienced domestic violence or sexual assault. Safe Passage has legal advocates who guide people through the criminal justice system. Although they are not able to be a personal attorney for victims/survivors, legal advocates are experts on the law, can assist with filing orders of protection, and can answer many questions a person may have about taking legal action. They won’t report to law enforcement or NIU unless the victim/survivor wants them too. If a victim/survivor decides to report, Safe Passage offers support with the entire process. For more information or if you just need to talk, call Safe Passage, day or night at 815-756-5228 or text them at 815-393-1995. All their services are completely free and confidential.

The **NIU Trauma Services Clinic** is a confidential resource that specializes in a full range of outpatient psychological services to the community and NIU students, faculty and staff. The Trauma Services Clinic specializes in the assessment and treatment of Posttraumatic Stress Disorder (PTSD), a disorder that may occur following trauma exposures such as accidents, disasters, sexual assault, dating violence, domestic violence and stalking. The trauma clinic can be contacted at 815-753-0372. The **Student Health Center** is also a confidential resource and can help with basic medical needs. They can also refer students to other medical professionals for situation they don’t cover. The Student Health Center can be contacted at 815-306-2777.
Question #9: Which of the following is a true statement?

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).

A. Sexual misconduct must be reported whether or not the instance occurred on-or-off campus.
B. The Employee Assistance Program (EAP) is a confidential resource for employees.
C. Safe Passage offers a variety of supports and services to victims/survivors of sexual misconduct and their friends/families.
D. All of the above.
An important part of making sure NIU is a place where we can all thrive is when Huskies (whether an employee or a student) act as active bystanders when they see a negative situation or a situation that could be problematic. While a bystander is a person who observes a behavior that is unacceptable and could be potentially harmful to another person’s health or well-being and does not take action, an active bystander safely takes action to stop or decrease the negative behavior or situation. At NIU, Huskies are active bystanders!

We have all been in situations where we see something that feels off but hesitate to step in or do anything. This can happen for a number of reasons. Some of the common barriers to stepping in may include: our own personal feelings or beliefs about the situation or people; maybe we don’t know the people involved; maybe we consider our own personal safety in the situation; maybe you are in a space you’ve never been before; or maybe our culture or upbringing may have taught us not to interfere in other people’s relationships. One of the most common thoughts that stops people from taking action when they see a negative situation is simply thinking or assuming that someone else will notice the negative situation and take action so that we don’t have to. Unfortunately, this is not always the case. While others may notice the negative situation, they may also think someone else will take action. So it is up to an active bystander to do the right thing. Let’s talk next about some techniques that can help you to be an active bystander and stop violence and misconduct the next time a negative situation occurs.
An active bystander can take on the role of:

A Delegator: A delegator can get help. They can talk to their supervisor, for example, and ask for options to address the behavior. And of course, if the situation seems too harmful to take action, use your delegator skills to call 911 or contact someone in authority to help.

The Distractor: A Distractor can help by drawing attention away from the negative situation— they could say, “Hey, I need your help with this project so please come over to my desk for a moment” and then guide the person away.

The Director: A Director helps by directly intervening or directly addressing the inappropriate behavior. Saying something like “Hey that’s inappropriate so please stop” might be something an active bystander who decides to take on the role of a Director might do.

Each person may be comfortable using a different method or depending on the situation may use multiple methods. Use whatever technique you feel most comfortable with.

Using the 3D’s of active bystander intervention can help build confidence when we see harmful behavior such as possible discrimination, harassment, sexual assault, stalking, domestic violence, dating violence or any other negative situation. It can seem difficult to intervene, but being an active bystander helps keep our fellow Huskies safe.
Q#10:

• An active bystander:

(This will be a Kaltura Video Quiz question, rather than a slide. The answer (below) will be provided after a response is given to this question).

A. safely takes action to stop or decrease the negative behavior or situation.
B. can act as a Delegator, a Distractor, or a Director.
C. may act as a delegator, and get help; a distractor, and make a joke; or a director, and tell the person causing the negative situation to stop.
D. All of the above.
Thank you for listening to this important presentation on nondiscrimination, harassment, retaliation and sexual misconduct awareness and prevention training. If you have questions or comments about any of the content provided in this training, please reach out to us at adei-training@niu.edu.

(Kaltura Video Quiz – closing yes/no question): Attestation Statement “I certify that I have carefully read and reviewed the content of, and completed, the 2021 New Employee Nondiscrimination, Harassment, and Sexual Misconduct Awareness and Prevention Training. Furthermore, I certify that I have reviewed the Legal Definitions Handout and I understand my failure to comply with the laws, rules, policies, and procedures referred to within this training course may result in disciplinary action up to and including termination of State employment, administrative fines, and possible criminal prosecution, depending on the nature of the violation.”