

# Illinois Environmental Protection Agency Unsewered Communities Construction Grant Program

## Notice of Funding Opportunity Summary Information

Data Field	
1. Awarding Agency Name:	Illinois Environmental Protection Agency (Illinois EPA)
2. Agency Contact:	Lanina Clark <a href="mailto:lanina.clark@illinois.gov">lanina.clark@illinois.gov</a> 217-782-2027
3. Announcement Type:	<input checked="" type="checkbox"/> Initial announcement <input type="checkbox"/> Modification of a previous announcement
4. Type of Assistance Instrument:	Grant
5. Funding Opportunity Number:	1
6. Funding Opportunity Title:	Unsewered Communities Construction Grant Program
7. CSFA Number:	532-00-2477
8. CSFA Popular Name:	Unsewered Communities Construction Grant Program
9. CFDA Number(s):	N/A
10. Anticipated Number of Awards:	4-10 grant awards
11. Estimated Total Program Funding:	\$20,000,000 per year
12. Award Range	\$500,000 - \$5,000,000
13. Source of Funding:	<input type="checkbox"/> Federal or federal pass-through <input checked="" type="checkbox"/> State <input type="checkbox"/> Private / other funding
14. Cost Sharing or Matching Requirement:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
15. Indirect Costs Allowed  Restrictions on Indirect Costs	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, provide the citation governing the restriction:
16. Posted Date:	September 23, 2020
17. Application Range:	September 23, 2020 through 5:00 p.m. (CST) on December 31, 2020
18. Technical Assistance Session:	Session Offered: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Session Mandatory: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Illinois Environmental Protection Agency  
**Unsewered Communities Construction Grant Program**

**Notice of Funding Opportunity**  
**Detailed Information**

**A. Program Description**

This Notice of Funding Opportunity (NOFO) is to advise potential applicants of the availability of grant funds through the Unsewered Communities Construction Grant Program (UCCGP). The Illinois Environmental Protection Agency (Illinois EPA) is seeking proposals for projects which will provide wastewater collection and/or treatment solutions for areas where wastewater collection and/or treatment are presently nonexistent or inadequate.

Eligible Applicants must be a “Local Government Unit” as detailed in Section C (page 3) of this NOFO.

Funding will NOT be provided for projects which are for the rehabilitation of existing wastewater collection or treatment facilities. Funds will be provided for construction related activity only.

For projects to be viable, it is anticipated applicants will require supplemental funding in addition to the funds being offered through this grant program. Applicants may request supplemental funding in the form of a low interest loan through the Illinois EPA’s Water Pollution Control Loan Program (WPCLP). To enquire about funding through the WPCLP, please call 217-782-2027 and ask to speak with the Infrastructure Financial Assistance Section Project Manager on call.

Illinois EPA will prioritize and select projects, according to the ranking criteria outlined in Section E.2 of this NOFO.

**B. Funding Information**

The UCCGP utilizes funds distributed by the State of Illinois generated under the Build Illinois Bond Fund and Anti-Pollution Bond Fund. Illinois EPA expects to award a total of **\$20,000,000** and anticipates distributing this amount across four (4) to ten (10) awards. Funding will be limited to a maximum of \$5,000,000 per project.

An individual grant will be for a maximum percentage of the construction costs based upon the Median Household Income (MHI) of the applicant’s service population as a % of the state average MHI as follows:

<u>Applicant MHI vs State Average MHI</u>	<u>Maximum Grant Amount % of construction cost</u>
<b>Below the state average</b>	<b>100%</b>
<b>100-110% of state average MHI</b>	<b>90%</b>
<b>110-120% of state average MHI</b>	<b>80%</b>
<b>120-130% of state average MHI</b>	<b>70%</b>
<b>130-140% of state average MHI</b>	<b>60%</b>
<b>140-150% of state average MHI</b>	<b>50%</b>
<b>Above 150% of state average MHI</b>	<b>Not eligible for grant funding</b>

A breakdown of all costs necessary to make the project viable must be provided. Identified costs shall include, but may not be limited to, all planning, design and construction related activity. The anticipated source(s) of all funding necessary to make the project viable, and a schedule for obtaining all funding, must be included with all applications.

The UCCGP is a reimbursement program. The grantee must perform the work, pay project costs, and submit an invoice with supporting documentation before Illinois EPA will reimburse the grantee for any approved eligible costs. Illinois EPA shall only make reimbursements to the grantee and shall reimburse the grantee no more frequently than monthly.

The Illinois EPA may elect to partially fund an application by funding discrete portions or phases of the project scope of work. This could be due to ineligible project components identified in the application or not enough UCCGP funds being available to fully fund the entire project.

## C. Eligibility Information

### 1. Eligible Applicants

Applicants must be in good standing with the Secretary of the State. Eligible proposals will come from a local government unit within the State of Illinois, as defined in Title 35 of the Illinois Administrative Code Part 365 as follows;

*Local Government Unit – A county, municipality, township, municipal or county sewerage or utility authority, sanitary district, public water district, improvement authority or any other political subdivision whose primary purpose is to construct, operate and maintain wastewater treatment facilities, including storm water treatment systems, or public water supply facilities or both. [415 ILCS 5/19.2(g)]*

To qualify for grant funding, the annual user charges necessary to provide wastewater collection and/or treatment for residential customers served by the project will be established by ordinance for the useful life of the project at a level equal to at least 1.0% of the service population's median household income. A draft ordinance should be submitted with the UCCGP application. Adoption of the ordinance will be required prior to disbursement of any grant funds.

An entity may not apply for a grant until the entity has registered and pre-qualified through the Grant Accountability and Transparency Act (GATA) Grantee Portal, [www.grants.illinois.gov/portal](http://www.grants.illinois.gov/portal). Registration and pre-qualification are required annually. During GATA pre-qualification, verifications are performed including a check of the federal Debarred and Suspended list and status on the Illinois Stop Payment List. An automated email notification from the GATA Portal to the entity alerts them of 'qualified' status or informs how to remediate a negative verification (e.g., inactive DUNS, not in good standing with the Secretary of State). A federal Debarred and Suspended status cannot be remediated.

UCCGP applicants must have the financial ability to initiate the project, pay expenses, and then request reimbursement from the Illinois EPA, while continuing to finance their normal operating expenses. UCCGP applicants must have the authority and ability to implement the proposed project or propose to subcontract portions of the project to the appropriate entities for implementation.

## 2. Project Match

The UCCGP does not require a match, but additional funds may be necessary to complete the project as UCCGP amounts may not be sufficient to complete the project. See Section B of this NOFO.

## 3. Indirect Cost Rate

The grant will not compensate for the indirect costs, overhead, or administrative expenses directly incurred by the applicant associated with applying for this funding opportunity or the completion of the project.

## D. Application and Submission Information

Applicants **shall not apply** to the UCCGP until the applicant has pre-qualified through the GATA Grantee Portal, <http://www.grants.illinois.gov/portal/>.

### 1. Content and Form of Application Submission

A complete UCCGP application must include the following:

Applicable forms available on the Illinois EPA website:

<https://www2.illinois.gov/epa/topics/grants-loans/unsewered-communities/Pages/UCCGP.aspx>

- a) Uniform Application for State Grant Assistance
- b) Unsewered Communities Construction Grant Program Uniform Grant Budget Template
  - the entire budget for all construction activity and construction observation/engineering should be provided, with all worksheets completed even if the worksheets are not relevant to the project.
- c) A Project Plan, meeting the requirements of 35 Ill. Adm Code 365.320 (guidance below) or an equivalent planning document or engineering study which, at a minimum:
  - 1) Identifies a project which provides a solution to an identified need for wastewater collection and/or treatment facilities where currently collection and/or treatment facilities do not exist or are inadequate.
  - 2) Provides an analysis of various alternatives which will identify the most cost-effective solution to the need identified in (1) above. The alternatives considered must include, where applicable;
    - i. Construction of wastewater collection facilities for all residences within the project area;
    - ii. Connection of all residences within the project area to an existing wastewater treatment facility;
    - iii. Connection of all residences within the project area to a new wastewater treatment facility; and
    - iv. Land application of wastewater treatment facility effluent.
  - 3) The cost-effectiveness analysis provided in accordance with (2) above must include a comparison of the life-cycle costs of alternatives considered for the useful life of those alternatives taking the following into account:
    - a. The cost of constructing all necessary components of the project;

- b. The annualized cost of operating and maintaining the project over the useful life of the project; and
  - c. The cost(s) of replacing the project at the end of the useful life for all project components.
- 4) Details the existing (if applicable) and proposed user charge system(s) as a result of the project. The cost estimate for the chosen alternative, and associated user charge system(s), must include a reserve account which would support the operational, maintenance and replacement costs of all wastewater collection and/or treatment facilities. A draft ordinance detailing the proposed user charge system should be provided with the UCCGP grant application. Adoption of the ordinance prior to grant award will be required.
- d) An Unsewered Communities Construction Grant Program Evaluation Criteria Information Sheet. The information provided within this sheet, along with other submitted application materials, will be used by the Agency's Evaluation Panel to rank and prioritize applications in accordance with the scoring system contained within Section E(2) of this NOFO.
- e) Illinois Works Forms (as appropriate)  
For grants with an estimated total project cost of \$500,000 or more, the grantee will be required to comply with the Illinois Works Apprenticeship Initiative; 30 ILCS 559/20-20 to 559/20-25 and all applicable administrative rules. Applicants should submit the "Budget Supplement" or "Request for Waiver" form as appropriate. The "estimated total project cost" is a good faith approximation, at the time an applicant submits a grant application, of the costs of an entire project being paid for in whole or in part by appropriated capital funds to construct a public work. Prior to awarding any construction contracts, grant recipients will be required to submit the appropriate form(s) with actual as bid costs represented.
- Illinois Works Jobs Program Act - Apprenticeship Initiative Budget Supplement for Public Works Projects Funded by State Appropriated Capital Funds
  - Illinois Works Jobs Program Act – Request for Waiver or Reduction of Public Works Project Apprenticeship Goals

Guidance on the information required within a Project Plan can be obtained online by clicking on the "Project Plan Submittal Checklist (Wastewater)" on the following webpage;

<https://www2.illinois.gov/epa/topics/grants-loans/state-revolving-fund/Pages/state-revolving-fund-forms.aspx>

Please direct questions regarding the content and completion of a Project Plan to the Infrastructure Financial Assistance Section Project Manager on call at 217-782-2027.

The Illinois EPA requires the UCCGP applicants to use the forms provided in the GATA Portal. These forms are designed to allow the Illinois EPA to obtain enough information to properly evaluate the proposed project.

All UCCGP forms that require a signature must be signed by persons authorized by the applicant organization to enter into formal contractual agreements.

Applications must be submitted in an electronic format. Submissions can be made either:

- a) By email using the [Illinois.Gov File Transfer tool](#) to [EPA.LoanMgmt@illinois.gov](mailto:EPA.LoanMgmt@illinois.gov). Include the

phrase “Unsewered Communities Construction Grant Application”, project title and applicant name in the “Message to Recipient” box. **Do NOT** email files direct, without the file transfer tool, as the Illinois EPA firewall might reject the application. Contact the Infrastructure Financial Assistance Section at (217) 782-2027 regarding the use of the file transfer tool including file size limitations or potential firewall issues.

or

b) By mailing or delivering the application on a CD or USB directly to:

**US Postal Service Mailing Address:**

Illinois Environmental Protection Agency  
Bureau of Water, #15  
P.O. Box 19276  
Springfield, Illinois 62794-9276  
  
Attention: Lanina Clark

**Delivery Address:**

Illinois Environmental Protection Agency  
Bureau of Water, #15  
1021 North Grand Avenue East  
Springfield, Illinois 62702  
  
Attention: Lanina Clark

**2. Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM)**

Each applicant (unless the applicant is an individual or federal or State awarding agency that is exempt from those requirements under 2 C.F.R. § 25.110(b) or (c), or has an exception approved by the federal or State awarding agency under 2 C.F.R. § 25.110(d) is required to:

- a) be registered in SAM **before** submitting its application (Register at: <https://www.sam.gov/>);
- b) provide a valid DUNS number in its application; and
- c) maintain an active SAM registration with current information at all times during which it has an active federal, federal pass-through, or State award or an application or plan under consideration by a federal or State awarding agency.

The Illinois EPA shall not make a State award to an applicant unless the applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with these requirements by the application deadline, the Illinois EPA shall determine the applicant as not qualified to receive a State award. The Illinois EPA shall use that determination as a basis to make a State award to another qualified applicant.

**3) Submission Dates and Times**

UCCGP applications are accepted by Illinois EPA until December 31, 2020. The complete electronic copy of the application must be received at Illinois EPA’s Springfield office by 5:00 p.m. (CST) on December 31, 2020 to be considered for funding under the UCCGP.

**The Illinois EPA shall not extend the application deadline date. Incomplete and late applications shall: 1) not be considered for funding, 2) not be returned to the applicant, and 3) preclude the Illinois EPA from making an award to the applicant.**

The Illinois EPA shall use the date of the email submittal, or the date-stamp applied by Illinois EPA to mailed or hand-delivered applications when received, to determine whether an application has been submitted on or before the deadline.

#### 4) Funding Restrictions

Examples of ineligible activities, tasks, and components that shall not be funded through the UCCGP are provided below. The list is not all-inclusive:

- Land acquisition Costs
- Project Planning Costs
- Project Design Costs
- Rehabilitation of existing wastewater collection and/or treatment facilities

Costs incurred prior to the effective term date of the grant agreement or after the grant agreement term ends are ineligible for reimbursement.

Grantees shall not use any subcontractors, outside associates or consultants in connection with any services covered by a grant agreement unless specifically authorized by the Illinois EPA. Grantees shall use procurement procedures that reflect applicable local and State of Illinois laws and regulations relating to any sub agreements for the performance of grant projects.

### E. Application Review Information

#### 1) Application Eligibility

If the answer to **any** of the following Administrative Criterion or GATA Pre-Qualification Criterion questions is **No**, the application shall not be considered for funding.

<b>Administrative Criterion</b>	<b>Yes</b>	<b>No</b>
Application meets all Administrative Criterion below and is eligible for Merit-Based Review		
	<b>Yes</b>	<b>No</b>
All application fields completed with the information requested and boxes checked, as applicable.		
Application submitted electronically to the <a href="mailto:EPA.LoanMgmt@illinois.gov">EPA.LoanMgmt@illinois.gov</a> address or delivered in an electronic format to Illinois EPA Headquarters (see page 5 for address).		
Application was received by the Illinois EPA by 5:00 p.m. (CST) on December 31, 2020.		
Included a Uniform Application for State Grant Assistance with completed signature block.		
Included an Unsewered Communities Construction Grant Program Uniform Grant Budget Template with all pages and completed signature block		
Included a Project Plan, meeting the requirements 35 Ill. Adm Code 365.320 or an equivalent planning document or engineering study		
Included an Unsewered Communities Construction Grant Program Evaluation Criteria Information Sheet		
<b>GATA Pre-Qualification Criterion</b>	<b>Yes</b>	<b>No</b>
Did the applicant meet <b>all</b> of the following pre-qualification requirements through the GATA Grantee Portal, <a href="http://www.grants.illinois.gov/portal/">http://www.grants.illinois.gov/portal/</a> , by 5:00 p.m. (CST) on December 31, 2020?		

	Yes	No
Has a valid DUNS number		
Has a current SAM.gov account		
Is not listed on the federal Excluded Parties List		
Is in good standing with the Illinois Secretary of State		
Is not listed on the Illinois Stop Payment list		
Is not listed on the Department of Healthcare and Family Services Provider Sanctions list		

## 2) Criteria, Review and Selection

All UCCGP applications shall be reviewed for completeness, technical merit, cost effectiveness, and adherence to the competitive grant evaluation criteria described in this NOFO. Applications found to be complete shall undergo a comprehensive, merit-based review performed in compliance with GATA Legislation 30 ILCS 708 and 2 CFR 200 Uniform Requirements. The merit-based review must evaluate the applications against the following criteria:

### Evaluation Criteria, Prioritization Scoring System and Selection Process.

Complete applications shall be reviewed by an Evaluation Panel consisting of Illinois EPA, BOW, Infrastructure Financial Assistance Section staff who will rank and prioritize applications in accordance with the scoring system below:

- 1) Project will eliminate a current situation where untreated or partially treated wastewater is entering waters of the state – **250 points.**
- 2) The proposed project will provide wastewater collection and/or treatment to any area within Illinois EPA [Areas of Environmental Justice Concern](#) – **200 Points.**
- 3) Project will provide wastewater collection facilities for residences currently without wastewater collection facilities – **200 points.**
- 4) Project will connect residences from multiple communities, currently without wastewater collection facilities, to an existing wastewater treatment facility – **175 points.**
- 5) Project will connect residences from a single community, currently without wastewater collection facilities, to an existing wastewater treatment facility – **150 points.**
- 6) The proposed project is the most cost-effective long-term solution – **150 points.**
- 7) A user charge ordinance has been adopted detailing the necessary fees to be collected from customers for the operation, maintenance and replacement of the wastewater collection and treatment facilities related to the proposed project – **150 points.**
- 8) Project will connect residences from a single community, or multiple communities, currently with wastewater collection facilities, to an existing wastewater treatment facility – **125 points.**
- 9) Project will connect residences from multiple communities, currently without wastewater collection facilities, to a new wastewater treatment facility – **100 points.**
- 10) Project design is complete, and applicant has investigated and ascertained the location of all necessary sites with all rights-of-way and easements obtained – **100 points.**
- 11) All necessary NPDES and construction permits are obtained – **100 points.**
- 12) Applicant has a completed Fiscal Sustainability Plan, Asset Management Plan or similar document(s) addressing the long-term plan for maintaining, repairing, funding and as necessary, replacing the project treatment works – **100 points.**



- 13) Project will NOT require a new NPDES permitted discharge – **100 points**.
- 14) Project will connect residences from a single community, currently without wastewater collection facilities, to a new wastewater treatment facility – **75 points**.
- 15) Project will connect residences from a single community, or multiple communities, currently with wastewater collection facilities, to a new wastewater treatment facility – **75 points**.

UCCGP funds will be awarded per this NOFO starting with the highest ranked (most points) project first. Available funds will be awarded to the next ranked project on the list until all available funds have been exhausted. If two, or more, projects receive the same amount of points, the project(s) serving a higher population will be ranked above any project serving a lower population.

### **3) Appeal Process**

An appeal process is available to applicants and other interested parties; however, appeals are limited to the Illinois EPA evaluation process. Evaluation scores may not be protested. An appeal must be submitted in writing to the following address: Illinois EPA, Attn: Infrastructure Financial Assistance Section, Bureau of Water, 1021 N. Grand Avenue, Mail Code #15, P.O. Box 19276, Springfield, Illinois 62794-9276 and must be received within 14 calendar days after the date that the grant award notice has been published by the Illinois EPA on Grants.Illinois.gov. The written appeal shall include at a minimum the following: the name and address of the appealing party, identification of the grant, and a statement of reasons for the appeal. Illinois EPA shall acknowledge receipt of an appeal within fourteen (14) calendar days from the date the appeal was received.

When an appeal is received, the execution of all grant agreements that Illinois EPA intends to make under this NOFO shall be stayed until the appeal is resolved, unless the Illinois EPA determines the needs of the State require moving forward with execution of the remaining grant agreements. The State need determination and rationale shall be documented in writing.

Illinois EPA shall respond to the appeal within 60 days or supply a written explanation to the appealing party as to why additional time is required. The appealing party must supply any additional information requested by Illinois EPA within the time period set in the request. The Director of the Illinois EPA may appoint one or more Appeal Review Officers (ARO) to consider the grant-related appeal and make a recommendation to the Director of the Illinois EPA or designee for resolution. The ARO shall make a recommendation to the Director of the Illinois EPA or designee as expeditiously as possible after receiving all relevant, requested information. In determining the appropriate recommendation, the ARO shall consider the integrity of the competitive grant process and the impact of the recommendation on the Illinois EPA. The Illinois EPA shall resolve the appeal by means of written determination, which shall include, but not be limited to: review of the appeal, appeal determination, and rationale for the determination.

### **4) Anticipated Announcement and State Award Dates**

Illinois EPA's Infrastructure Financial Assistance Section shall present a summary of all applications received to the Chief of the Bureau of Water and the Director of the Illinois EPA. This summary shall confirm that the NOFO was followed and will include the findings and recommendations of the Evaluation Panel, including application rank and funding recommendations.

<b>Action by Illinois EPA</b>	<b>Anticipated Date</b>
Notify applicants that their application was received.	Within 30 days of application deadline
Notify unsuccessful applicants their application was not selected for funding.	Within 60 days of application deadline
Send a "Notice of State Award" to selected applicants for signature.	March 31, 2021
Send a grant agreement to selected applicants for signature.	May 17, 2021
Provide a fully executed grant agreement to the grantee.	July 16, 2021

The Illinois EPA shall send an e-mail to the applicant acknowledging receipt of the application. Applicants shall be notified, at this time, if their application was not considered for funding due to an incomplete or late application submittal or if they were not pre-qualified in the GATA Grantee Portal by the application deadline.

Following a decision of intent to award, the Illinois EPA is required by GATA to conduct a fiscal and administrative risk assessment and programmatic risk assessment of the applicant. Additional information may be required at that time from the successful applicant. For the applicant to remain eligible for the award, it must provide the requested information within the time specified by the Illinois EPA. The Fiscal and Administrative Risk Assessment Questionnaire is available on the GATA Portal. The Programmatic Risk Assessment, provided by Illinois EPA, evaluates the applicant's ability to successfully carry out the terms of Unsewered Communities Construction Grant Program and assesses four risk categories: 1) quality of management systems and ability to meet the management standards; 2) history of performance; 3) reports and findings from audits performed on prior awards; and 4) the applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on a grantee.

## **F. Award Administration Information**

### **1) Notice of State Award (NOSA)**

The Illinois EPA shall issue a Notice of State Award (NOSA) to the successful grant applicants. The NOSA shall specify the funding terms and specific conditions resulting from the Fiscal and Administrative and Programmatic Risk Assessments. The NOSA must be accepted by an authorized representative before a grant agreement can be sent to the applicant. A NOSA is not an authorization to begin project activities or incur costs.

Once all the final requirements have been completed, the grant agreement between the Illinois EPA and the applicant shall be sent to the applicant to sign and return. Once the agreement is fully executed by the Illinois EPA, a copy shall be returned to the applicant.

### **2) Administrative, State, and National Policy Requirements**

The terms and conditions of the award shall be specified in the NOSA and the grant agreement.

No grantee shall receive a grant or any other State or federal assistance that would provide 100% or more of the eligible project costs.

If the grantee of a UCCGP grant is at any time offered any State, federal, or local grant or any other

funding source, to assist in the payment of expenses for which the UCCGP grant payment has been issued, the recipient shall reimburse the State of Illinois for any UCCGP grant funds used to pay expenses or offered to be paid under another grant or funding source.

Each grantee must agree to comply with all applicable federal and State requirements. **This includes Uniform Administrative Requirements, 2 C.F.R. § 200 and GATA.** These can have a significant impact on the costs and complexity of a project. UCCGP grantees will be expected to submit signed assurances that they will comply with all State and federal mandates, including:

- a) The Interagency Wetland Policy Act of 1989; 20 ILCS 830/: In accordance with the Interagency Wetland Policy Act of 1989, a grantee whose proposed project site is located on or within 250 feet of a wetland site listed on the National Wetlands Inventory will be required to comply with the requirements of the Act. This includes developing a plan to minimize adverse impacts on wetlands or providing written evidence that the proposed project will not have an adverse impact on a wetland. Project must also comply with Federal Wetlands Protection regulations at 24 C.F.R. § 58.5(b)(2), which may require preparation of an Eight-Step Wetlands Review.
- b) Illinois Endangered Species Protection Act; 520 ILCS 10/ and the Illinois Natural Area Preservation Act; 525 ILCS 30: Compliance requires consultation with the Endangered Species Consultation Program of the Illinois Department of Natural Resources to assure compliance. The consultation process must be implemented to avoid or minimize adverse impacts to State-listed species and their essential habitats that may result from the actions of State and local units of government. Grantees must certify the completion of the consultation process.
- c) Illinois Prevailing Wage Act; 820 ILCS 130/: The Illinois Prevailing Wage Act requires the payment of prevailing wages for all construction funded in whole or in part with State funds, including funds passed through to private firms. Grantees shall comply with all requirements of the Prevailing Wage Act, including but not limited to, inserting into all contracts for construction a stipulation to the effect that not less than the prevailing rate of wages as applicable to the project shall be paid to all laborers, workers, and mechanics performing work under the award and requiring all bonds of contractors to include a provision as will guarantee the faithful performance of such prevailing wage clause as provided by contract. Grantees will be required to report on Prevailing Wage Act compliance. If your project involves construction and/or equipment installation, go to <https://www2.illinois.gov/idol/Laws-Rules/CONMED/Pages/prevailing-wage-act.aspx> for more information.
- d) Illinois Works Jobs Program Act; 30 ILCS 559/: Grantees must comply with requirements in the Illinois Works Jobs Program Act. For grants with an estimated total project cost of \$500,000 or more, the grantee will be required to comply with the Illinois Works Apprenticeship Initiative; 30 ILCS 559/20-20 to 559/20-25 and all applicable administrative rules. The “estimated total project cost” is a good faith approximation, at the time an applicant submits a grant application, of the costs of an entire project being paid for in whole or in part by appropriated capital funds to construct a public work. The goal of the Illinois Works Apprenticeship Initiative is that apprentices will perform either 10% of the total labor hours actually worked in each prevailing wage classification or 10% of the estimated labor hours in each prevailing wage classification, whichever is less. Grantees will be permitted to seek a waiver or reduction of this goal in certain circumstances pursuant to 30 ILCS 559/20-20(b). The grantee must ensure compliance for the life of the entire project, including during the term

of the grant and after the term ends, if applicable, and will be required to report on and certify its compliance.

- e) Business Enterprise Program for Minorities, Females, and Person with Disabilities Act; 30 ILCS 575/: For grant awards of \$250,000 or more, the grantee will be required to comply with the Business Enterprise Program for Minorities, Females, and Persons with Disabilities Act, which establishes a goal for contracting with businesses that have been certified as owned and controlled by persons who are minority, female, or who have disabilities. The grantee must document a plan for compliance prior to the establishment of the grant agreement and ensure compliance through the life of the grant.
  
- f) The National Emission Standards for Hazardous Air Pollutants for Source Categories; 40 C.F.R. § 63: These standards assure that, when existing buildings are demolished and/or renovated, people outside of those buildings (i.e., passers-by or neighborhood residents) are protected from airborne asbestos. If asbestos materials are involved in the rehabilitation work, the grantee must contact the Field Operations Section, Bureau of Air of the Illinois EPA to ensure compliance with Asbestos NESHAP.

In the grant agreement, the grantee shall be required to:

- Certify that it does and shall comply with all provisions of the federal Internal Revenue Code (26 USC 1), the Illinois Income Tax Act; 35 ILCS 5/, and all rules promulgated thereunder, including withholding provisions and timely deposits of employee taxes and unemployment insurance taxes.
- Certify that it does and shall comply with the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006; PL 109–282, September 26, 2006, 120 Stat 1186 with respect to federal Awards greater than or equal to \$25,000.
- Certify that it shall adhere to the applicable Uniform Administrative Requirements, Cost Principles, and Audit Requirements; 2 C.F.R. 200.
- Certify that it and its sub-grantees shall: (i) be registered with the federal SAM if seeking an Award that is partially or fully paid by federal funds and registered with the State equivalent of SAM; (ii) be in good standing with the Illinois Secretary of State; and (iii) have a valid DUNS number. It is Grantee’s responsibility to remain current with these registrations and requirements. If Grantee’s status with regard to any of these requirements change, or the certifications made in and information provided in the Uniform Grant Application changes, Grantee’s must notify the Grantor in accordance with ARTICLE XVIII.

### 3) Reporting

All projects require quarterly reporting of progress and a final project evaluation and report. Quarterly progress reports shall include both a [Periodic Financial Report](#) and a [Periodic Performance Report](#). These reports need to be sent to the Illinois EPA’s Infrastructure Financial Assistance Section staff at:

Illinois Environmental Protection Agency  
Bureau of Water, #15  
1021 North Grand Avenue East  
P.O. Box 19276  
Springfield, Illinois 62794-9276  
Email: [lanina.clark@illinois.gov](mailto:lanina.clark@illinois.gov)  
Phone: (217) 782-2027 (Lanina Clark)

All projects require a final project report. The grantee shall submit to the Illinois EPA, by the date specified in the grant agreement, a report explaining the execution of the project and evaluating the project's success. The report shall document the project tasks and summarize the findings, costs, and benefits of the project.

#### **G. State Awarding Agency Contact(s)**

If you have any programmatic questions regarding the UCCGP, this NOFO, or the UCCGP application, contact the Illinois EPA's Infrastructure Financial Assistance Section staff at:

Illinois Environmental Protection Agency  
Bureau of Water, #15  
1021 North Grand Avenue East  
P.O. Box 19276  
Springfield, Illinois 62794-9276  
Email: [lanina.clark@illinois.gov](mailto:lanina.clark@illinois.gov)  
Phone: (217) 782-2027 (Lanina Clark)

If you have fiscal and administrative questions regarding the Uniform Grant Application, the Unsewered Communities Construction Grant Program Uniform Grant Budget Template, Internal Controls Questionnaire (ICQ), or pre-qualifying through the GATA Grantee Portal, contact the Illinois EPA's Fiscal staff at:

Illinois Environmental Protection Agency  
Fiscal  
1021 North Grand Avenue East  
P.O. Box 19276  
Springfield, Illinois 62794-9276  
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#### **H. Other Information**

Additional information about UCCGP can be found on Illinois EPA's website:  
<https://www2.illinois.gov/epa/topics/grants-loans/unsewered-communities/Pages/UCCGP.aspx>

Illinois EPA is not obligated to make any grant award as a result of this NOFO.

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