FOR BHF USE

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2020
STATE OF ILLINOIS
DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES
FINANCIAL AND STATISTICAL REPORT (COST REPORT)
FOR LONG-TERM CARE FACILITIES
(FISCAL YEAR 2020)

IMPORTANT NOTICE
THIS AGENCY IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY TO ACCOMPLISH THE STATUTORY PURPOSE AS OUTLINED IN 210 ILCS 45/3-208. DISCLOSURE OF THIS INFORMATION IS MANDATORY. FAILURE TO PROVIDE ANY INFORMATION ON OR BEFORE THE DUE DATE WILL RESULT IN CESSATION OF PROGRAM PAYMENTS. THIS FORM HAS BEEN APPROVED BY THE FORMS MANAGEMENT CENTER.

I. IDPH License ID Number: 0041731

Facility Name: ASCENSION SAINT ANNE PLACE

Address: 4405 HIGHCREST ROAD (Number) ROCKFORD (City) 61107 (Zip Code)

County: WINNEBAGO

Telephone Number: 815-229-1999 **Fax #** 815-229-1560

HFS ID Number:

Date of Initial License for Current Owners: 10-06-86

Type of Ownership:

X	VOLUNTARY,NON-PROFIT		PROPRIETARY		GOVERNMENTAL
X	Charitable Corp.		Individual		State
	Trust		Partnership		County
			Corporation		Other
			"Sub-S" Corp.		
			Limited Liability Co.		
			Trust		
			Other		

IRS Exemption Code 501C3

In the event there are further questions about this report, please contact:
Name: PAULA MILLER Telephone Number: 816-596-5608
Email Address:

II. CERTIFICATION BY AUTHORIZED FACILITY OFFICER

I have examined the contents of the accompanying report to the State of Illinois, for the period from 7/1/19 to 6/30/20 and certify to the best of my knowledge and belief that the said contents are true, accurate and complete statements in accordance with applicable instructions. Declaration of preparer (other than provider) is based on all information of which preparer has any knowledge.

Intentional misrepresentation or falsification of any information in this cost report may be punishable by fine and/or imprisonment.

Officer or Administrator of Provider

(Signed) (Date)
(Type or Print Name) MICHAEL GORDON
(Title) CFO

Paid Preparer

(Signed) (Date)
(Print Name and Title) Eric J. Neidig, Senior Manager
(Firm Name & Address) Bradley Associates, 201 S Capitol Ave, Suite 700, Indianapolis, IN 46225
(Telephone) (317) 237-5500 Fax # (317) 237-5503

MAIL TO: BUREAU OF HEALTH FINANCE
ILLINOIS DEPT OF HEALTHCARE AND FAMILY SERVICES
201 S. Grand Avenue East
Springfield, IL 62763-0001 Phone # (217) 782-1630

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

III. STATISTICAL DATA

A. Licensure/certification level(s) of care; enter number of beds/bed days, (must agree with license). Date of change in licensed beds NONE

	1 Beds at Beginning of Report Period	2 Licensure Level of Care	3 Beds at End of Report Period	4 Licensed Bed Days During Report Period	
1	179	Skilled (SNF)	179	65,514	1
2		Skilled Pediatric (SNF/PED)			2
3		Intermediate (ICF)			3
4		Intermediate/DD			4
5		Sheltered Care (SC)		0	5
6		ICF/DD 16 or Less			6
7	179	TOTALS	179	65,514	7

B. Census-For the entire report period.

	1 Level of Care	2 Patient Days by Level of Care and Primary Source of Payment: Medicaid Recipient	3 Private Pay	4 Other	5 Total	
8	SNF	16,876	7,812	10,231	34,919	8
9	SNF/PED					9
10	ICF					10
11	ICF/DD					11
12	SC					12
13	DD 16 OR LESS					13
14	TOTALS	16,876	7,812	10,231	34,919	14

C. Percent Occupancy. (Column 5, line 14 divided by total licensed bed days on line 7, column 4.) 53.30%

D. How many bed reserve days during this year were paid by the Department?
0 (Do not include bed reserve days in Section B.)

E. List all services provided by your facility for non-patients.
(E.g., day care, "meals on wheels", outpatient therapy)
N/A - NONE

F. Does the facility maintain a daily midnight census? YES

G. Do pages 3 & 4 include expenses for services or investments not directly related to patient care?
YES [ ] NO [X]

H. Does the BALANCE SHEET (page 17) reflect any non-care assets?
YES [ ] NO [X]

I. On what date did you start providing long term care at this location?
Date started 10-06-86

J. Was the facility purchased or leased after January 1, 1978?
YES [X] Date 10-06-86 NO [ ]

K. Was the facility certified for Medicare during the reporting year?
YES [X] NO [ ] If YES, enter number of beds certified 119 and days of care provided 6,098

Medicare Intermediary NATIONAL GOVERNMENT SERVICES

IV. ACCOUNTING BASIS

ACCRUAL	MODIFIED CASH*	CASH*
[X]	[ ]	[ ]

Is your fiscal year identical to your tax year? YES [X] NO [ ]

Tax Year: 6-30-20 Fiscal Year: 6-30-20

* All facilities other than governmental must report on the accrual basis.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

V. COST CENTER EXPENSES (throughout the report, please round to the nearest dollar)

	Operating Expenses	Costs Per General Ledger				Reclass-ification	Reclassified Total	Adjust-ments	Adjusted Total	FOR BHF USE ONLY		
		Salary/Wage	Supplies	Other	Total							
	A. General Services	1	2	3	4	5	6	7	8	9	10	
1	Dietary		29,566	870,604	900,170		900,170	0	900,170			1
2	Food Purchase		330,472		330,472		330,472	(38,656)	291,816			2
3	Housekeeping	109,638	979		110,617		110,617	5,030	115,647			3
4	Laundry	43,770		70,503	114,273	0	114,273	(5,166)	109,107			4
5	Heat and Other Utilities			223,703	223,703		223,703	4,573	228,276			5
6	Maintenance	114,775	46,149	167,188	328,112		328,112	3,797	331,909			6
7	Other (specify):* Pastoral	40,906	673	11,111	52,690		52,690	0	52,690			7
8	**TOTAL General Services**	309,089	407,839	1,343,109	2,060,037	0	2,060,037	(30,422)	2,029,615			8
	B. Health Care and Programs											
9	Medical Director	36,969		1,750	38,719		38,719	0	38,719			9
10	Nursing and Medical Records	3,684,216	271,429	530,424	4,486,069		4,486,069	(20)	4,486,049			10
10a	Therapy			1,248,429	1,248,429		1,248,429	0	1,248,429			10a
11	Activities	98,294	613	4,742	103,649		103,649	0	103,649			11
12	Social Services	95,916		207	96,123		96,123	0	96,123			12
13	CNA Training				0		0	0	0			13
14	Program Transportation			9,547	9,547		9,547	0	9,547			14
15	Other (specify):*				0		0	0	0			15
16	**TOTAL Health Care and Programs**	3,915,395	272,042	1,795,099	5,982,536	0	5,982,536	(20)	5,982,516			16
	C. General Administration											
17	Administrative	112,942		1,614,585	1,727,527		1,727,527	(1,614,585)	112,942			17
18	Directors Fees				0		0	0	0			18
19	Professional Services			13,677	13,677		13,677	0	13,677			19
20	Dues, Fees, Subscriptions & Promotions			27,297	27,297		27,297	(1,404)	25,893			20
21	Clerical & General Office Expenses	303,045	18,241	107,620	428,906		428,906	1,158,723	1,587,629			21
22	Employee Benefits & Payroll Taxes			916,750	916,750		916,750	(76,017)	840,733			22
23	Inservice Training & Education				0		0	0	0			23
24	Travel and Seminar			1,920	1,920		1,920	0	1,920			24
25	Other Admin. Staff Transportation			3,198	3,198		3,198	0	3,198			25
26	Insurance-Prop.Liab.Malpractice				0		0	434,871	434,871			26
27	Other (specify):*				0		0	0	0			27
28	**TOTAL General Administration**	415,987	18,241	2,685,047	3,119,275	0	3,119,275	(98,412)	3,020,863			28
29	**TOTAL Operating Expense (sum of lines 8, 16 & 28)**	4,640,471	698,122	5,823,255	11,161,848	0	11,161,848	(128,854)	11,032,994			29

*Attach a schedule if more than one type of cost is included on this line, or if the total exceeds $1000.
NOTE: Include a separate schedule detailing the reclassifications made in column 5. Be sure to include a detailed explanation of each reclassification.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE #0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

V. COST CENTER EXPENSES (continued)

	Capital Expense	Cost Per General Ledger				Reclass-ification	Reclassified Total	Adjust-ments	Adjusted Total	FOR BHF USE ONLY		
		Salary/Wage	Supplies	Other	Total							
	D. Ownership	1	2	3	4	5	6	7	8	9	10	
30	Depreciation			169,260	169,260		169,260	246,460	415,720			30
31	Amortization of Pre-Op. & Org.				0		0	0	0			31
32	Interest			56,319	56,319		56,319	0	56,319			32
33	Real Estate Taxes				0		0	0	0			33
34	Rent-Facility & Grounds				0		0	0	0			34
35	Rent-Equipment & Vehicles			54,108	54,108		54,108	0	54,108			35
36	Other (specify):*				0		0	0	0			36
37	**TOTAL Ownership**			279,687	279,687	0	279,687	246,460	526,147			37
	Ancillary Expense											
	E. Special Cost Centers											
38	Medically Necessary Transportation				0		0	0	0			38
39	Ancillary Service Centers			981,697	981,697		981,697	0	981,697			39
40	Barber and Beauty Shops			2,655	2,655		2,655	0	2,655			40
41	Coffee and Gift Shops		4,401	1,433	5,834		5,834	0	5,834			41
42	Provider Participation Fee			288,124	288,124		288,124	0	288,124			42
43	Other (specify):* Lab/Radiology			51,965	51,965		51,965	0	51,965			43
44	**TOTAL Special Cost Centers**	0	4,401	1,325,874	1,330,275	0	1,330,275	0	1,330,275			44
45	**GRAND TOTAL COST (sum of lines 29, 37 & 44)**	4,640,471	702,523	7,428,816	12,771,810	0	12,771,810	117,606	12,889,416			45

***Attach a schedule if more than one type of cost is included on this line, or if the total exceeds $1000.**

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

VI. ADJUSTMENT DETAIL

A. The expenses indicated below are non-allowable and should be adjusted out of Schedule V, pages 3 or 4 via column 7. In column 2 below, reference the line on which the particular cost was included. (See instructions.)

	NON-ALLOWABLE EXPENSES	1 Amount	2 Refer-ence	3 BHF USE ONLY	
1	Day Care	$		$	1
2	Other Care for Outpatients				2
3	Governmental Sponsored Special Programs				3
4	Non-Patient Meals	(38,271)	2		4
5	Telephone, TV & Radio in Resident Rooms				5
6	Rented Facility Space				6
7	Sale of Supplies to Non-Patients				7
8	Laundry for Non-Patients				8
9	Non-Straightline Depreciation	212,668	30		9
10	Interest and Other Investment Income				10
11	Discounts, Allowances, Rebates & Refunds				11
12	Non-Working Officer's or Owner's Salary				12
13	Sales Tax				13
14	Non-Care Related Interest				14
15	Non-Care Related Owner's Transactions				15
16	Personal Expenses (Including Transportation)				16
17	Non-Care Related Fees				17
18	Fines and Penalties	(100,421)	21		18
19	Entertainment				19
20	Contributions				20
21	Owner or Key-Man Insurance				21
22	Special Legal Fees & Legal Retainers				22
23	Malpractice Insurance for Individuals				23
24	Bad Debt				24
25	Fund Raising, Advertising and Promotional	(2,011)	20		25
26	Income Taxes and Illinois Personal Property Replacement Tax				26
27	CNA Training for Non-Employees				27
28	Yellow Page Advertising				28
29	Other-Attach Schedule PG5A	(140,097)			29
30	**SUBTOTAL (A): (Sum of lines 1-29)**	$ (68,132)		$ 0	30

BHF USE ONLY								
48		49		50		51		52	

B. If there are expenses experienced by the facility which do not appear in the general ledger, they should be entered below.(See instructions.)

		1 Amount	2 Reference	
31	Non-Paid Workers-Attach Schedule*	$		31
32	Donated Goods-Attach Schedule*			32
33	Amortization of Organization & Pre-Operating Expense			33
34	Adjustments for Related Organization Costs (Schedule VII)	185,738	Various	34
35	Other- Attach Schedule			35
36	**SUBTOTAL (B): (sum of lines 31-35)**	$ 185,738		36
37	**TOTAL ADJUSTMENTS (sum of SUBTOTALS (A) and (B))**	$ 117,606		37

***These costs are only allowable if they are necessary to meet minimum licensing standards. Attach a schedule detailing the items included on these lines.**

C. Are the following expenses included in Sections A to D of pages 3 and 4? If so, they should be reclassified into Section E. Please reference the line on which they appear before reclassification. (See instructions.)

		1 Yes	2 No	3 Amount	4 Reference	
38	Medically Necessary Transport.		X	$		38
39			X			39
40	Gift and Coffee Shops		X			40
41	Barber and Beauty Shops		X			41
42	Laboratory and Radiology		X			42
43	Prescription Drugs		X			43
44			X			44
45	Other-Attach Schedule		X			45
46	Other-Attach Schedule		X			46
47	**TOTAL (C): (sum of lines 38-46)**			$		47

ASCENSION SAINT ANNE PLACE

ID# 0041731

Report Period Beginning: 7/1/19

Ending: 6/30/20

	NON-ALLOWABLE EXPENSES	Amount	Sch. V Line Reference	
1	Meals Revenue	$ (385)	2	1
2	Medical Records	(20)	10	2
3	Fund Raising, Advertising, and Promotional	(123,551)	21	3
4	Fund Raising, Advertising, and Promotional	(9,702)	22	4
5	Laundry Revenue	(5,166)	4	5
6	Lobbying	(913)	21	6
7	State and Local Taxes	(325)	20	7
8	Refunds	(35)	21	8
9				9
10				10
11				11
12				12
13				13
14				14
15				15
16				16
17				17
18				18
19				19
20				20
21				21
22				22
23				23
24				24
25				25
26				26
27				27
28				28
29				29
30				30
31				31
32				32
33				33
34				34
35				35
36				36
37				37
38				38
39				39
40				40
41				41
42				42
43				43
44				44
45				45
46				46
47				47
48				48
49	**Total**	(140,097)		49

STATE OF ILLINOIS

Summary A

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

SUMMARY OF PAGES 5, 5A, 6, 6A, 6B, 6C, 6D, 6E, 6F, 6G, 6H AND 6I

	Operating Expenses	PAGES 5 & 5A	PAGE 6	PAGE 6A	PAGE 6B	PAGE 6C	PAGE 6D	PAGE 6E	PAGE 6F	PAGE 6G	PAGE 6H	PAGE 6I	SUMMARY TOTALS (to Sch V, col.7)	
	A. General Services													
1	Dietary	0	0	0	0	0	0	0	0	0	0	0	0	1
2	Food Purchase	(38,656)	0	0	0	0	0	0	0	0	0	0	(38,656)	2
3	Housekeeping	0	5,030	0	0	0	0	0	0	0	0	0	5,030	3
4	Laundry	(5,166)	0	0	0	0	0	0	0	0	0	0	(5,166)	4
5	Heat and Other Utilities	0	4,573	0	0	0	0	0	0	0	0	0	4,573	5
6	Maintenance	0	3,797	0	0	0	0	0	0	0	0	0	3,797	6
7	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	7
8	**TOTAL General Services**	(43,822)	13,400	0	0	0	0	0	0	0	0	0	(30,422)	8
	B. Health Care and Programs													
9	Medical Director	0	0	0	0	0	0	0	0	0	0	0	0	9
10	Nursing and Medical Records	(20)	0	0	0	0	0	0	0	0	0	0	(20)	10
10a	Therapy	0	0	0	0	0	0	0	0	0	0	0	0	10a
11	Activities	0	0	0	0	0	0	0	0	0	0	0	0	11
12	Social Services	0	0	0	0	0	0	0	0	0	0	0	0	12
13	CNA Training	0	0	0	0	0	0	0	0	0	0	0	0	13
14	Program Transportation	0	0	0	0	0	0	0	0	0	0	0	0	14
15	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	15
16	**TOTAL Health Care and Programs**	(20)	0	0	0	0	0	0	0	0	0	0	(20)	16
	C. General Administration													
17	Administrative	0	(1,614,585)	0	0	0	0	0	0	0	0	0	(1,614,585)	17
18	Directors Fees	0	0	0	0	0	0	0	0	0	0	0	0	18
19	Professional Services	0	0	0	0	0	0	0	0	0	0	0	0	19
20	Fees, Subscriptions & Promotions	(2,336)	932	0	0	0	0	0	0	0	0	0	(1,404)	20
21	Clerical & General Office Expenses	(224,920)	1,383,643	0	0	0	0	0	0	0	0	0	1,158,723	21
22	Employee Benefits & Payroll Taxes	(9,702)	(66,315)	0	0	0	0	0	0	0	0	0	(76,017)	22
23	Inservice Training & Education	0	0	0	0	0	0	0	0	0	0	0	0	23
24	Travel and Seminar	0	0	0	0	0	0	0	0	0	0	0	0	24
25	Other Admin. Staff Transportation	0	0	0	0	0	0	0	0	0	0	0	0	25
26	Insurance-Prop.Liab.Malpractice	0	434,871	0	0	0	0	0	0	0	0	0	434,871	26
27	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	27
28	**TOTAL General Administration**	(236,958)	138,546	0	0	0	0	0	0	0	0	0	(98,412)	28
29	**TOTAL Operating Expense (sum of lines 8,16 & 28)**	(280,800)	151,946	0	0	0	0	0	0	0	0	0	(128,854)	29

STATE OF ILLINOIS

Summary B

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 **Report Period Beginning:** 7/1/19 **Ending:** 6/30/20

SUMMARY OF PAGES 5, 5A, 6, 6A, 6B, 6C, 6D, 6E, 6F, 6G, 6H AND 6I

	Capital Expense	PAGES	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	PAGE	SUMMARY TOTALS	
	D. Ownership	5 & 5A	6	6A	6B	6C	6D	6E	6F	6G	6H	6I	(to Sch V, col.7)	
30	Depreciation	212,668	33,792	0	0	0	0	0	0	0	0	0	246,460	30
31	Amortization of Pre-Op. & Org.	0	0	0	0	0	0	0	0	0	0	0	0	31
32	Interest	0	0	0	0	0	0	0	0	0	0	0	0	32
33	Real Estate Taxes	0	0	0	0	0	0	0	0	0	0	0	0	33
34	Rent-Facility & Grounds	0	0	0	0	0	0	0	0	0	0	0	0	34
35	Rent-Equipment & Vehicles	0	0	0	0	0	0	0	0	0	0	0	0	35
36	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	36
37	**TOTAL Ownership**	212,668	33,792	0	0	0	0	0	0	0	0	0	246,460	37
	Ancillary Expense													
	E. Special Cost Centers													
38	Medically Necessary Transportation	0	0	0	0	0	0	0	0	0	0	0	0	38
39	Ancillary Service Centers	0	0	0	0	0	0	0	0	0	0	0	0	39
40	Barber and Beauty Shops	0	0	0	0	0	0	0	0	0	0	0	0	40
41	Coffee and Gift Shops	0	0	0	0	0	0	0	0	0	0	0	0	41
42	Provider Participation Fee	0	0	0	0	0	0	0	0	0	0	0	0	42
43	Other (specify):*	0	0	0	0	0	0	0	0	0	0	0	0	43
44	**TOTAL Special Cost Centers**	0	0	0	0	0	0	0	0	0	0	0	0	44
45	**GRAND TOTAL COST (sum of lines 29, 37 & 44)**	(68,132)	185,738	0	0	0	0	0	0	0	0	0	117,606	45

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

VII. RELATED PARTIES

A. Enter below the names of ALL owners and related organizations (parties) as defined in the instructions. Use Page 6-Supplemental as necessary.

1 OWNERS		2 RELATED NURSING HOMES		3 OTHER RELATED BUSINESS ENTITIES		
Name	Ownership %	Name	City	Name	City	Type of Business
Rhonda Anderson	BOD	Ascension Health Senior Care	Various	Ascension Health	Various	Healthcare System
Brad Partridge	BOD	Presence Our Lady of Victory	Bourbonnais	Metro Pharmacy	Various	Pharmacy
Stuart Marcus	BOD	Presence Cor Mariae Center	Rockford			
Danny Stricker	BOD	Presence St. Joseph Center	Freeport			
Michelle Hereford	BOD	Presence Villa Franciscan	Joliet			
		Presence Heritage Village	Kankakee			
		Presence Maryhaven Nursing & Rehab Center	Glenview			

B. Are any costs included in this report which are a result of transactions with related organizations? This includes rent, management fees, purchase of supplies, and so forth. [X] YES [ ] NO

If yes, costs incurred as a result of transactions with related organizations must be fully itemized in accordance with the instructions for determining costs as specified for this form.

1 Schedule V		2 Line	3 Cost Per General Ledger Item	4 Amount	5 Cost to Related Organization Name of Related Organization	6 Percent of Ownership	7 Operating Cost of Related Organization	8 Difference: Adjustments for Related Organization Costs (7 minus 4)	
1	V	3	Housekeeping	$	Ascension Health		$ 5,030	$ 5,030	1
2	V	5	Utilities		Ascension Health		4,573	4,573	2
3	V	6	Maintenance		Ascension Health		3,797	3,797	3
4	V	17	Administration	1,614,585	Ascension Health			(1,614,585)	4
5	V	20	Dues and Fees		Ascension Health		932	932	5
6	V	21	Clerical and General Office		Ascension Health		1,383,643	1,383,643	6
7	V	22	Benefits	523,360	Ascension Health		457,045	(66,315)	7
8	V	26	Insurance		Ascension Health		434,871	434,871	8
9	V	30	Depreciation		Ascension Health		33,792	33,792	9
10	V	32	Interest	413	Ascension Health		413		10
11	V	39	Pharmacy	980,104	Metro Pharmacy		980,104		11
12	V								12
13	V								13
14	Total			$ 3,118,462			$ 3,304,200	$ * 185,738	14

* Total must agree with the amount recorded on line 34 of Schedule VI.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

VII. RELATED PARTIES

A. (Continued) **Enter below the names of ALL owners and related organizations (parties) as defined in the instructions**

	1 OWNERS		2 RELATED NURSING HOMES		3 OTHER RELATED BUSINESS ENTITIES			
	Name	Ownership %	Name	City	Name	City	Type of Business	
1			Presence Nazarethville	Des Plaines				1
2			Presence Resurrection Life Center	Chicago				2
3			Presence Resurrection Nursing & Rehab Center	Park Ridge				3
4			Presence Villa Scalabrini Nursing & Rehab Cen	Northlake				4
5			Presence McAuley Manor	Aurora				5
6			A Merkle C Knipprath Nursing Home	Clifton				6
7			Presence St. Benedict	Niles				7
8								8
9								9
10								10
11								11
12								12
13								13
14								14
15								15
16								16
17								17
18								18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27
28								28
29								29
30								30

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

VII. RELATED PARTIES (continued)

C. Statement of Compensation and Other Payments to Owners, Relatives and Members of Board of Directors.

NOTE: ALL owners (even those with less than 5% ownership) and their relatives who receive any type of compensation from this home must be listed on this schedule.

	1	2	3	4	5	6		7		8	
	Name	Title	Function	Ownership Interest	Compensation Received From Other Nursing Homes*	Average Hours Per Work Week Devoted to this Facility and % of Total Work Week		Compensation Included in Costs for this Reporting Period**		Schedule V. Line & Column Reference	
						Hours	Percent	Description	Amount		
1									$		1
2											2
3											3
4											4
5											5
6											6
7											7
8											8
9											9
10											10
11											11
12											12
13								TOTAL	$		13

* If the owner(s) of this facility or any other related parties listed above have received compensation from other nursing homes, attach a schedule detailing the name(s) of the home(s) as well as the amount paid. THIS AMOUNT MUST AGREE TO THE AMOUNTS CLAIMED ON THE THE OTHER NURSING HOMES' COST REPORTS.

** This must include all forms of compensation paid by related entities and allocated to Schedule V of this report (i.e., management fees). FAILURE TO PROPERLY COMPLETE THIS SCHEDULE INDICATING ALL FORMS OF COMPENSATION RECEIVED FROM THIS HOME, ALL OTHER NURSING HOMES AND MANAGEMENT COMPANIES MAY RESULT IN THE DISALLOWANCE OF SUCH COMPENSATION

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

VIII. ALLOCATION OF INDIRECT COSTS

A. Are there any costs included in this report which were derived from allocations of central office or parent organization costs? (See instructions.) YES [X] NO [ ]

B. Show the allocation of costs below. If necessary, please attach worksheets.

Name of Related Organization: Ascension Health
Street Address: 12250 Weber Hill Road
City / State / Zip Code: St Louis, Missouri 63127
Phone Number: (816-596-5608
Fax Number: ( )

	1 Schedule V Line Reference	2 Item	3 Unit of Allocation (i.e.,Days, Direct Cost, Square Feet)	4 Total Units	5 Number of Subunits Being Allocated Among	6 Total Indirect Cost Being Allocated	7 Amount of Salary Cost Contained in Column 6	8 Facility Units	9 Allocation (col.8/col.4)x col.6	
1	3	Housekeeping	Direct Cost	Various	15	$ 64,428	$	Various	$ 5,030	1
2	5	Utilities	Direct Cost	Various	15	58,570		Various	4,573	2
3	6	Maintenance	Direct Cost	Various	15	48,629		Various	3,797	3
4	20	Dues and Fees	Direct Cost	Various	15	11,937		Various	932	4
5	21	Clerical and General Office	Direct Cost	Various	15	17,746,043	2,702,670	Various	1,383,643	5
6	22	Benefits	Direct Cost	Various	15	9,071,146		Various	457,045	6
7	26	Insurance	Direct Cost	Various	15	5,495,348		Various	434,871	7
8	30	Depreciation	Direct Cost	Various	15	432,797		Various	33,792	8
9	32	Interest	Direct Cost	Various	15	275,620		Various	413	9
10										10
11										11
12										12
13										13
14										14
15										15
16										16
17										17
18										18
19										19
20										20
21										21
22										22
23										23
24										24
25	TOTALS					$ 33,204,518	$ 2,702,670		$ 2,324,096	25

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

IX. INTEREST EXPENSE AND REAL ESTATE TAX EXPENSE

A. Interest: (Complete details must be provided for each loan - attach a separate schedule if necessary.)

	1	2		3	4	5	6	7	8	9	10	
	Name of Lender	Related**		Purpose of Loan	Monthly Payment Required	Date of Note	Amount of Note		Maturity Date	Interest Rate (4 Digits)	Reporting Period Interest Expense	
		YES	NO				Original	Balance				
	A. Directly Facility Related											
	Long-Term											
1							$	$			$	1
2												2
3												3
4												4
5												5
	Working Capital											
6												6
7												7
8												8
9	TOTAL Facility Related						$ 0	$ 0			$ 0	9
	B. Non-Facility Related*											
10												10
11												11
12												12
13												13
14	TOTAL Non-Facility Related						$ 0	$ 0			$ 0	14
15	TOTALS (line 9+line14)						$ 0	$ 0			$ 0	15

16) Please indicate the total amount of mortgage insurance expense and the location of this expense on Sch. V. $ _____ Line # _____

* Any interest expense reported in this section should be adjusted out on page 5, line 14 and, consequently, page 4, col. 7. (See instructions.)

** If there is ANY overlap in ownership between the facility and the lender, this must be indicated in column 2. (See instructions.)

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

IX. INTEREST EXPENSE AND REAL ESTATE TAX EXPENSE (continued)

B. Real Estate Taxes

1. Real Estate Tax accrual used on 2019 report. **Important, please see the next worksheet, "RE_Tax". The real estate tax statement and bill must accompany the cost report.**	$		1
2. Real Estate Taxes paid during the year: (Indicate the tax year to which this payment applies. If payment covers more than one year, detail below.)	$		2
3. Under or (over) accrual (line 2 minus line 1).	$	0	3
4. Real Estate Tax accrual used for 2020 report. (Detail and explain your calculation of this accrual on the lines below.)	$		4
5. Direct costs of an appeal of tax assessments which has NOT been included in professional fees or other general operating costs on Schedule V, sections A, B or C. **(Describe appeal cost below. Attach copies of invoices to support the cost and a copy of the appeal filed with the county.)**	$		5
6. Subtract a refund of real estate taxes. You must offset the full amount of any direct appeal costs classified as a real estate tax cost plus one-half of any remaining refund. **TOTAL REFUND $ For Tax Year.** **(Attach a copy of the real estate tax appeal board's decision.)**	$		6
7. Real Estate Tax expense reported on Schedule V, line 33. This should be a combination of lines 3 thru 6.	$	0	7

Real Estate Tax History:

Real Estate Tax Bill for Calendar Year:		
2015		8
2016		9
2017		10
2018		11
2019		12

FOR BHF USE ONLY

13	FROM R. E. TAX STATEMENT FOR 2019	$	13
14	PLUS APPEAL COST FROM LINE 5	$	14
15	LESS REFUND FROM LINE 6	$	15
16	AMOUNT TO USE FOR RATE CALCULATION	$	16

NOTES:

1. **Please indicate a negative number by use of brackets( ). Deduct any overaccrual of taxes from prior year.**
2. **If facility is a non-profit which pays real estate taxes, you must attach a denial of an application for real estate tax exemption unless the building is rented from a for-profit entity.** **This denial must be no more than four years old at the time the cost report is filed.**

2019 LONG TERM CARE REAL ESTATE TAX STATEMENT

FACILITY NAME ASCENSION SAINT ANNE PLACE COUNTY WINNEBAGO

FACILITY IDPH LICENSE NUMBER 0041731

CONTACT PERSON REGARDING THIS REPORT Paula Miller

TELEPHONE 816-596-5608 FAX #: ( )

A. **Summary of Real Estate Tax Cost**

Enter the tax index number and real estate tax assessed for 2019 on the lines provided below. Enter only the portion of the cost that applies to the operation of the nursing home in Column D. Real estate tax applicable to any portion of the nursing home property which is vacant, rented to other organizations, or used for purposes other than long term care must not be entered in Column D. Do not include cost for any period other than calendar year 2019.

	(A) Tax Index Number	(B) Property Description	(C) Total Tax	(D) Tax Applicable to Nursing Home
1.			$	$
2.			$	$
3.			$	$
4.			$	$
5.			$	$
6.			$	$
7.			$	$
8.			$	$
9.			$	$
10.			$	$
		TOTALS	$ 0.00	$ 0.00

B. **Real Estate Tax Cost Allocations**

Does any portion of the tax bill apply to more than one nursing home, vacant property, or property which is not directly used for nursing home services? _____ YES _____ NO

If YES, attach an explanation and a schedule which shows the calculation of the cost allocated to the nursing home. (Generally the real estate tax cost must be allocated to the nursing home based upon sq. ft. of space used.)

C. **Tax Bills**

Attach copies of the original 2019 tax bills which were listed in Section A to this statement. Be sure to use the 2019 tax bill which is normally paid during 2020.

PLEASE NOTE: ***Payment information from the Internet*** or otherwise is ***not considered acceptable tax bill documentation*** . Facilities located in Cook County are required to provide copies of their original **second installment** tax bill.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

X. BUILDING AND GENERAL INFORMATION:

A. Square Feet: 70,000 B. General Construction Type: Exterior Brick Frame Steel Number of Stories 1

C. Does the Operating Entity? [X] (a) Own the Facility [ ] (b) Rent from a Related Organization. [ ] (c) Rent from Completely Unrelated Organization.

(Facilities checking (a) or (b) must complete Schedule XI. Those checking (c) may complete Schedule XI or Schedule XII-A. See instructions.)

D. Does the Operating Entity? [X] (a) Own the Equipment [ ] (b) Rent equipment from a Related Organization. [X] (c) Rent equipment from Completely Unrelated Organization.

(Facilities checking (a) or (b) must complete Schedule XI-C. Those checking (c) may complete Schedule XI-C or Schedule XII-B. See instructions.)

E. List all other business entities owned by this operating entity or related to the operating entity that are located on or adjacent to this nursing home's grounds (such as, but not limited to, apartments, assisted living facilities, day training facilities, day care, independent living facilities, CNA training facilities, etc.) List entity name, type of business, square footage, and number of beds/units available (where applicable).

NONE

F. Does this cost report reflect any organization or pre-operating costs which are being amortized? [ ] YES [X] NO
If so, please complete the following:

1. Total Amount Incurred: 2. Number of Years Over Which it is Being Amortized:

3. Current Period Amortization: 4. Dates Incurred:

Nature of Costs:
(Attach a complete schedule detailing the total amount of organization and pre-operating costs.)

XI. OWNERSHIP COSTS:

A. Land.

	1 Use	2 Square Feet	3 Year Acquired	4 Cost	
1	NURSING HOME	229,244	1984	$ 639,976	1
2					2
3	TOTALS	229,244		$ 639,976	3

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XI. OWNERSHIP COSTS (continued)

B. Building and Improvement Costs-Including Fixed Equipment. (See instructions.) Round all numbers to nearest dollar.

	1 Beds*	FOR BHF USE ONLY	2 Year Acquired	3 Year Constructed	4 Cost	5 Current Book Depreciation	6 Life in Years	7 Straight Line Depreciation	8 Adjustments	9 Accumulated Depreciation	
4	120		1986	1986	$ 3,516,907	$ 24,740	63	$ 55,824	$ 31,084	$ 3,048,874	4
5	59		1993	1993	2,722,251	21,543	56	48,612	27,069	2,064,691	5
6											6
7											7
8											8
	Improvement Type**										
9	VARIOUS			1990	34,784	497	31	1,122	625	33,779	9
10	VARIOUS			1994	5,000	0	10	0		5,000	10
11	VARIOUS			1995	40,225	990	18	2,235	1,245	39,511	11
12	VARIOUS			1996	7,038	0	10	0		7,038	12
13	VARIOUS			1997	41,666	0	7	0		41,666	13
14	VARIOUS			1998	22,342	0	5	0		22,342	14
15	VARIOUS			1999	6,927	0	5	0		6,927	15
16	VARIOUS			2000	25,297	1,058	5	2,387	1,329	25,297	16
17	VARIOUS			2001	11,193	0	6	0		11,193	17
18	VARIOUS			2002	8,781	0	10	0		8,781	18
19	VARIOUS			2003	37,087	1,826	9	4,121	2,295	35,421	19
20	VARIOUS			2004	36,631	0	7	0		36,631	20
21	VARIOUS			2005	20,784	0	10	0		20,784	21
22	VARIOUS			2006	90,099	1,174	12	2,650	1,476	90,099	22
23	VARIOUS			2007	170,771	0	12	0		170,771	23
24	VARIOUS			2008	137,084	0	12	0		137,084	24
25	VARIOUS			2009	39,927	1,516	11	3,420	1,904	39,927	25
26	VARIOUS			2010	86,688	3,842	10	8,669	4,827	74,011	26
27	VARIOUS			2011	109,875	4,058	12	9,156	5,098	83,862	27
28	VARIOUS			2012	77,789	2,873	12	6,482	3,609	45,014	28
29	VARIOUS			2013	423,355	17,056	11	38,487	21,431	345,213	29
30											30
31											31
32											32
33											33
34											34
35											35
36											36

*Total beds on this schedule must agree with page 2.

**Improvement type must be detailed in order for the cost report to be considered complete

See Page 12A, Line 70 for total

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XI. OWNERSHIP COSTS (continued)

B. Building and Improvement Costs-Including Fixed Equipment. (See instructions.) Round all numbers to nearest dollar.

	1 Improvement Type**	3 Year Constructed	4 Cost	5 Current Book Depreciation	6 Life in Years	7 Straight Line Depreciation	8 Adjustments	9 Accumulated Depreciation	
37	198 GALLON WATER HEATER	2014	$ 6,740	$ 299	10	$ 674	$ 375	$ 4,059	37
38	CANOPY FIRE SPRINKLER	2014	3,980	70	25	159	89	1,106	38
39	DESK TOP WATER PANEL	2014	2,788	0	5	0		2,788	39
40	KITCHEN DINING ROOM DOORS	2014	2,570	46	25	103	57	614	40
41	LIFE SAFETY K20 TAGS FIRESTOP	2014	5,540	246	10	554	308	3,300	41
42	OUTER DOOR ALARM	2014	2,740	121	10	274	153	1,621	42
43	ROOF	2014	260,500	11,545	10	26,050	14,505	155,732	43
44	SEAL COAT PARKING LO	2014	49,995	3,165	7	7,142	3,977	42,390	44
45	WALL PAINT FOR F HALL	2014	853	0	5	0		853	45
46	WATER SOFTENER	2014	12,000	532	10	1,200	668	7,074	46
47									47
48									48
49	FLOOR SCRUBBER	2015	4,169	339	5	764	425	4,169	49
50	KEYPAD/TIMER/RELAYS FOR FRONT DOOR SECURITY SY	2015	2,850	126	10	285	159	1,520	50
51	INSTALLATION OF LIGHT FIXTURES IN RESIDENT ROOM	2015	10,675	237	20	534	297	2,847	51
52	RECESSED LIGHTING IN RES. ROOMS AND DINING ROOM	2015	20,871	616	15	1,391	775	8,001	52
53	TWO SHOWERS FOR BATHROOMS IN ST. PAUL UNIT	2015	31,000	687	20	1,550	863	9,206	53
54	SUBPANEL TO RELOCATE CIRCUITRY	2015	3,786	67	25	151	84	744	54
55	WATER HEATER	2015	24,150	1,070	10	2,415	1,345	12,480	55
56	WINDOWS IN RESIDENT ROOMS	2015	16,650	295	25	666	371	3,386	56
57									57
58	WINDOWS AND TRIM	2015	20,578	456	20	1,029	573	4,630	58
59									59
60	PATIO / PERGOLA	2017	22,000	650	15	1,467	817	4,401	60
61	ASPHALT & CONCRET - Front Walkway & Parking Lot	2017	69,503	3,080	10	6,950	3,870	17,954	61
62									62
63	Bathroom floor replacement-Room C18	2018	3,650	162	10	365	203	791	63
64									64
65	Parking Lot Repairs	2018	16,500	731	10	1,650	919	1,650	65
66	Asphalt Repairs, Concrete	2018	70,000	1,551	20	3,500	1,949	3,500	66
67									67
68	Water Heaters - West Wing	2020	12,153	538	10	1,215	677	1,215	68
69	7/1/19 Capital Rate Adjustments	2020	(295,946)						69
70	TOTAL (lines 4 thru 69)		$ 8,052,796	$ 107,802		$ 243,253	$ 135,451	$ 6,689,947	70

**Improvement type must be detailed in order for the cost report to be considered complete.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XI. OWNERSHIP COSTS (continued)

C. Equipment Costs-Excluding Transportation. (See instructions.)

	Category of Equipment	1 Cost	Current Book Depreciation 2	Straight Line Depreciation 3	4 Adjustments	Component Life 5	Accumulated Depreciation 6	
71	Purchased in Prior Years	$ 1,522,004	$ 52,448	$ 118,347	$ 65,899	Various	$ 1,398,104	71
72	Current Year Purchases	213,558	9,010	20,329	11,319	Various	20,329	72
73	Fully Depreciated Assets	964,578	0	0	0		964,578	73
74	Home Office Allocation		33,792	33,792	0			74
75	TOTALS	$ 2,700,140	$ 95,250	$ 172,467	$ 77,217		$ 2,383,010	75

D. Vehicle Costs. (See instructions.)*

	1 Use	Model, Make and Year 2	Year Acquired 3	4 Cost	Current Book Depreciation 5	Straight Line Depreciation 6	7 Adjustments	Life in Years 8	Accumulated Depreciation 9	
76	PLANT ENGINEERING	MINI VAN	1998	$ 43,500	$	$	$ 0	5	$ 43,500	76
77	PLANT ENGINEERING	F150 FORD WITH SNOWPLOW	1999	27,428			0	3	27,428	77
78	PLANT ENGINEERING	FORD F-250	2014	35,951			0	4	35,951	78
79	PLANT ENGINEERING	2015 FORD STARCRAFT VAN	2015	48,201			0	4	48,201	79
80	TOTALS			$ 155,080	$ 0	$ 0	$ 0		$ 155,080	80

E. Summary of Care-Related Assets

		1 Reference	2 Amount		
81	Total Historical Cost	(line 3, col.4 + line 70, col.4 + line 75, col.1 + line 80, col.4) + (Pages 12B thru 12I, if applicable)	$ 11,547,992	81	
82	Current Book Depreciation	(line 70, col.5 + line 75, col.2 + line 80, col.5) + (Pages 12B thru 12I, if applicable)	$ 203,052	82	
83	Straight Line Depreciation	(line 70, col.7 + line 75, col.3 + line 80, col.6) + (Pages 12B thru 12I, if applicable)	$ 415,720	83	**
84	Adjustments	(line 70, col.8 + line 75, col.4 + line 80, col.7) + (Pages 12B thru 12I, if applicable)	$ 212,668	84	
85	Accumulated Depreciation	(line 70, col.9 + line 75, col.6 + line 80, col.9) + (Pages 12B thru 12I, if applicable)	$ 9,228,037	85	

F. Depreciable Non-Care Assets Included in General Ledger. (See instructions.)

	1 Description & Year Acquired	2 Cost	Current Book Depreciation 3	Accumulated Depreciation 4	
86		$	$	$	86
87					87
88					88
89					89
90					90
91	TOTALS	$	$	$	91

G. Construction-in-Progress

	Description	Cost	
92		$	92
93			93
94			94
95		$	95

* Vehicles used to transport residents to & from day training must be recorded in XI-F, not XI-D.

** This must agree with Schedule V line 30, column 8.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XII. RENTAL COSTS

A. Building and Fixed Equipment (See instructions.)

1. Name of Party Holding Lease:

2. Does the facility also pay real estate taxes in addition to rental amount shown below on line 7, column 4?
If NO, see instructions. ☐ YES ☐ NO

		1 Year Constructed	2 Number of Beds	3 Original Lease Date	4 Rental Amount	5 Total Years of Lease	6 Total Years Renewal Option*	
3	Original Building:				$			3
4	Additions							4
5								5
6								6
7	TOTAL				$ **			7

8. List separately any amortization of lease expense included on page 4, line 34.
This amount was calculated by dividing the total amount to be amortized
by the length of the lease .

9. Option to Buy: ☐ YES ☐ NO Terms: *

10. Effective dates of current rental agreement:
Beginning
Ending

11. Rent to be paid in future years under the current rental agreement:

	Fiscal Year Ending	Annual Rent
12.	/2021	$
13.	/2022	$
14.	/2023	$

B. Equipment-Excluding Transportation and Fixed Equipment. (See instructions.)

15. Is Movable equipment rental included in building rental? ☐ YES ☒ NO

16. Rental Amount for movable equipment: $ 54,108 Description: Nursing 50,285; Admin 3,823.
(Attach a schedule detailing the breakdown of movable equipment)

C. Vehicle Rental (See instructions.)

	1 Use	2 Model Year and Make	3 Monthly Lease Payment	4 Rental Expense for this Period	
17			$	$	17
18					18
19					19
20					20
21	TOTAL		$	$	21

* If there is an option to buy the building, please provide complete details on attached schedule.

** This amount plus any amortization of lease expense must agree with page 4, line 34.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XIII. EXPENSES RELATING TO CERTIFIED NURSE AIDE (CNA) TRAINING PROGRAMS (See instructions.)

A. TYPE OF TRAINING PROGRAM (If CNAs are trained in another facility program, attach a schedule listing the facility name, address and cost per CNA trained in that facility.)

1. HAVE YOU TRAINED CNAs DURING THIS REPORT PERIOD?
   - [ ] YES
   - [X] NO

   If "yes", please complete the remainder of this schedule. If "no", provide an explanation as to why this training was not necessary.

2. CLASSROOM PORTION:
   - IN-HOUSE PROGRAM [ ]
   - IN OTHER FACILITY [ ]
   - COMMUNITY COLLEGE [ ]
   - HOURS PER CNA ____

3. CLINICAL PORTION:
   - IN-HOUSE PROGRAM [ ]
   - IN OTHER FACILITY [ ]
   - HOURS PER CNA ____

B. EXPENSES

ALLOCATION OF COSTS (d)

		1	2	3	4
		Facility			
		Drop-outs	Completed	Contract	Total
1	Community College Tuition	$	$	$	$ 0
2	Books and Supplies				0
3	Classroom Wages (a)				0
4	Clinical Wages (b)				0
5	In-House Trainer Wages (c)				0
6	Transportation				0
7	Contractual Payments				0
8	CNA Competency Tests				0
9	TOTALS	$ 0	$ 0	$ 0	$ 0
10	SUM OF line 9, col. 1 and 2 (e)	$ 0			

C. CONTRACTUAL INCOME

In the box below record the amount of income your facility received training CNAs from other facilities.

$

D. NUMBER OF CNAs TRAINED

COMPLETED	
1. From this facility	
2. From other facilities (f)	
DROP-OUTS	
1. From this facility	
2. From other facilities (f)	
TOTAL TRAINED	

(a) Include wages paid during the classroom portion of training. Do not include fringe benefits.
(b) Include wages paid during the clinical portion of training. Do not include fringe benefits.
(c) For in-house training programs only. Do not include fringe benefits.
(d) Allocate based on if the CNA is from your facility or is being contracted to be trained in your facility. Drop-out costs can only be for costs incurred by your own CNAs.
(e) The total amount of Drop-out and Completed Costs for your own CNAs must agree with Sch. V, line 13, col. 8.
(f) Attach a schedule of the facility names and addresses of those facilities for which you trained CNAs.

XIV. SPECIAL SERVICES (Direct Cost) (See instructions.)

	1	2		3	4	5	6	7	8	
	Service	Schedule V Line & Column Reference	Staff		Outside Practitioner (other than consultant)		Supplies (Actual or) Allocated)	Total Units (Column 2 + 4)	Total Cost (Col. 3 + 5 + 6)	
			Units of Service	Cost	Units	Cost				
1	Licensed Occupational Therapist	10a, 3	hrs	$		$ 599,541	$		$ 599,541	1
2	Licensed Speech and Language Development Therapist	10a, 3	hrs			66,336			66,336	2
3	Licensed Recreational Therapist		hrs							3
4	Licensed Physical Therapist	10a, 3	hrs			580,113	2,438		582,551	4
5	Physician Care		visits							5
6	Dental Care		visits							6
7	Work Related Program		hrs							7
8	Habilitation		hrs							8
9	Pharmacy	39, 3	# of prescrpts				981,697		981,697	9
10	Psychological Services (Evaluation and Diagnosis/ Behavior Modification)		hrs							10
11	Academic Education		hrs							11
12	Other (specify):									12
13	Other (specify):									13
14	TOTAL			$		$ 1,245,990	$ 984,135		$ 2,230,125	14

NOTE: This schedule should include fees (other than consultant fees) paid to licensed practitioners. Consultant fees should be detailed on Schedule XVIII-B. Salaries of unlicensed practitioners, such as CNAs, who help with the above activities should not be listed on this schedule.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XV. BALANCE SHEET - Unrestricted Operating Fund.

As of 6/30/20 (last day of reporting year)

This report must be completed even if financial statements are attached.

		1 Operating	2 After Consolidation*	
	A. Current Assets			
1	Cash on Hand and in Banks	$ 7,622	$ 8,136,691	1
2	Cash-Patient Deposits			2
3	Accounts & Short-Term Notes Receivable-Patients (less allowance)	1,091,425	42,004,394	3
4	Supply Inventory (priced at)		2,180,651	4
5	Short-Term Investments			5
6	Prepaid Insurance	45,017	1,976,079	6
7	Other Prepaid Expenses			7
8	Accounts Receivable (owners or related parties)	670,019	93,121,433	8
9	Other(specify):			9
10	**TOTAL Current Assets (sum of lines 1 thru 9)**	$ 1,814,083	$ 147,419,248	10
	B. Long-Term Assets			
11	Long-Term Notes Receivable		745,000	11
12	Long-Term Investments		170,652,019	12
13	Land	1,186,500	84,567,210	13
14	Buildings, at Historical Cost	2,959,207	480,997,625	14
15	Leasehold Improvements, at Historical Cost		5,209,074	15
16	Equipment, at Historical Cost	527,015	111,043,559	16
17	Accumulated Depreciation (book methods)	(383,750)	(228,817,338)	17
18	Deferred Charges			18
19	Organization & Pre-Operating Costs			19
20	Accumulated Amortization - Organization & Pre-Operating Costs			20
21	Restricted Funds			21
22	Other Long-Term Assets (specify):			22
23	Other(specify): Misc Assets		11,647,941	23
24	**TOTAL Long-Term Assets (sum of lines 11 thru 23)**	$ 4,288,972	$ 636,045,090	24
25	**TOTAL ASSETS (sum of lines 10 and 24)**	$ 6,103,055	$ 783,464,338	25

		1 Operating	2 After Consolidation*	
	C. Current Liabilities			
26	Accounts Payable	$ 1,064,865	$ 146,642,638	26
27	Officer's Accounts Payable			27
28	Accounts Payable-Patient Deposits	113,380	16,637,971	28
29	Short-Term Notes Payable		7,547,284	29
30	Accrued Salaries Payable	160,704	13,470,734	30
31	Accrued Taxes Payable (excluding real estate taxes)		122,805	31
32	Accrued Real Estate Taxes(Sch.IX-B)		1,115,678	32
33	Accrued Interest Payable			33
34	Deferred Compensation		46,484,006	34
35	Federal and State Income Taxes			35
	Other Current Liabilities(specify):			
36	Due to Third Parties	37,827	4,954,006	36
37				37
38	**TOTAL Current Liabilities (sum of lines 26 thru 37)**	$ 1,376,776	$ 236,975,122	38
	D. Long-Term Liabilities			
39	Long-Term Notes Payable		180,846,178	39
40	Mortgage Payable			40
41	Bonds Payable			41
42	Deferred Compensation			42
	Other Long-Term Liabilities(specify):			
43				43
44				44
45	**TOTAL Long-Term Liabilities (sum of lines 39 thru 44)**	$ 0	$ 180,846,178	45
46	**TOTAL LIABILITIES (sum of lines 38 and 45)**	$ 1,376,776	$ 417,821,300	46
47	**TOTAL EQUITY(page 18, line 24)**	$ 4,726,279	$ 365,643,038	47
48	**TOTAL LIABILITIES AND EQUITY (sum of lines 46 and 47)**	$ 6,103,055	$ 783,464,338	48

***(See instructions.)**

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XVI. STATEMENT OF CHANGES IN EQUITY

		1 Total	
1	**Balance at Beginning of Year, as Previously Reported**	$ 5,256,915	1
2	Restatements (describe):		2
3	**Adj to Reconcile**	119,247	3
4			4
5			5
6	**Balance at Beginning of Year, as Restated (sum of lines 1-5)**	$ 5,376,162	6
	A. Additions (deductions):		
7	NET Income (Loss) (from page 19, line 43)	(649,883)	7
8	Aquisitions of Pooled Companies		8
9	Proceeds from Sale of Stock		9
10	Stock Options Exercised		10
11	Contributions and Grants		11
12	Expenditures for Specific Purposes		12
13	Dividends Paid or Other Distributions to Owners	( )	13
14	Donated Property, Plant, and Equipment		14
15	Other (describe)		15
16	Other (describe)		16
17	**TOTAL Additions (deductions) (sum of lines 7-16)**	$ (649,883)	17
	B. Transfers (Itemize):		
18			18
19			19
20			20
21			21
22			22
23	**TOTAL Transfers (sum of lines 18-22)**	$ 0	23
24	**BALANCE AT END OF YEAR (sum of lines 6 + 17 + 23)**	$ 4,726,279	24 *

*** This must agree with page 17, line 47.**

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XVII. INCOME STATEMENT (attach any explanatory footnotes necessary to reconcile this schedule to Schedules V and VI.) All required classifications of revenue and expense must be provided on this form, even if financial statements are attached.

Note: This schedule should show gross revenue and expenses. Do not net revenue against expense

	I. Revenue	1 Amount	
	A. Inpatient Care		
1	Gross Revenue -- All Levels of Care	$ 10,770,691	1
2	Discounts and Allowances for all Levels	(3,735,632)	2
3	**SUBTOTAL Inpatient Care (line 1 minus line 2)**	$ 7,035,059	3
	B. Ancillary Revenue		
4	Day Care		4
5	Other Care for Outpatients		5
6	Therapy	2,979,587	6
7	Oxygen		7
8	**SUBTOTAL Ancillary Revenue (lines 4 thru 7)**	$ 2,979,587	8
	C. Other Operating Revenue		
9	Payments for Education		9
10	Other Government Grants	713,383	10
11	CNA Training Reimbursements		11
12	Gift and Coffee Shop		12
13	Barber and Beauty Care	4,509	13
14	Non-Patient Meals	38,271	14
15	Telephone, Television and Radio		15
16	Rental of Facility Space		16
17	Sale of Drugs	1,342,814	17
18	Sale of Supplies to Non-Patients		18
19	Laboratory		19
20	Radiology and X-Ray		20
21	Other Medical Services		21
22	Laundry		22
23	**SUBTOTAL Other Operating Revenue (lines 9 thru 22)**	$ 2,098,977	23
	D. Non-Operating Revenue		
24	Contributions	2,402	24
25	Interest and Other Investment Income***	(526)	25
26	**SUBTOTAL Non-Operating Revenue (lines 24 and 25)**	$ 1,876	26
	E. Other Revenue (specify):**		
27	Settlement Income (Insurance, Legal, Etc.)		27
28	Miscellaneous Income	6,428	28
28a			28a
29	**SUBTOTAL Other Revenue (lines 27, 28 and 28a)**	$ 6,428	29
30	**TOTAL REVENUE (sum of lines 3, 8, 23, 26 and 29)**	$ 12,121,927	30

	II. Expenses	2 Amount	
	A. Operating Expenses		
31	General Services	2,060,037	31
32	Health Care	5,982,536	32
33	General Administration	3,119,275	33
	B. Capital Expense		
34	Ownership	279,687	34
	C. Ancillary Expense		
35	Special Cost Centers	1,042,151	35
36	Provider Participation Fee	288,124	36
	D. Other Expenses (specify):		
37			37
38			38
39			39
40	**TOTAL EXPENSES (sum of lines 31 thru 39)***	$ 12,771,810	40
41	**Income before Income Taxes (line 30 minus line 40)****	(649,883)	41
42	**Income Taxes**		42
43	**NET INCOME OR LOSS FOR THE YEAR (line 41 minus line 42)**	$ (649,883)	43

	III. Net Inpatient Revenue detailed by Payer Source		
44	Medicaid - Net Inpatient Revenue	$ 2,731,637	44
45	Private Pay - Net Inpatient Revenue	2,099,959	45
46	Medicare - Net Inpatient Revenue	1,521,623	46
47	Other-(specify) Insurance	681,840	47
48	Other-(specify)		48
49	TOTAL Inpatient Care Revenue (This total must agree to Line 3)	$ 7,035,059	49

* **This must agree with page 4, line 45, column 4.**

** **Does this agree with taxable income (loss) per Federal Income Tax Return? Yes If not, please attach a reconciliation.**

*** **See the instructions. If this total amount has not been offset against interest expense on Schedule V, line 32, please include a detailed explanation.**

******Provide a detailed breakdown of "Other Revenue" on an attached sheet.**

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XVIII. A. STAFFING AND SALARY COSTS (Please report each line separately.)
(This schedule must cover the entire reporting period.)

		1 # of Hrs. Actually Worked	2** # of Hrs. Paid and Accrued	3 Reporting Period Total Salaries, Wages	4 Average Hourly Wage	
1	Director of Nursing	278	336	$ 31,696	$ 94.33	1
2	Assistant Director of Nursing	370	385	20,134	52.30	2
3	Registered Nurses	35,712	41,020	1,554,627	37.90	3
4	Licensed Practical Nurses	32,000	35,394	1,118,586	31.60	4
5	CNAs & Orderlies	52,690	60,522	959,173	15.85	5
6	CNA Trainees					6
7	Licensed Therapist					7
8	Rehab/Therapy Aides					8
9	Activity Director	1,899	2,094	40,866	19.52	9
10	Activity Assistants	3,914	4,637	57,428	12.38	10
11	Social Service Workers	3,716	4,239	95,916	22.63	11
12	Dietician					12
13	Food Service Supervisor					13
14	Head Cook					14
15	Cook Helpers/Assistants					15
16	Dishwashers					16
17	Maintenance Workers	5,618	6,082	114,775	18.87	17
18	Housekeepers	7,888	8,715	109,638	12.58	18
19	Laundry	2,979	3,165	43,770	13.83	19
20	Administrator	1,856	2,134	112,942	52.93	20
21	Assistant Administrator					21
22	Other Administrative					22
23	Office Manager	4,684	5,100	110,952	21.76	23
24	Clerical	4,275	4,568	64,789	14.18	24
25	Vocational Instruction					25
26	Academic Instruction					26
27	Medical Director	281	281	36,969	131.56	27
28	Qualified MR Prof. (QMRP)					28
29	Resident Services Coordinator					29
30	Habilitation Aides (DD Homes)					30
31	Medical Records					31
32	Other Health C: Admissions	4,292	4,880	127,304	26.09	32
33	Other(specify) Pastoral	1,685	1,864	40,906	21.95	33
34	TOTAL (lines 1 - 33)	164,137	185,416	$ 4,640,471 *	$ 25.03	34

* This total must agree with page 4, column 1, line 45.

** See instructions.

B. CONSULTANT SERVICES

		1 Number of Hrs. Paid & Accrued	2 Total Consultant Cost for Reporting Period	3 Schedule V Line & Column Reference	
35	Dietary Consultant		$		35
36	Medical Director	Monthly	1,750	9, 3	36
37	Medical Records Consultant	33	2,394	10, 3	37
38	Nurse Consultant				38
39	Pharmacist Consultant				39
40	Physical Therapy Consultant				40
41	Occupational Therapy Consultant				41
42	Respiratory Therapy Consultant				42
43	Speech Therapy Consultant				43
44	Activity Consultant	32	1,764	11, 3	44
45	Social Service Consultant	3	207	12, 3	45
46	Other(specify)				46
47					47
48					48
49	TOTAL (lines 35 - 48)	68	$ 6,115		49

C. CONTRACT NURSES

		1 Number of Hrs. Paid & Accrued	2 Total Contract Wages	3 Schedule V Line & Column Reference	
50	Registered Nurses	1,020	$ 69,107	10	50
51	Licensed Practical Nurses	27	1,245	10	51
52	Certified Nurse Assistants/Aides	15,083	380,315	10	52
53	TOTAL (lines 50 - 52)	16,130	$ 450,667		53

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XIX. SUPPORT SCHEDULES

A. Administrative Salaries

Name	Function	Ownership %	Amount
Amy Swanson	Administrator		$ 112,942
TOTAL (agree to Schedule V, line 17, col. 1) (List each licensed administrator separately.)			$ 112,942

B. Administrative - Other

Description	Amount
Corp Office Management Fee	$ 1,614,585
TOTAL (agree to Schedule V, line 17, col. 3) (Attach a copy of any management service agreement)	$ 1,614,585

C. Professional Services

Vendor/Payee	Type	Amount
Universal Background Screening, Inc	HR Services	$ 13,677
TOTAL (agree to Schedule V, line 19, column 3) (For legal fee disclosure, see page 39 of instructions)		$ 13,677

D. Employee Benefits and Payroll Taxes

Description	Amount
Workers' Compensation Insurance	$
Unemployment Compensation Insurance	
FICA Taxes	355,743
Employee Health Insurance	410,412
Employee Meals	
Illinois Municipal Retirement Fund (IMRF)*	
Dental	10,464
Life Insurance	4,598
Disability	25,511
Pension	80,210
Tuition Reimbursement	
Other Benefits	29,812
Home Office Allocation & Marketing Offset	(76,017)
TOTAL (agree to Schedule V, line 22, col.8)	$ 840,733

E. Schedule of Non-Cash Compensation Paid to Owners or Employees

Description	Line #	Amount
N/A		$
TOTAL		$

* Attach copy of IMRF notifications

F. Dues, Fees, Subscriptions and Promotions

Description	Amount
IDPH License Fee	$
Advertising: Employee Recruitment	
Health Care Worker Background Check	
(Indicate # of checks performed 70)	
Patient Background Checks 216	
Dues & Subscriptions	27,297
Home Office Allocation	932
Less: Public Relations Expense	( )
Non-allowable advertising	(2,336)
Yellow page advertising	( )
TOTAL (agree to Sch. V, line 20, col. 8)	$ 25,893

G. Schedule of Travel and Seminar**

Description	Amount
Out-of-State Travel	$
In-State Travel	1,920
Seminar Expense	
Entertainment Expense	( )
TOTAL (agree to Sch. V, line 24, col. 8)	$ 1,920

**See instructions.

Facility Name & ID Number ASCENSION SAINT ANNE PLACE # 0041731 Report Period Beginning: 7/1/19 Ending: 6/30/20

XX. GENERAL INFORMATION:

(1) Are nursing employees (RN,LPN,NA) represented by a union? **NO**

(2) Are there any dues to nursing home associations included on the cost report? **YES**
If YES, give association name and amount. **LEADING AGE - $15,224**

(3) Did the nursing home make political contributions or payments to a political action organization? **NO** If YES, have these costs been properly adjusted out of the cost report? **N/A**

(4) Does the bed capacity of the building differ from the number of beds licensed at the end of the fiscal year? **NO** If YES, what is the capacity? **N/A**

(5) Have you properly capitalized all major repairs and equipment purchases? **YES**
What was the average life used for new equipment added during this period? **10 Yrs.**

(6) Indicate the total amount of both disposable and non-disposable diaper expense and the location of this expense on Sch. V. $ **28,789** Line **10**

(7) Have all costs reported on this form been determined using accounting procedures consistent with prior reports? **YES** If NO, attach a complete explanation.

(8) Are you presently operating under a sale and leaseback arrangement? **NO**
If YES, give effective date of lease. **N/A**

(9) Are you presently operating under a sublease agreement? YES **X** NO

(10) Was this home previously operated by a related party (as is defined in the instructions for Schedule VII)? YES NO **X** If YES, please indicate name of the facility, IDPH license number of this related party and the date the present owners took over.
N/A

(11) Indicate the amount of the Provider Participation Fees paid and accrued to the Department during this cost report period. $ **288,124**
This amount is to be recorded on line 42 of Schedule V.

(12) Are there any salary costs which have been allocated to more than one line on Schedule V for an individual employee? **NO** If YES, attach an explanation of the allocation.

(13) Have costs for all supplies and services which are of the type that can be billed to the Department, in addition to the daily rate, been properly classified in the Ancillary Section of Schedule V? **YES**

(14) Is a portion of the building used for any function other than long term care services for the patient census listed on page 2, Section B? **NO** For example, is a portion of the building used for rental, a pharmacy, day care, etc.) If YES, attach a schedule which explains how all related costs were allocated to these functions.

(15) Indicate the cost of employee meals that has been reclassified to employee benefits on Schedule V. $ **NONE** Has any meal income been offset against related costs? **YES** Indicate the amount. $ **38,271**

(16) Travel and Transportation
a. Are there costs included for out-of-state travel? **NO**
If YES, attach a complete explanation.
b. Do you have a separate contract with the Department to provide medical transportation for residents? **NO** If YES, please indicate the amount of income earned from such a program during this reporting period. $ **N/A**
c. What percent of all travel expense relates to transportation of nurses and patients? **N/A**
d. Have vehicle usage logs been maintained? **N/A**
e. Are all vehicles stored at the nursing home during the night and all other times when not in use? **N/A**
f. Has the cost for commuting or other personal use of autos been adjusted out of the cost report? **N/A**
g. Does the facility transport residents to and from day training? NO
Indicate the amount of income earned from providing such transportation during this reporting period. $ **N/A**

(17) Has an audit been performed by an independent certified public accounting firm? **YES**
Firm Name: **EY - PERFORMS CONSOLIDATED AUDIT OF ASCENSION HEALTH**

(18) Have all costs which do not relate to the provision of long term care been adjusted out of Schedule V? **YES**

(19) Has a schedule for the legal fees reported on the cost report been provided by the facility? See page 39 of the instructions for details. **YES**
Attach invoices and a summary of services for all architect and appraisal fees