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Annex 20 – Private Sector Integration

Primary Agency: Illinois Emergency Management Agency (IEMA)

Support Organizations:
- Private sector organizations and industry
- County and municipal governments

Critical Infrastructure:
- Chemical Sector
- Commercial Facilities Sector
- Communications Sector
- Critical Manufacturing Sector
- Defense Industrial Base Sector
- Emergency Services Sector
- Energy Sector
- Financial Services Sector
- Food and Agriculture Sector
- Government Facilities Sector
- Healthcare Sector
- Public Health Sector
- Information Technology Sector
- Nuclear Reactors, Materials and Waste Sector
- Transportation Systems Sector
- Water and Wastewater Systems Sector
- Cyber Security

I. Introduction

A. Purpose

1. Provide state agencies with strategic and operational guidance for private sector integration.

B. Scope

1. This annex addresses incident response and recovery.

2. Laws, rules, regulations and policies of the United States government and agencies are considered valid and applicable within the state.

3. The State Emergency Operations Center (SEOC) participation in response and recovery to, and the restoration of, critical life-line infrastructure
programs are considered from initial event response, until there are no imminent life safety issues.

4. This annex addresses notification, activation, deployment, coordination, implementation and sustainment of the Business Emergency Operations Center (BEOC).

C. Policy

1. The Illinois Emergency Operations Plan (IEOP) will not supersede private sector response and recovery activities nor shall it require private sector entities to perform any services contrary to its policies and procedures.

2. The IEOP will not supersede existing contractual or other legal obligations between the state and the private sector.

3. The governor or designee may authorize and direct the use of state resources to provide support and assistance to response and recovery efforts after consideration of both priorities and cost.

4. The governor or designee may authorize waivers of certain restrictions.

5. State personnel will be trained for assigned missions and made aware of potential risks involved.

6. The BEOC, through the SEOC Private Sector Liaison (LNO), reports directly to the SEOC Manager for command, control, communication and coordination.

7. The private sector LNO will coordinate the donation of goods and services from the private sector to meet SEOC needs in collaboration with the volunteer agency LNO, SEOC logistics and other SEOC LNOs.

8. The SEOC will direct the expedited implementation of waivers and exemptions for the transit of private sector resources throughout response and recovery in coordination with the private sector and Illinois Department of Transportation (IDOT) LNOs.

9. The private sector LNO will coordinate between the SEOC and private sector partners for ingress and egress to affected areas to facilitate response and recovery.

10. Procedures for utilization, control and use of state resources will incorporate operational priorities that include, but are not limited to:

   a) Protection of life;
b) Public health and safety;

c) Property protection;

d) Environmental protection;

e) Restoration of essential utilities;

f) Restoration of essential program functions, and

g) Coordination as appropriate.

11. Local governments shall access and utilize all available resources for response to and recovery from incidents and disasters affecting publicly owned critical infrastructure.

12. For the purposes of this annex, private sector mutual assistance is based on the partnerships established through a mutual assistance program among electric companies for expedited delivery of external resources from other utilities entering the state to implement recovery efforts.

13. Agreements are managed by seven Regional Mutual Assistance Groups (RMAGs). When a partner identifies a need for restoration assistance, a request is initiated through an RMAG. RMAGs facilitate requests for assistance and assists in processes to identify available restoration workers and coordinate logistics and personnel involved in such efforts.

14. Activities will be consistent with the National Infrastructure Protection Plan (NIPP).

D. Situation

1. Most of the critical infrastructure and lifeline services are owned and operated by the private sector.

2. A natural, human-caused or technological emergency or disaster has occurred resulting in the need for expedited response and recovery efforts that are coordinated between local, state, federal, private sector and non-governmental organizations (NGO).

3. The scope and magnitude of the event requires coordination and collaboration on mutual priorities, goals, objectives and resource allocation for restoration and recovery of critical infrastructure failures.

4. Public sector owned/operated utilities and services are augmenting private sector critical infrastructure, when possible, in order to facilitate
restoration and recovery.

5. Implementation of mutual assistance among private sector organizations.

6. Coordination through Emergency Support Function (ESF) #14 – Cross-Sector Business and Infrastructure.

E. Assumptions

1. There are supply chain logistics and intra/inter-dependencies between government and private sector critical infrastructure.

2. Private sector organizations will respond immediately to begin restoration efforts without local or state activations.

3. Private sector organizations will coordinate with the BEOC to share intelligence and information on areas of needed assistance and maintain situational awareness on the status of response and recovery efforts.

4. Multi-jurisdictional access control issues will hinder damage assessment, restoration and recovery.

5. Federal agencies will implement response and recovery processes under the National Response Framework (NRF) and National Disaster Recovery Framework (NDRF).

6. Coordination with local, state, federal, private sector, volunteer and NGOs is occurring throughout response and recovery.

7. Waivers and exemptions for the transit of resources for response and recovery will be required to expedite the restoration of critical infrastructure.

8. Local, state, federal, mutual aid and private sector resources will be utilized.

II. Concept of Operations

A. General

1. The state will provide support for the restoration of critical and life-line infrastructure in coordination with and activation of the BEOC and SEOC.

2. The Statewide Terrorism and Intelligence Center – Public Safety Group (PS-STIC) will provide collection, analysis and information sharing capabilities to support critical infrastructure restoration.
3. The private sector LNO will coordinate with the National BEOC and FEMA Region V to support private sector information sharing and situational awareness.

4. The U.S. Department of Homeland Security Protective Security Advisory (DHS-PSA) and Cybersecurity and Infrastructure Security Agency (CISA) provide support to assist in critical infrastructure impact assessment and awareness.

5. State support is based on resource availability, capability and prioritization of effort.

B. Supporting Sections

1. Notifications, Alerts and Warnings (NAW)
   a) The SEOC Manager or designee will NAW the private sector LNO of implementation of the IEOP and activation of the SEOC.
   b) The private sector LNO will provide NAW to private sector partners in accordance with BEOC Standard Operating Procedures (SOP).
   c) Private sector partner organizations will carry out internal NAW.

2. Direction and Coordination
   a) The governor or designee will activate resources from state agencies, boards and commissions to support response and recovery.
   b) The governor or designee will request the assistance of local governments, NGOs and private sector entities to assist the state with response and recovery.
   c) The BEOC will coordinate private sector offers of assistance and communicate those offers to the SEOC.
   d) The BEOC will coordinate with the SEOC to obtain resources that support the private sector in emergency response.
   e) The SEOC will coordinate and manage the state’s overall response to and recovery from incidents and disasters affecting critical infrastructure and public safety.
   f) The SEOC will utilize a single incident management system for the
collection, receipt, compilation, development and dissemination of situation reports that incorporate public and private sector intelligence.

g) The BEOC will coordinate private sector support, serve as a direct point of contact for information exchange with private sector entities and maintain situational awareness on critical infrastructure issues.

h) Local governments shall access and utilize all available resources for response to and recovery from incidents and disasters affecting publicly owned critical infrastructure.

3. Reporting Requirements

a) The private sector LNO will serve as the coordinating point for private sector representatives utilizing the BEOC virtually, physically and as part of a Business Unified Incident Command (BUIC) unit in accordance with BEOC SOPs and SEOC Coordination and Management SOP.

i) The private sector LNO will maintain situation updates; develop required intelligence briefings; and provide critical information obtained through the BEOC to key decision makers and the SEOC.

ii) The private sector LNO will provide the BEOC with situation and critical information updates obtained through the SEOC.

4. Communications

a) All communications between state partners will be conducted in accordance IEOP Annex 3, Communications.

b) Communications with private sector organizations will be maintained through the utilization of available technologies.

c) For the purposes of information sharing between the public and private sectors, the SEOC will utilize a single incident management system to collect, receive, compile, develop and disseminate situation reports.

d) The private sector LNO will develop, maintain and disseminate Significant Event Awareness Log (SEAL) reports to the SEOC, BEOC
and private sector organizations.

e) PS-STIC may establish and maintain a Homeland Security Information Network (HSIN) Public Safety Room.

5. Resource Management and Logistics

a) Resource management and logistics will be carried out in accordance with IEOP Annex 9, Resource Management and Logistics.

6. Federal Coordination

a) State coordination with federal agencies will occur through IEOP designated agencies LNOs.

b) Direct private sector coordination with federal agencies is appropriate and expected.

c) The SEOC private sector LNO will coordinate directly with FEMA Region V and the National Business Emergency Operations Center (NBEOC).

III. Role and Responsibilities

A. Illinois Emergency Management Agency (IEMA)

1. SEOC Manager

a) Develop plans, processes, and relationships, and facilitates coordinated response planning with the private sector at the strategic, operational, and tactical levels.

b) Share information with the private sector including threats and warnings, before, during, and after an incident.

c) Inform and orient the private sector on the contents of the IEOP and encourage and facilitate the development of equivalent private-sector planning.

d) Coordinate and conduct state incident management functions in concert with the private sector and tribal and local governments.

e) Develop and implement information sharing and communications strategies and processes in concert with private sector and homeland security stakeholders.
2. Business Emergency Operations Center (BEOC)
   a) Coordinate with suppliers and customers to identify and manage potential cascading effects of incident-related disruption through contingency planning.
   b) Share nonproprietary information with the SEOC.
   c) Provide goods and services through contracts and mutual aid agreements with host communities.
   d) Act as good corporate citizens to donate and facilitate donations of goods and services by others.
   e) Mobilize and employ resources to address the consequences of incidents at private sector facilities or incidents for which the owner bears legal responsibility.
   f) Participate in damage assessment processes to establish efforts necessary for community recovery and long-term vulnerability reduction.

IV. Authorities and References

A. Authorities
   1. Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, 42 U.S.C. § 5121 et seq, as amended
   2. 49 Code of Federal Regulations, 390.23, as amended
   3. 20 ILCS 2610, Illinois State Police Act, as amended
   4. 625 ILCS 5 Illinois Motor Vehicle Code, Chapter 15, Illinois Size and Weight Law, as amended
   5. Illinois Emergency Management Agency Act (IEMA Act), as amended

B. References
   1. National Response Framework (NRF)
   2. National Disaster Recovery Framework (NDRF)
   3. BEOC Roles and Responsibilities Check List/Draft BEOC SOP
4. BEOC Quick Start Guide

5. FEMA Building Private-Public Partnerships Guide