



**50 W. WASHINGTON ST., SUITE 209
CHICAGO, ILLINOIS 60602
TELEPHONE: 312 814-2206
TDD: 312 814-1844**

**300 W. JEFFERSON ST., SUITE 300
SPRINGFIELD, ILLINOIS 62702
TELEPHONE: 217 782-2136
WEBSITE: ILCC.Illinois.gov**

**APPLICATION FOR STATE OF ILLINOIS CLASS 1,
CLASS 2 and CLASS 3 BREWER'S LICENSE**

CLASS 1 BREWER'S LICENSE

FEE: \$75.00

SUMMARY:

- 930,000 gallons (30,000 barrels) production limit
- Self-distribution eligible
- May not hold a Brew Pub License

DEFINITION: A class 1 brewer license, which may only be issued to a licensed brewer or licensed non-resident dealer, shall allow the manufacture of up to 930,000 gallons of beer per year provided that the class 1 brewer licensee does not manufacture more than a combined 930,000 gallons of beer per year and is not a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 930,000 gallons of beer per year. If a class 1 brewer manufactures spirits, it shall also obtain and shall only be eligible for, in addition to any current license, a class 1 craft distiller license, shall not manufacture more than 50,000 gallons of spirits per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 50,000 gallons of spirits per year. If a class 1 craft brewer manufactures wine, it shall also obtain and shall only be eligible for, in addition to any current license, a first-class wine-manufacturer license or a first-class wine-maker's license, shall not manufacture more than 50,000 gallons of wine per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 50,000 gallons of wine per year. A class 1 brewer licensee may make sales and deliveries to importing distributors and distributors and to retail licensees in accordance with the conditions set forth in paragraph (18) subsection (a) of Section 3-12 of this Act. If the State Commission provides prior approval, a class 1 brewer may annually transfer up to 930,000 gallons of beer manufactured by that class 1 brewer to the premises of a licensed class 1 brewer wholly owned and operated by the same licensee.

CLASS 2 BREWER'S LICENSE

FEE: \$100.00

SUMMARY:

- 3,720,000 gallons (120,000 barrels) production limit
- Not eligible to self-distribute
- May transfer to commonly-owned Class 2 brewer locations up to 3,720,000 gallons (120,000 barrels) of manufactured beer
- May hold no more than three (3) Brew Pub Licenses

DEFINITION: A class 2 brewer license, which may only be issued to a licensed brewer or licensed non-resident dealer, shall allow the manufacture of up to 3,720,000 gallons of beer per year provided that the class 2 brewer licensee does not manufacture more than a combined 3,720,000 gallons of beer per year and is not a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 3,720,000 gallons of beer per year. If a class 2 brewer manufactures spirits, it shall also obtain and shall only be eligible for, in addition to any current license, a class 2 craft distiller license, shall not manufacture more than 100,000 gallons of spirits per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 100,000 gallons of spirits per year. If a class 2 craft distiller manufactures wine, it shall also obtain and shall only be eligible for, in addition to any current license, a second-class wine-maker's license, shall not manufacture more than 150,000 gallons of wine per year, and shall not be a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 150,000 gallons of wine a year.

**Illinois Liquor Control
Commission**



**JB Pritzker
Governor**

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CLASS 3 BREWER'S LICENSE

FEE: \$50.00

SUMMARY:

- 155,000 gallons (5,000 barrels) at any single brewery premises (production limit) and no more than 465,000 gallons (15,000 barrels) of beer in the aggregate (production limit)
- Self-distribution eligible
- May transfer up to 155,000 gallons of beer manufactured by that Class 3 brewer to the premises of a licensed Class 3 brewer wholly owned and operated by the same licensee
- Permitted to sell on licensed premises to non-licensees for on or off premise consumption beer manufactured by the Class 3 brewer on the premises, beer manufactured by any other brewer, Class 1 brewer, Class 2 brewer, or Class 3 brewer, and cider, wine and spirits.

DEFINITION: A class 3 brewer license, which may be issued to a brewer or a non-resident dealer, shall allow the manufacture of no more than 465,000 gallons of beer per year and no more than 155,000 gallons at a single brewery premises, and shall allow the sale of no more than 6,200 gallons of beer from each in-state or out-of-state class 3 brewery premises, or 18,600 gallons in the aggregate, to retail licensees, class 1 brewers, class 2 brewers, and class 3 brewers as long as the class 3 brewer licensee does not manufacture more than a combined 465,000 gallons of beer per year and is not a member of or affiliated with, directly or indirectly, a manufacturer that produces more than 465,000 gallons of beer per year to make sales to importing distributors, distributors, retail licensees, brewers, class 1 brewers, class 2 brewers, and class 3 brewers in accordance with the conditions set forth in paragraph (20) of subsection (a) of Section 3-12. [235 ILCS 5/3-12(20)(a) of the Liquor Control Act]

Any brewer or non-resident dealer which holds self-distribution privileges pursuant to a Class 1 brewer license or a Class 3 Brewer license under this act shall deliver beer to any retailer in the brewer's wholly owned or leased vehicles or through a freight forwarding service, excluding common carriers such as Federal Express, United Parcel Service, or similar common carriers, and shall provide services to the retailer upon the request of the retailer if such services are permitted under this Act and the rules of the Commission.

The following documents MUST be attached for either a Class 1, Class 2 or Class 3 Brewer's License:

- 1) Copy of your current State of Illinois **Manufacturer's (Brewer's) Liquor License** or, for out-of-state breweries, a copy of your current State of Illinois **Non-resident Dealer's License AND** a copy of your current State of Illinois **Out-of-State Manufacturer's (Brewer's) Liquor License**.
- 2) Copy of your federal brewer's notice. Visit the Federal Tax and Trade Bureau's website at www.ttb.gov to download a copy or call 1 877 882-3277 for more information.
- 3) Copy of all Federal Label Approval(s) (COLAs). **Note:** Federal label approvals may not be required if you are not bottling your product.
- 4) Completed Registration Statement.
- 5) Department of Revenue Financial Bond (REG 4-A or REG 4-D)

*Note: No manufacturer license holder shall sell alcoholic liquor at retail pursuant subsection (e) of Section 6-4 of this Act, prior to obtaining a local retailer license.

FOR OFFICE
USE ONLY

LICENSE NO.
DATE ISSUED
EXPIRATION DATE

COUNTER

Application for State of Illinois Class 1/Class 2/Class 3 Brewer’s Liquor License

1. APPLICANT - CORPORATE INFORMATION

If you want your renewal application, your license certificate and other ILCC correspondence sent to your “corporate” address, please check this box.

A. CURRENT ILLINOIS LIQUOR LICENSE NUMBER

If applicable, enter your current Illinois Brewer/NRD License number in the box to the right.
Current licensees: Please ensure all your brands/products are registered in the State of Illinois.
If your brands are not properly registered, please complete the Registration Statement and attach copies of your federal label approvals.

ILLINOIS LIQUOR LICENSE # (IF APPLICABLE)

B. FEDERAL EMPLOYER IDENTIFICATION NUMBER (FEIN) AND ILLINOIS SALES TAX ACCOUNT ID)

Enter your nine-digit **Federal Employer Identification Number (FEIN)** in the box.
If you need to obtain a FEIN, call 1 800 829-3676 for information on how to apply for and obtain the forms you need. Enter your eight-digit **Illinois Department of Revenue Sales Tax Account ID**. If you need to obtain this number, visit tax.illinois.gov, click on “Businesses” then “How do I Register” under the Business Registration section. If you have any questions, call 217 785-3707. **You MUST have both of these numbers in order for a license to be issued.**

FEIN #

ILLINOIS SALES TAX ACCOUNT ID

C. TELEPHONE

Enter the area code, telephone number and extension of the applicant or applicant representative.

AREA CODE/TELEPHONE NO.
() EXT.

D. NAME

Enter the name of the sole proprietorship, partnership, corporation (Illinois, national, or foreign), or limited liability company in this box.
NOTE: This name must be consistent with the name printed on your federal brewer’s notice.

NAME

E. MAILING ADDRESS/BREWERY ADDRESS

In Line E1, enter the street address, city, state, and ZIP code of the sole proprietorship, corporation, etc.
In Line E2, enter the street address, city, state, and ZIP code of the brewery location.

E1	MAILING ADDRESS	CITY	STATE	ZIP CODE
E2	BREWERY LOCATION ADDRESS	CITY	STATE	ZIP CODE

F. CONTACT INFORMATION

Provide the contact information for your business. The contact person should be the responsible party we can contact who can answer questions on behalf of the business. The mobile or alternate number should be in addition to any business numbers on file. The email address should be the active email address for the business, not the personal email address of the contact person.

CONTACT PERSON’S NAME (First, Last)	BUSINESS PHONE NUMBER	ALTERNATE PHONE NUMBER (Home, Cell, etc.)
	()	()
EMAIL ADDRESS		FAX NUMBER
		()

2. STATUS OF BUSINESS

Check the applicable box (sole proprietorship, partnership, Illinois corporation, foreign corporation, limited liability company) which corresponds to your business' official papers filed with the Office of the Illinois Secretary of State.

Based on the box that you check, provide the date of the filing of the sole proprietorship/assumed name with the county clerk; in the case of a partnership, the date of formation of the partnership; in the case of an Illinois corporation, the date of its incorporation; in the case of a foreign corporation, the foreign state where it was incorporated and the date, as well as the date of its becoming qualified under the "Business Corporation Act of 1983" to transact business in the State of Illinois; or in the case of a limited liability company, the date of formation of such entity.

- A. Sole Proprietorship Date Filed With County Clerk: _____
- B. Partnership Date Of Formation: _____
- C. Illinois Corporation Date Of Incorporation: _____
- D. Foreign Corporation State Of Incorporation: _____ Date Qualified To Do Business In Illinois: _____
- E. Limited Liability Company Date Formed: _____

3. OWNERSHIP INFORMATION

Provide the owner/officer/partner information in accordance with the business status described under Question 2. This information must be submitted for all owners/officers/partners. The same information must be submitted for shareholders with interests equal to or exceeding five percent.

The following information must be provided for each individual applicant, sole proprietor, partner, corporate officer or director (whether or not they own any stock), shareholder owning aggregate stock equal to or more than five percent, (including officers, directors and shareholders with stock equal to or more than five percent for all corporate shareholders), and/or manager or agent conducting the business. Indicate the total percentage of stock of the corporation, if any, which is held by persons who hold less than a five percent interest. If additional space is needed, provide information on a separate sheet(s) in the same format as this application. **Before completing this section, check the questions in Section 5 - Eligibility.**

For each owner/officer/partner/five percent shareholder, provide full name, home address, city, state, ZIP code, Social Security number, date of birth, sex, title/position, home telephone number, and ownership percentage. Total ownership percentage should equal 100 percent. If there are a number of shareholders owning less than five percent, indicate the aggregate total of ownership under Line E.

A.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS	CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/HOME TELEPHONE NO.		% OWNED
				()		

B.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS	CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/HOME TELEPHONE NO.		% OWNED
				()		

C.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS	CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/HOME TELEPHONE NO.		% OWNED
				()		

D.

NAME (LAST, FIRST, MIDDLE INITIAL)			HOME ADDRESS	CITY	STATE	ZIP
SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/HOME TELEPHONE NO.		% OWNED
				()		

E. Total percentage of all stock held by all persons with less than five percent interest. _____ %

4(a). CLASS 1 BREWER APPLICANTS ONLY

CLASS 1 BREWER SELF-DISTRIBUTION EXEMPTION AFFIDAVIT:

1. The undersigned affirms it has applied for or currently possesses an Illinois Brewer's License or Illinois Non-Resident Dealer's License.
2. The undersigned affirms that neither it nor its officers, managers, partners, or owners who own more than five percent, and any of its agents or affiliates own or manage a business that produces 930,000 gallons (30,000 barrels) or more of beer per year.
3. The undersigned affirms that neither it, nor its officers, managers, partners, or owners who own more than five percent, and any of its agents or affiliates own or manage a business that sells or transfers more than 232,500 gallons (7,500 barrels) of beer to retail license holders per year.
4. The undersigned affirms that it does not hold a distilling license that produces more than 50,000 gallons of spirits per year, or a wine making license that produces more than 50,000 gallons of wine per year.
5. The undersigned affirms that it has contacted licensed distributors for the purpose of establishing distributor relationships.
6. The undersigned affirms that it contacted the following distributors and requested distribution of its beer and all distributors declined the request:

NAME OF DISTRIBUTOR	CONTACT PERSON	DATE
_____	_____	_____
_____	_____	_____
_____	_____	_____

7. The undersigned affirms that its annual production level for each of the past 10 years was:

YEAR	TOTAL GALLONS/BARRELS	YEAR	TOTAL GALLONS/BARRELS
A. _____	_____	F. _____	_____
B. _____	_____	G. _____	_____
C. _____	_____	H. _____	_____
D. _____	_____	I. _____	_____
E. _____	_____	J. _____	_____

8. The undersigned affirms that it does not hold a brew pub license, distilling pub license or wine-maker premises license.
9. The undersigned affirms that it does not conduct retail sales from more than three commonly owned and operated brewer locations.

I swear, under penalty of perjury, that all representations made herein are true and correct and, if the representations are found to be untrue, the Commission will have the authority to revoke all licenses held by the affiant and all licenses affected by affiant's false representations.

PRINT FULL NAME OF APPLICANT	SIGNATURE OF APPLICANT	DATE

4(b). CLASS 3 BREWER APPLICANTS ONLY

CLASS 3 BREWER SELF-DISTRIBUTION EXEMPTION AFFIDAVIT:

1. The undersigned affirms it has applied for or currently possesses an Illinois Brewer's license or Illinois Non-Resident Dealer's License;
2. The undersigned affirms that neither it nor its officers, managers, partners, or owners who own more than five percent, and any of its agents or affiliates own or manage a business that produces more than 465,000 gallons (15,000 barrels) or more of beer per year.
3. The undersigned affirms that it has contacted licensed distributors for the purpose of establishing distributor relationships;
4. The undersigned affirms that it has contacted the following distributors and requested distribution of its beer and the below distributor(s) declined the request:

NAME OF DISTRIBUTOR	CONTACT PERSON	DATE
_____	_____	_____
_____	_____	_____
_____	_____	_____

5. The undersigned affirms that neither it, nor its officers, managers, partners, or owners who own more than five percent, and any of its agents or affiliates own or manage a business that sells or transfers more than 6,200 gallons (200 barrels) of beer to retail license holders per year.
6. The undersigned hereby certifies that it understands that if it is granted a self-distribution exemption, it may sell no more than 6,200 gallons per year from each in-state or out-of-state Class 3 brewery premises, which shall not exceed 18,600 gallons annually in the aggregate, that is manufactured at a wholly owned class 3 brewer's in-state or out-of-state licensed premises to retail licensees and Class 3 brewers and to brewers, class 1 brewers, class 2 brewers that, pursuant to subsection (e) of Section 6-4, sell beer, cider or both beer and cider to non-licensees at their licensed brewers location. If the undersigned exceeds these limits, the Illinois Liquor Control Commission may revoke the self-distribution exemption, issue fines, or suspend or revoke the undersigns license(s).

4(b). CLASS 3 BREWER APPLICANTS ONLY (continued)

7. The undersigned affirms that its annual volume of beer manufactured and sold for each year since its establishment:

PRODUCTION/ SALES YEAR	TOTAL GALLONS MANUFACTURED	TOTAL ANNUAL SALES IN GALLONS
A. _____		_____
B. _____		_____
C. _____		_____
D. _____		_____
E. _____		_____

8. The undersigned affirms that it does not hold a brew pub license, distilling pub license or wine-maker premises license.

9. The undersigned affirms that any retailer, brew pub, distilling pub, or wine-maker premises license will be relinquished upon the issuance of a Class 3 Brewer's liquor license, not including a local retail license for its Class 3 brewery location.

I swear, under penalty of perjury, that all representations made herein are true and correct and, if the representations are found to be untrue, the Commission will have the authority to revoke all licenses held by the affiant and all licenses affected by affiant's false representations.

PRINT FULL NAME OF APPLICANT	SIGNATURE OF APPLICANT	DATE

5. ADDITIONAL QUESTIONS

The term “applicant” applies to the business entity and its officers, managers, partners, owners who own more than five percent and any of its agents or affiliates.

CLASS 1 BREWER:

- 1. YES NO DOES THE APPLICANT HOLD A BREW PUB LICENSE?
- 2. YES NO DO YOU INTEND TO SELL BEER TO THE GENERAL PUBLIC FROM THIS LOCATION? IF YES, YOU WILL NEED A LOCAL LIQUOR RETAILER LICENSE FROM YOUR LOCAL MUNICIPALITY.
- 3. YES NO DOES APPLICANT CURRENTLY HOLD A LICENSE TO MANUFACTURE ALCOHOLIC LIQUOR IN ILLINOIS OTHER THAN LICENSES ISSUED TO THIS APPLICANT LOCATION?
- 4. YES NO DOES THE APPLICANT CURRENTLY HOLD A CLASS 2 BREWER’S LICENSE?

CLASS 2 BREWER:

- 1. YES NO DOES THE APPLICANT HOLD A BREW PUB LICENSE? IF YES, PLEASE LIST THE ADDRESS(ES) BELOW:

ADDRESS	CITY	STATE	ZIP CODE

- 2. YES NO INCLUDING BREW PUBS LISTED IN THE PREVIOUS QUESTION, DOES THE APPLICANT CURRENTLY HOLD A LOCAL LICENSE TO CONDUCT RETAIL SALES IN ILLINOIS? (INCLUDE ALL CLASS 2 BREWER LOCATIONS, IF APPLICABLE.) IF YES, PLEASE LIST THE ADDRESS(ES) BELOW:

ADDRESS	CITY	STATE	ZIP CODE

- 3. YES NO DOES APPLICANT CURRENTLY HOLD A CLASS 1 BREWER’S LICENSE?

CLASS 3 BREWER:

- 1. YES NO DOES THE APPLICANT HOLD A BREW PUB LICENSE OR RETAILERS LIQUOR LICENSE?
- 2. YES NO DOES THE APPLICANT CURRENTLY HOLD A LOCAL LICENSE TO CONDUCT RETAIL SALES IN ILLINOIS?

RIGHTS TO THE PROPERTY

- I hereby certify that the property is owned by the applicant
- I hereby certify that the property is leased from the landlord
- I hereby certify that the property is managed via an operating or management agreement

LANDLORD NAME		AREA CODE/PHONE NUMBER (Home, cell, etc.)		
		()		
EMAIL ADDRESS		FAX NUMBER		
		()		
ADDRESS	CITY	STATE	ZIP CODE	COUNTY

5. LOCAL LICENSE INFORMATION/LIQUOR LICENSE HISTORY

A. LOCAL LIQUOR LICENSE INFORMATION

YOU MUST PROVIDE A PHOTOCOPY OF YOUR LOCAL LIQUOR LICENSE

Your local license must contain the expiration date, issue date, and license number.

Please enter the local liquor license number, the date it was issued, the date it expires, the municipality or county that issued the license and the date you intend to begin selling alcoholic beverages at this business location. Alcoholic beverages may not be sold or offered for sale prior to the date that the state liquor license is issued. If you began selling alcoholic beverage products before obtaining this license, you are required to fill out a delinquency affidavit to explain the circumstances. **Note: In unincorporated areas, the county acts as the local liquor licensing authority.**

MUNICIPALITY/COUNTY ISSUING LOCAL LIQUOR LICENSE	LOCAL LICENSE NO.	DATE ISSUED	EXPIRATION DATE	DATE YOU BEGAN LIQUOR SALES AT THIS LOCATION

C. TYPE OF LIQUOR LICENSE

Check the box which describes the manner in which you sell alcoholic beverages to consumers. This information must be consistent with your approval granted by the local liquor licensing authority.

- ON-PREMISES CONSUMPTION (patrons consume alcoholic beverages on the premises only)
- OFF-PREMISES CONSUMPTION (carry-out purchases only)
- ON/OFF-PREMISES CONSUMPTION COMBINATION (both on the premises consumption and carry-outs)

6. CERTIFICATE OF INSURANCE

ATTACH A PHOTOCOPY OF YOUR CERTIFICATE OF INSURANCE (not the Policy Declaration)

You **MUST** provide a copy of your Certificate of Insurance if alcohol is consumed on the premises (this certificate is not required for carry-out only establishments). The Certificate of Insurance must show that you have liquor liability insurance and must include the following: 1) the applicant named as the insured (e.g., if the applicant is a corporation, then the corporation's name must be listed; if the applicant is a sole proprietor, then the sole proprietor's name must be listed); 2) the address of the location where the liquor is being consumed; and 3) the dates of coverage and the coverage limits.

7. MANUFACTURER'S REGISTERED AGENT REQUIREMENTS

If you have agents, representatives or persons acting on your behalf in Illinois that sell or discuss pricing terms of alcoholic liquor, you are required to register each of these individuals by submitting forms IL 567-0053, "Application for Registration - Manufacturer's Registered Agent", and IL 567-0054, "Statement of Representation - Registration of Manufacturer's Agent".

8. SIGNATURE/TITLE/DATE

Please sign and date the application form and provide your title with the organization. The application must be signed by an owner, an officer, a partner or an officially authorized agent of the business. **The signature must be an original;** rubber stamps, photocopies, or faxed copies are not accepted.

I, THE UNDERSIGNED APPLICANT OR AUTHORIZED AGENT THEREOF, SWEAR OR AFFIRM THAT: THE MATTERS STATED IN THE FOREGOING APPLICATION ARE TRUE AND CORRECT; THEY ARE MADE UPON MY PERSONAL KNOWLEDGE AND INFORMATION; THEY ARE MADE FOR THE PURPOSE OF REQUESTING THE STATE OF ILLINOIS TO ISSUE THE LICENSE HEREIN APPLIED FOR; THE APPLICANT IS QUALIFIED AND ELIGIBLE TO OBTAIN THE LICENSE APPLIED FOR; AND THE APPLICANT WILL NOT VIOLATE ANY OF THE LAWS OF THE UNITED STATES OF AMERICA OR THE STATE OF ILLINOIS, IN PARTICULAR, THE ILLINOIS LIQUOR CONTROL ACT, RULES AND REGULATIONS, AND THE CIVIL RIGHTS SECTIONS THEREOF.

FURTHER, I AGREE TO NOTIFY THIS COMMISSION WITHIN 30 WORKING DAYS OF CHANGES IN ANY OF THE ABOVE INFORMATION. (NOTE: IF THE PERSON SIGNING THIS APPLICATION IS NOT LISTED IN SECTION 3, THEY MUST PROVIDE THE STATE WITH THEIR PERSONAL INFORMATION AS INDICATED IN SECTION 3 EVEN IF THEY DO NOT OWN FIVE PERCENT OR MORE OF THE BUSINESS.)

SIGNATURE OF APPLICANT/AUTHORIZED AGENT

TITLE/POSITION

DATE

Registration Statement

(Illinois Compiled Statutes, Chapter 235)

TO THE ILLINOIS LIQUOR CONTROL COMMISSION:

Pursuant to the requirement of Section 6-9 of the Illinois Liquor Control Act of 1934, 235 ILCS 5/1-1, et.seq., the undersigned, a

(Insert -- Manufacturer, Distributor, Importing Distributor, or Non-resident Dealer)

does hereby register with said Commission the following named persons or companies as being the only ones to whom the undersigned has granted the right to sell or distribute at wholesale within the State of Illinois, one or more of those alcoholic liquors which bear trademarks, brands or names owned or controlled by the undersigned. The undersigned does hereby further register opposite the name of said persons or companies, the respective trademarks, brands or names, owned or controlled by the undersigned, concerning which said persons have been given such distributing rights and the respective geographical territories for which such distributing rights have been given to said persons or companies, and the period of time for which such rights are granted to such person.

NAME, ADDRESS, CITY, STATE AND ZIP CODE OF WHOLESALER	TRADEMARK BRAND, OR NAME OF ITEM	GEOGRAPHICAL TERRITORY	TIME PERIOD
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

IMPORTANT NOTICE

This state agency is requesting disclosure of information that is necessary to accomplish the statutory purpose as outlined under the Illinois Liquor Control Act of 1934, 235 ILSC 5/6-9. Disclosure of this information is MANDATORY.

Failure to provide any information will result in nonissuance of your license and/or nonregistration of your products.

CORPORATE NAME: _____

ADDRESS: _____
(Street Number)

(City or Town)

SIGNATURE: _____
(Authorized Person)

SIGNED BY: _____
(Title)

DATE: _____

STATE LICENSE # _____ EXP. DATE _____

General Information

Who must submit a bond?

Form REG-4-A, Financial Responsibility Bond, or Form REG-4-D, Financial Institution Irrevocable Letter of Credit Bond, is required to complete your registration to be licensed as a

- cigarette distributor
- cigarette machine operator
- liquor distributor
- direct wine shipper
- liquor airline
- tobacco products distributor
- motor fuel distributor
- motor fuel supplier
- motor fuel receiver

Note: International Fuel Tax Agreement (IFTA) carriers may be required to obtain a bond.

You must complete **either** Form REG-4-A **or** Form REG-4-D to obtain a bond. You will not be issued a certificate of registration and cannot be legally registered to do business in Illinois until we receive your bond.

How do I obtain a bond using Form REG-4-A?

You must provide Form REG-4-A to the insurance company that will be providing your bond. A separate bond is required for each location.

What is required for Form REG-4-A?

All signatures and seals must be original. We will not accept copies, faxes, etc., as proof of bond coverage. Duplicate originals must be marked as such and must meet the same requirements as the original bond form. You must attach a power of attorney stating the attorney-in-fact's name.

If any of the above requirements are not met, we will not accept the bond and it will be returned for correction.

Where do I send my Form REG-4-A?

Mail your completed Form REG-4-A with any required attachments to us at



**CENTRAL REGISTRATION DIVISION 3-222
ILLINOIS DEPARTMENT OF REVENUE
PO BOX 19039
SPRINGFIELD IL 62794-9039**

If you have questions regarding Form REG-4-A, visit our website at tax.illinois.gov or call us weekdays between 8:00 a.m. and 4:30 p.m. at **217 558-7425**.

Specific Instructions

Part 1: Financial responsibility bond type and number

Line a, Bond type - The bond type must match the tax type for which the bond is being issued.

Line b, Financial responsibility bond number - This number is assigned by the surety company and must be present on the bond.

Part 2: Taxpayer and financial institution information

Taxpayer's name and address - The name and address must be identical to the information that you have registered with us. The address must be the physical location of your business; mailing addresses, PO Boxes, and other addresses are not acceptable.

Name and address of surety - The name and address of the surety company executing the bond must be present.

Bond amount - The amount of bond coverage must be entered on this line.

Notification of cancellation - The surety company may conditionally cancel the bond by filing a written notice with IDOR by registered or certified mail within **90** days.

Part 3: Financial responsibility bond signatures and seal requirements

Signed and sealed date - The date the bond was signed by the surety company and their seal was affixed to the bond form.

Effective date - This is the date the bond coverage will begin.

Surety seal - The corporate seal of the surety company must be affixed to the bond form.

Principal's signatures - The organization type of your business determines the signature requirements. If your company is a

Corporation - Two signatures are required. The president and corporate secretary must *both* sign the bond. If no individual is identified as the corporate secretary, another officer of the company may sign the bond.

Partnership - Two signatures are required. Two partners must *both* sign the bond.

Proprietorship - One signature is required. The sole proprietor must sign the bond.

Limited Liability Company (LLC) filing as a corporation, partnership, or single member - One signature is required. Any manager or member of the LLC may sign the bond.

Surety signature - A surety company attorney-in-fact must sign *and* print his or her name. The name of the individual who signs for the surety company must appear on the required power of attorney attached to the bond.

Countersignature - A countersignature is only required if an independent insurance company is writing the bond agreement on behalf of the surety company. Provide the name and address of the independent agent.

Power of attorney - An original power of attorney must accompany the bond.



REG-4-A Financial Responsibility Bond

Part 1: Financial responsibility bond type and number

a Bond type: _____

b Financial responsibility bond number: _____

Part 2: Taxpayer and financial institution information

We, _____ (as principal)
Taxpayer's name and address

and _____ (as surety)
Name and address of surety

are bound to the people of the State of Illinois in the penal sum of \$_____. We hereby bind ourselves, our heirs, executors, administrators, successors, and assigns to the payment of this amount.

The condition of this bond is that if the principal (taxpayer) identified above, who has applied for the tax responsibility (bond type) identified above, in Part 1, pays to the Illinois Department of Revenue (IDOR) all amounts becoming due from the principal (taxpayer) under this law, then the bond will become void; otherwise, the bond will remain in full force.

The surety identified above may conditionally cancel this bond at any time by filing a written notice with IDOR by registered or certified mail within **90** days. However, cancellation does not discharge the surety from any liability previously accrued under this bond or that may accrue before the **90** days expire.

Part 3: Financial responsibility bond signatures and seal requirements

We have signed and sealed this bond on ___/___/___, to be effective ___/___/___.
You must attach a power of attorney.

(Principal's seal)

(Surety's seal)

Principal's (taxpayer) signature

Surety company attorney-in-fact's signature

Second principal's signature, if applicable

Attorney-in-fact's printed name

President's or co-partner's signature

Countersigned by

Corporate secretary's signature

Agent for surety

Number and street

City State ZIP

For official use only

Date approved: ___/___/___
Month Day Year

IDOR Director's signature

License number: _____

General Information

Who must submit a bond?

Form REG-4-D, Financial Institution Irrevocable Letter of Credit Bond, or Form REG-4-A, Financial Responsibility Bond, is required to complete your registration to be licensed as a

- cigarette distributor
- cigarette machine operator
- liquor distributor
- direct wine shipper
- liquor airline
- tobacco products distributor
- motor fuel distributor
- motor fuel supplier
- motor fuel receiver

Note: International Fuel Tax Agreement (IFTA) carriers may be required to obtain a bond.

You must complete **either** Form REG-4-D **or** Form REG-4-A to obtain a bond. You will not be issued a certificate of registration and cannot be legally registered to do business in Illinois until we receive your bond.

How do I obtain a bond using Form REG-4-D?

You must provide Form REG-4-D to the financial institution that will be providing your bond. A separate bond is required for each location.

What is required for Form REG-4-D?

All signatures and seals must be original. We will not accept copies, faxes, etc., as proof of bond coverage. Duplicate originals must be marked as such and must meet the same requirements as the original bond form.

If any of the above requirements are not met, we will not accept the bond and it will be returned for correction.

Where do I send my Form REG-4-D?

Mail your completed Form REG-4-D with any required attachments to us at



**CENTRAL REGISTRATION DIVISION 3-222
ILLINOIS DEPARTMENT OF REVENUE
PO BOX 19039
SPRINGFIELD IL 62794-9039**

If you have questions regarding Form REG-4-D, visit our website at tax.illinois.gov or call us weekdays between 8:00 a.m. and 4:30 p.m. at **217 558-7425**.

Specific Instructions

Part 1: Financial institution letter of credit bond type and number

Line a, Bond type - The bond type must match the tax type for which the bond is being issued.

Line b, Financial institution irrevocable letter of credit number - This number is assigned by the financial institution and must be present on the bond.

Line c, Bond amount - The amount of bond coverage must be entered on this line.

Part 2: Taxpayer and financial institution information

Taxpayer - The name and address must be identical to the information that you have registered with us. The address must be the physical location of your business; mailing addresses, PO Boxes, and other addresses are not acceptable.

Financial institution - The name and address of the financial institution executing the bond must be present.

Part 3: Effective and maturity date of bond

Effective date - This is the date the bond coverage will begin.

Maturity date - This is the date on which the letter of credit will mature.

Part 4: Bond conditions

The letter of credit must be written for a minimum of one year and be automatically renewable for successive one-year periods unless we receive written notice of cancellation 30 days prior to the maturity date.

Part 5: Financial institution officer information

Name, title, and signature - These lines must be completed by the financial institution officer authorizing the letter of credit.

Part 6: Financial institution seal

Financial institution seal - An official seal must be affixed in Part 6. If the financial institution does not have an official seal, a letter, on financial institution letterhead, stating that the financial institution does not have an official seal must accompany the letter of credit.



REG-4-D Financial Institution Irrevocable Letter of Credit Bond

Part 1: Financial institution letter of credit bond type and number

- a Bond type: _____
- b Financial institution irrevocable letter of credit number: _____
- c Bond amount: \$ _____

Part 2: Taxpayer and financial institution information

Taxpayer:

Financial institution:

Name

Street address

City

State

ZIP

Name

Street address

City

State

ZIP

Part 3: Effective and maturity date of bond

Effective date: ____ / ____ / ____
Month Day Year

Maturity date: ____ / ____ / ____
Month Day Year

Part 4: Bond conditions

If the taxpayer identified above, in Part 2, fails to pay the Illinois Department of Revenue (IDOR) all moneys, including penalties and interest, due under this bond type's tax act, IDOR is authorized to draw drafts on demand against this irrevocable letter of credit. The sum of the drafts drawn against this irrevocable letter of credit cannot exceed the bond amount above, in Part 1, and drafts drawn against it are payable on demand. This letter of credit is issued for a period of one year and will be renewed automatically for successive one-year periods unless IDOR receives a written notice of cancellation 30 days prior to the maturity date.

Part 5: Financial institution officer information

The undersigned officer of the financial institution identified above, in Part 2, is duly authorized by the Board of Directors to execute this irrevocable letter of credit; and this financial institution will honor all drafts on demand. The name of the authorized financial institution officer, title, and signature are required.

Name: _____

Title: _____

Signature: _____

Part 6: Financial institution seal

The official seal of the financial institution must be affixed below.

For official use only

Date approved: ____ / ____ / ____
Month Day Year

IDOR Director's signature

License number: _____