

**Howard Z. Gopman**  
**One North Field Plaza, Suite 300**  
**Northfield, IL 60093**

**Telephone: (847) 965-8910**  
**Facsimile: 847-965-8912**  
**E-Mail: hg@mrattorney.net**

**Occupation:** Attorney/Arbitrator/Mediator (AV Rated Martindale-Hubbell)

**Education:** B.S., University of Wisconsin, 1962  
J.D., University of Wisconsin, 1965  
M.B.A. University of Wisconsin, 1967

**Professional Memberships:** American Bar Association  
Illinois State Bar Association  
Licensed Real Estate Broker: Illinois

**Listed Panels:** American Arbitration Association  
Federal Mediation and Conciliation Service  
Illinois State Board of Education  
Illinois Labor Relations Board  
Financial Industry Regulatory Authority, Inc. (FINRA)  
National Futures Association  
Circuit Court of Cook County  
Circuit Court of Lake County  
Resolute Systems, Inc.  
ADR Systems of America, LLC

**Publications:** "Fraud Enforcement and Recovery Act of 2009".  
Corporation, Securities & Business Law Forum: ISBA. (May, 2010).  
  
"Decision explains policy as to enforcement of restrictive covenants  
in employment agreements."  
Corporation, Securities & Business Law Forum: ISBA. (August, 2008)  
  
"Selling securities is a serious business"  
Oil & Gas Financial Journal (January, 2006)  
  
"Fifth Circuit Court of Appeals upholds the use of FLP against  
IRS attack"  
Corporation, Securities & Business Law Forum: ISBA. (December, 2004)  
  
"Seventh Circuit decision discusses "deferral" of information as violation  
of 10b-5."  
Corporation, Securities & Business Law Forum: ISBA. (February, 2002)  
  
"Offshore trust upheld by Second Circuit."  
Corporation, Securities & Business Law Forum: ISBA. (April, 2001)

- "Joint Ventures Can Be Profitable Alliances for Some."  
Illinois Business. (3rd Quarter, 1997)
- "The new Illinois small company offering registration (SCOR)."  
Corporation, Securities & Business Law Forum: ISBA. (May, 1997).
- "U.S. Supreme Court curtails the use of RICO against accountants."  
Corporation, Securities & Business Law Forum: ISBA. (May, 1993)
- "Attorney's Right to Sue for Retaliatory Discharge."  
Corporation and Securities Law: ISBA. (October, 1990)
- "Illinois Act Eliminates Surprise of Environmental Problems."  
Manufactured Home Merchandiser. (July, 1990)
- "A Sloppy Contract May Doom the Sale."  
Metro Chicago Real Estate. (August 11, 1989)
- "Franchise Disclosure Act of 1987."  
Corporation and Securities Law: ISBA. (December, 1988)
- "Attorney Howard Z. Gopman Discusses Ramification of New Tax Reform Act  
and Upcoming Seminar which will Explain Labyrinthine Aspects of History-  
Making Bill."  
Real Estate Magazine. (October 24, 1986)
- "Book Review of How to Evaluate Real Estate Limited Partnerships"  
Real Estate Magazine. (May 9, 1986)
- "Syndicating Your Own Deals."  
Mobile/Manufactured Homes Merchandiser (May, 1986)
- "Legal Aspects: Sponsoring Your Own Syndication."  
Real Estate Magazine. (April 4, 1986)
- "Legal Aspects: McGill V. American Land & Exploration Co., et al"  
Real Estate Magazine (February 7, 1986)
- "How to be a Real Estate Syndicator"  
Financial Services Times. (January, 1986)
- "How to Sponsor Real Estate Syndication."  
Financial Services Times. (January, 1986)
- "Regulation D and Its Effect on Your Industry"  
Mobile/Manufactured Homes Merchandiser (October, 1985)
- "Laws and Orders: The Case of Lyons V. Shane"  
Real Estate Magazine (August 23, 1985)
- "Legal Aspects: The New Tax Reform Act of 1984"  
Real Estate Magazine. (July 27, 1984)
- "Legal Aspects: Private Real Estate Syndications"  
Real Estate Magazine (March 9, 1984)
- "Changes in Illinois Securities Law impact Real Estate Syndications"  
Real Estate Magazine. (December 9, 1983)

**Experience:** Served as a Hearing Officer for the Illinois Office of Education for over twenty years; Acted as counsel and arbitrator in numerous cases before the Circuit Courts of Illinois, the New York Stock Exchange, Inc., the Financial Industry Regulatory Authority, Inc. (FINRA), the Federal District Courts in Illinois, the American Arbitration Association, and the National Futures Association. These matters include but are not limited to cases involving the discipline and termination of tenured teachers in the State of Illinois; the discipline and regulation of registered representatives in the securities business; cases involving securities fraud under both federal and state statutory and common law; cases for breach of employment agreements and covenants not to compete; cases for specific performance of real estate contracts and ancillary damages; cases for breach of contract and ancillary matters; class actions for securities fraud and ancillary matters; class actions for consumer fraud and ancillary matters; controversies involving buy-sell agreements; and controversies involving franchise agreements and ancillary matters.

**Fees:** Mediation/Arbitration: Per Diem Fee \$1,000. The fee is \$1000 per day for hearing, research and preparation of the opinion and award. A hearing day is any portion of a day up to eight hours. Time for research and preparation is prorated.

Docketing Fees: \$300. The Fee is waived if the case goes to a full hearing.

Cancellation: If the scheduled hearing is postponed or cancelled with notice of less than four calendar weeks (28 days), the per diem fee for each day of hearing shall be charged if another matter cannot be set in its place. For hearings scheduled for three days or more, a notice period of six calendar weeks (42 days) will apply.

Travel: Arbitrator charges per diem fee for any portion of a travel day up to eight hours. Fee is prorated for travel time over eight hours for one day at \$125 per hour.