



Illinois Ethics Matters

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Honesty, Integrity, Service

A newsletter from the Office of Executive Inspector General for the Agencies
of the Illinois Governor

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Recent News

On November 22, 2013, an OEIG investigative report was publicly disclosed:

- **In re: Mark Montgomery**, 11-02060, involved an IDOC employee who violated IDOC Administrative Directives. Mr. Montgomery resigned in lieu of discharge.

In other news, the OEIG is pleased to announce three new employees:

- **Grant Anderson** will serve as an assistant inspector general and **Joshua Hughes** will serve as an investigator in the Springfield Division.
- **Joshua Grant** will serve as an assistant inspector general in the Chicago Division.

Ethics Officer Statements Required for Employee Revolving Door Notifications



Last year, we advised our readers that the Executive Ethics Commission adopted new administrative rules regarding state employee obligations to notify the OEIG of offers of post-state employment subject to the Ethics Act's Revolving Door provisions. Among these new rules is a requirement for affected employees to include with their notices to the OEIG, statements from their respective ethics officers. The ethics officer statement must set forth, in primary part:

- contracts between the employing agency and prospective employer, and if any, the amounts;
- regulatory or licensing decisions made by the employing agency that applied to the prospective employer; and
- whether the employee was involved in any contracting, regulatory, or licensing decision regarding the prospective employer and if so, a description of the involvement.

The rules also provide that the statement from the ethics officer "must be submitted to the appropriate Executive Inspector General within 5 calendar days after receiving notification from the employee."

The OEIG has 10 calendar days from receipt of the employee's notification, **which must include the ethics officer's statement**, to issue a determination as to whether the employee can accept the prospective employment. Affected employees who fail to provide the required notice are subject to fines under the Ethics Act.

The OEIG is in the process of updating its revolving door forms. Copies of current forms, including the Ethics Officer Form (RD-102), and instructions may be found on the OEIG's website.

Erin Bonales
Deputy Inspector General &
Chief of Chicago Division

Lawmakers Are Exempt from Executive Branch Ethics Training

This is a reminder that in 2009, Illinois lawmakers amended the Ethics Act to, among other things, exempt themselves from the requirement to complete ethics training required of other members of executive branch boards.

Thus, lawmakers who serve on a state board under the jurisdiction of the OEIG are under no obligation to undergo the ethics training that is required of the board's other members.

Ethics officers under the jurisdiction of the OEIG may contact Legislative Inspector General Thomas Homer if they have questions regarding this matter.

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