



Illinois Ethics Matters

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Honesty, Integrity, Service

A newsletter from the Office of Executive Inspector General for the Agencies
of the Illinois Governor

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Recent News

On July 24, 2013, three OEIG investigative reports were publicly released:

- ***In re: Charles Drager***, 11-00621, involved a Dept. of Labor employee who violated multiple agency policies and the Administrative Code. Mr. Drager resigned.
- ***In re: Derrick Venton et al.***, 11-00384, involved Dept. of Human Rights employees who violated agency policies and/or the Ethics Act. One employee resigned and three were suspended.
- ***In re: Heidi Scott***, 11-00955, involved a Dept. of Revenue employee who violated state policies.

The Executive Ethics Commission issued a revolving door decision on July 22, 2013:

- ***In re: Roland Marr***, 14-EEC-001, the EEC reversed the OEIG's determination and found that Mr. Marr's proposed consulting work would violate the revolving door prohibition.

In other news, the OEIG is pleased to announce two new additions to its staff, including:

- ***Laura K. Bautista***, who has been appointed deputy inspector general and chief of the Springfield division; and
- ***Sheila Townsend***, who has been appointed administrative account clerk in the Chicago office.

OEIG Requests for Documents



Under the Ethics Act, the OEIG has the discretion to determine the appropriate means of conducting its investigations and the authority to request information from any person as we deem necessary (5

ILCS 430/20-20 (1) and (2)). Factors our Office considers when issuing an information or document request include:

- the subject matter of an investigation;
- the identity of the alleged wrongdoers;
- potential conflicts of interest that might arise; and
- our need to gather information or documents in a timely manner.

In certain instances, the OEIG may send its requests for documents through the affected public agency's ethics officer; however, on other occasions our Office may direct information or documents requests to any person, with or without the knowledge of an ethics officer.

Regardless of to whom a request for information or documents is directed, individuals are nevertheless able to avail themselves of any and all rights afforded to them, including all rights set forth in the Administrative Code. (Ill. Admin. Code tit. 2, §1620.300(c)(5)).

Fallon Opperman

Deputy Inspector General &
Chief of Regional Transit Board Division

Fiscal Year 2013 Highlights

The OEIG successfully managed a record 2,809 complaints of violations during FY 2013. In addition, our Office:

- completed 126 investigations;
- made 133 revolving door determinations;
- conducted 16 employment-related investigations; and
- oversaw approximately 185,000 employee ethics training sessions.

In FY 2013, our Office also established a Grant Review Initiative Team (GRIT) to investigate potential misuse or abuse of state grants, and issued a new and updated fraud reporting poster to encourage the reporting of misconduct.

The OEIG's 2013 annual report is expected to be issued in late August or early September 2013 and will contain additional detail.

David Keahl

Director of Ethics Training &
Compliance

Calendar of Events: August 13-14, 2013: Investigators Kasey Cook and Amber Range will attend MS Excel levels 1 and 2 training in Springfield, IL.