



Illinois Ethics Matters

May 8, 2018

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Honesty, Integrity, Service

A newsletter from the Office of Executive Inspector General for the Agencies
of the Illinois Governor

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Recent News

The OEIG is pleased to announce that **Kelly Fasbinder** began as an Assistant Inspector General in the General Division in the Chicago office.

OEIG Finds IDFPR Had an Inadequate Criminal History Review Process



The OEIG investigated hiring practices at the Department of Financial and Professional Regulation (IDFPR) that resulted in the hiring of a former police officer convicted in federal court of participating in a drug conspiracy. The applicant's criminal conduct included accessing confidential police databases on behalf of a street gang; her IDFPR duties included accessing confidential government databases.

The applicant disclosed the conviction prior to being hired by IDFPR, but an Illinois State Police (ISP) background check did not list the conviction, because most background checks provided by ISP report only Illinois state convictions. The IDFPR Human Resources (HR) staff person responsible for screening applicants failed to inquire about the inconsistency between the ISP report and the former police officer's IDFPR paperwork.

Administrative Order 2013-01 requires agencies to have a documented review process for evaluating a candidate's criminal history; IDFPR did not have a written policy. The OEIG concluded that IDFPR should have established a documented process for evaluating criminal history.

The OEIG recommended that IDFPR adhere to AO 2013-01 by creating and following a written policy, and alert HR staff to the limited scope of ISP background checks. In response, IDFPR created a documented review process.

A copy of the OEIG report, [*In re: IDFPR and Woodrum, \(Case #17-00141\)*](#), is available on the OEIG website.

Reminders for Ethics Officers

Ethics officers are reminded of a few current events:

- **Statements of Economic Interests**, for most employees who file, were due to be filed with the Secretary of State by May 1. Late filers are now accruing fines, which grow larger with time. Any employee who is required to file and who began work during the month of April has until the end of May to file their forms.
- **The Revolving Door Online Tracking System** should be kept up to date. If you have not updated your online RD lists recently, including H-Lists, C-Lists, and procurement RD Lists, please do so. If you have problems accessing the Online Tracking System, please contact Christina McClernon in the Office of the Governor.
- **Online ethics training** is wrapping up (for the first period) and getting underway (for the second). If your agency was in the first period and you haven't already, please return your Final Compliance Report with updates on employees who did not complete training. If your agency is in TP2, you should soon receive the Mandatory Notice to be sent out to all employees.