



Illinois Ethics Matters

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Honesty, Integrity, Service

A newsletter from the Office of Executive Inspector General for the Agencies
of the Illinois Governor

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Recent News

On September 23, 2013, two OEIG investigative reports were publicly disclosed:

- **In re: Anthony Dudek**, 12-01980, involved a CTA employee who violated CTA policy. Mr. Dudek was suspended.
- **In re: Toria Jones**, 08-00494, involved a DHS employee who violated various DHS policies and the Illinois Administrative Code. Ms. Jones was discharged.

In other news, the OEIG is pleased to announce the promotion of **Antoinette Kwateng** to the position of deputy inspector general for complaints and compliance.

Reports of Misconduct Continue to Increase



The OEIG's complaints and compliance (C&C) division continues to manage an increasing number of reports of alleged misconduct. C&C is the first point of contact for those who file complaints and consists of two attorneys, two investigators, and five administrative assistants. During FY 2013, C&C handled a record 2,809 complaints.

Within 30 days of their receipt, complaints must be evaluated and generally categorized for:

- investigation by the OEIG;
- declination, e.g., due to insufficient information; or
- referral, e.g., to another agency with appropriate jurisdiction.

In light of the increase in the number of complaints received and its need to focus its limited investigative resources, the number of complaints the OEIG refers to other entities has increased – as has the number of cases declined for investigation.

The C&C division is also responsible for conducting investigations relating to the revolving door provisions of the State Officials and Employees Ethics Act. The OEIG made 124 revolving door determinations during FY 2013 – up from 96 determinations made during FY 2012.

Questions regarding processing of complaints or revolving door determinations may be referred to the OEIG's C&C staff.

Antoinette Kwateng
Deputy Inspector General
Complaints and Compliance

Agency Responses and Public Disclosure of Investigative Reports

Agencies and individuals should be mindful of potential publication when providing written responses to the findings and recommendations contained in OEIG investigative reports.

The Ethics Act prescribes the public disclosure of investigative reports in certain circumstances. When such reports are publicly disclosed, also disclosed are:

- the required response of the ultimate jurisdictional authority or agency head, which must describe the corrective or disciplinary action imposed; and
- any discretionary response provided by a respondent against whom findings are made.

Publicly disclosed reports, related responses and other useful information may be found on the OEIG's website.

Erin K. Bonales
Deputy Inspector General &
Chief of Chicago Division

Calendar of Events: *October 29, 2013:* EIG Meza will address the SIAAB Fall Government Auditing Conference in Springfield, IL; *October 29-30, 2013:* CMS will provide Interview and Selection training to OEIG staff in Chicago, IL; *November 5, 2013:* EIG Meza will participate in a continuing legal education panel at Loyola University, Chicago, IL; *November 6-8, 2013:* various investigative staff will attend the Association of Inspectors General Fall Conference 2013 in New Orleans, LA; and *November 25, 2013:* Acct. Specialist S. Varadaraj will attend Excel 2007 training in Chicago, IL.