



**State of Illinois**  
**Employee Leasing Company Registration ELC-1**

Illinois Department of Insurance  
 320 W. Washington Street  
 Springfield, IL 62767-0001

**Important Notice:** Disclosure of this information is **required** under the Illinois Compiled Statutes. Failure to provide this information will result in this application not being processed.

<b>This application must be typed.</b>			
<b>Fee Requirement:</b> Attach a check in the amount of <b>\$1,000</b> payable to the <b>Director of Insurance</b> .			
Check appropriate box for registration requested.			
<input type="checkbox"/> New Application <input type="checkbox"/> Renewal			
Demographic Information			
Entity Name		Incorporation /Formation Date MM / DD / YY	FEIN #
			State of Domicile
Entity Address		City	State ZIP
Phone Number ( ) -	Fax Number ( ) -	Website address	E-mail address
Mailing Address		P.O. Box	City State
Designated Person Responsible for the ELC Compliance			
Identify at least one designated individual who will be responsible for the ELC's compliance with the laws, rules and regulations of the State of Illinois. Use separate sheet if needed.			
Name		Title	
Residential Address			
Name		Title	
Residential Address			

**ILLINOIS DEPARTMENT OF INSURANCE**  
**320 W. WASHINGTON STREET**  
**SPRINGFIELD, IL 62767-0001**

1. List name and official title of the officers and directors of the lessor and its predecessors, successors or alter egos in the preceding five (5) years. If more space is needed, attach a separate sheet listing additional persons.

Name	Title

2. List name by jurisdiction of each name the employee leasing company, any owner, partner, officer or director has operated under in the preceding 5 years, including predecessor, successors, or alter ego names.

Jurisdiction	Name

3. List all owners, partners, corporate officers, shareholders with greater than 5% interest and limited liability company individuals who have a 5% or greater interest in the company.

Name	Address	Title	% of ownership	Telephone Number

4. Has the Employee Leasing Company or any owner, partner, officer or director ever been convicted of, or is the Employee Leasing Company or any owner, partner, officer, or director currently charged with committing a crime, whether or not adjudication was withheld? Yes  No

"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of each incident;
- b) a certified copy of the charging document; and
- c) a certified copy of the official document which demonstrates the resolution of the charges or any final judgment.

5. Has the Employee Leasing Company or any owner, partner, officer or director ever been involved in an administrative proceeding regarding any professional or occupational license? Yes  No

"Involved" means having a license censured, suspended, revoked, canceled, terminated, or being assessed a fine, a cease and desist order, a prohibition order, a compliance order, placed on probation or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You may exclude terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) a written statement identifying the type of license and explaining the circumstances of each incident;
- b) a certified copy of the Notice of Hearing or other document that states the charges and allegations; and
- c) a certified copy of the official document which demonstrates the resolution of the charges or any final judgment.

6. Has any demand been made or judgment rendered against the Employee Leasing Company or any owner, partner, officer or director for overdue monies by an insurer, insured or producer, or have you ever been subject to a bankruptcy proceeding? Yes  No

If you answer yes, submit a statement summarizing the details of the indebtedness and arrangements for repayment.

7. Has the Employee Leasing Company or any owner, partner, officer or director ever been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement? Yes  No

If you answer yes, identify the jurisdiction(s): \_\_\_\_\_

8. Is the Employee Leasing Company or any owner, partner, officer or director a party to, or ever been found liable in any lawsuit or arbitration proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty? Yes  No

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details of each incident;
- b) a certified copy of the petition, complaint or other document that commenced the lawsuit or arbitration; and
- c) a certified copy of the official document which demonstrates the resolution of the charges or any final judgment.

## Applicant's Certification and Attestation

On behalf of the ELC, the undersigned owner, partner or officer of the ELC, hereby certifies, under penalty of perjury, that:

1. All of the information submitted in this application and attachments is true and complete and I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license or registration revocation and may subject me and the ELC to civil or criminal penalties.
2. Unless provided otherwise by law or regulation of the jurisdiction, the ELC hereby designates the Director of Insurance, or an appropriate representative in each jurisdiction for which this application is made to be its agent for service of process regarding all insurance matters in the respective jurisdiction and agree that service upon the Director of that jurisdiction is of the same legal force and validity as personal service upon the ELC.
3. The ELC grants permission to the Director of Insurance in each jurisdiction for which this application is made to verify any information supplied with any federal, state or local government agency, current or former employer or insurance company.
4. I authorize the jurisdictions to which this application is made to give any information they may have concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the jurisdictions and any person acting on their behalf from any and all liability of whatever nature by reason of furnishing such information.
5. I acknowledge that I understand and comply with the insurance laws and regulations of the jurisdictions to which I am applying for licensure/registration.
6. I hereby certify that upon request, I will furnish the jurisdiction(s) to which I am applying, certified copies of any documents attached to this application or requested by the jurisdiction(s).
7. I certify that the owner, partner or officer(s) named on this application understands that he/she is responsible for the ELC's compliance with the insurance laws, rules and regulation of the State.

**Must be signed by an owner, partner or officer of the ELC :**

\_\_\_\_\_  
Month/Day/Year

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Address

\_\_\_\_\_  
City State Zip