

Agency	Department Of Financial And Professional Regulation
Program Name	Financial Examination
Program Description	Overseeing and examining the state chartered financial institutions of Illinois.
Target Population	Banks, Credit Unions, and other Financial Institutions that serve the citizens of Illinois.
Activities	Financial Examinations, Reports of Examinations
Goals	To complete examinations, while following all statutory requirements, on time to protect the financial health of the citizens and companies of Illinois.
Outcome	Increase Employment and Attract, Retain and Grow Businesses

PROGRAM FUNDING

Appropriations (\$ thousands)		
FY18 Actual	FY19 Enacted	FY20 Recommended
52,713	53,510.5	56,876.9

MEASURES

Percentage of examinations completed within established timeframes (New program-based measure for FY2017.)
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Reported : Quarterly **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : 95% **Source :** Internal Goal

Baseline : 95% **Baseline Date :**

Methodology : Number of examinations completed within statutory/contractual timeframes divided by the total number of examinations performed.

FY 2018	FY 2019	FY 2020 Est.	FY 2021 Proj.
95	80	100	

FY	Quarter 1	Quarter 2	Quarter 3	Quarter 4
2018	98	98	98	95
2018	98	98	98	96
2019	100	98		

Reports of examination submission

Reported : **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : 85% **Source :** Internal Benchmark

Baseline : 74% **Baseline Date :**

Methodology : Number of reports of examinations submitted to institutions within 42 days of on-site work completion divided by the total number of reports of examinations submitted.

FY 2018	FY 2019	FY 2020 Est.	FY 2021 Proj.
85	95	100	

FY	Quarter 1	Quarter 2	Quarter 3	Quarter 4
2018	98	99	79	83
2019	91	95		

Agency	Department Of Financial And Professional Regulation
Program Name	Licensing and Testing
Program Description	IDFPR operations relating to issuing new licenses and renewing current licenses.
Target Population	Over 1 million professional licensees doing business in Illinois.
Activities	Processing of New Applications and Renewal Applications.
Goals	Issuing or denying license applications efficiently and converting license application and renewal procedures online.
Outcome	Increase Employment and Attract, Retain and Grow Businesses

PROGRAM FUNDING

Appropriations (\$ thousands)		
FY18 Actual	FY19 Enacted	FY20 Recommended
16,589.9	17,714	18,366.2

MEASURES

Percent of new license applications issued or denied within 40 days of receipt
(New program-based measure for FY2017.)

Reported : Quarterly **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : 70% **Source :**

Baseline : 60% **Baseline Date :**

Methodology : Count of days from internal licensing system.

FY 2018	FY 2019	FY 2020 Est.	FY 2021 Proj.
70	70	72	

FY	Quarter 1	Quarter 2	Quarter 3	Quarter 4
2018	69	72	75	78
2019	79	79		

Percent of initial applications and renewals completed online
(New program-based measure for FY2017.)

Reported : Quarterly **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : 80% **Source :** Internal Goal

Baseline : 62% **Baseline Date :**

Methodology : Number of initial applications and renewals completed online divided by the total number of initial applications and renewals processed by the Department.

FY 2018	FY 2019	FY 2020 Est.	FY 2021 Proj.
80	80	79	

FY	Quarter 1	Quarter 2	Quarter 3	Quarter 4
2018	86	87	74	77
2019	78	81		

Agency	Department Of Financial And Professional Regulation
Program Name	Regulatory Enforcement
Program Description	Investigations and prosecutions of complaints received by IDFP. R.
Target Population	Citizens that have filed complaints to the Department
Activities	Investigations and Prosecutions
Goals	Complete investigations in a timely fashion and complete adjudications promptly.
Outcome	Create Safer Communities

PROGRAM FUNDING

Appropriations (\$ thousands)		
FY18 Actual	FY19 Enacted	FY20 Recommended
27,569.5	29,195.9	32,270.3

MEASURES

Investigative time (in days)

Reported : **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : 70% **Source :** Internal Goal

Baseline : **Baseline Date :**

Methodology : Count of days from when a complaint is received to when an investigation is closed.

FY 2018	FY 2019	FY 2020 Est.	FY 2021 Proj.
70	70	72	

FY	Quarter 1	Quarter 2	Quarter 3	Quarter 4
2018	60	62	82	68
2019	69	71		

Prosecutorial process time (in days)

Reported : **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : 55% **Source :** Internal Goal

Baseline : 45% **Baseline Date :**

Methodology : Number of adjudications completed within 1 year divided by all adjudications.

FY 2018	FY 2019	FY 2020 Est.	FY 2021 Proj.
55	60	77	

FY	Quarter 1	Quarter 2	Quarter 3	Quarter 4
2018	50	53	55	57
2019	60	78		