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| Agency | Governor's Office Of Management And Budget |
| Program Name | Grant Accountability and Transparency |
| Program Description | <p>The purpose of GATA is to provide for the development of a coordinated, non-redundant process for the provision of effective and efficient oversight of the selection and monitoring of grant recipients, ensuring quality programs, limiting fraud, waste and abuse, and defining the purpose, scope, applicability and responsibilities in the life cycle of a grant.</p> <p>Once fully-implemented, uniformity in grant processes across State agencies will result in decreased administrative burden for both grant-making agencies and the provider community. In order to achieve accountability and transparency, the overall goal of uniformity in the pre-award, award, and post award grant periods is centered on the following elements:</p> <ul style="list-style-type: none"> •Defining roles and responsibilities •Establishing uniform compatible policies and procedures across diverse grant programs •Developing and implementing uniform mechanisms to monitor, evaluate, and report the results •Reinforcing individual accountability through Budgeting for Results •Improving the capacity of smaller nonprofit organizations by addressing weaknesses in finances, administration and human labor resources through training and the use of approved fiscal agents •Implementing uniform administrative and reporting requirements to address the considerable resources that providers currently devote to responding to multiple reporting formats •Strengthening internal governance of nonprofits by providing training, consulting, and State grant certification programs •Adopting Federal cost principles to provide data on the adequacy of overhead funding to nonprofit organizations |
| Target Population | All Grant Making and Grant Receiving entities in the state. |
| Activities | <p>Grantee registration, pre-qualification;</p> <ul style="list-style-type: none"> • Grantee risk assessment differentiated between the centralized Fiscal and Administrative Internal Control Questionnaire (ICQ) and the individual Programmatic Risk Assessment; • Templates for Uniform Notice of Funding Opportunity and Uniform Grant Application; • Merit based review policy; • Template for uniform budgeting; • Development of the Catalog of State Financial Assistance; • Evolution of the Illinois Stop Payment List; • Development and implementation of an Exception Policy for federal Uniform Guidance and GATA; • Development and implementation of the GATA Fund; • Recommended performance metrics to measure GATA success; • Templates for Uniform Grant Agreement and Inter-governmental Agreements; • Curriculum and training delivery for federal Uniform Guidance and GATA; and • Execution of stakeholder outreach. |
| Goals | <p>Assist state agencies and grantees in implementing the new Federal guidance at 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (Uniform Requirements),</p> <ul style="list-style-type: none"> • Increase accountability and transparency while reducing redundant administrative burdens, • Promote cross-sector cooperative efforts including both state agency and grantee input for rulemaking and implementation recommendations, • Strive to maintain a uniform process throughout the entire grant life cycle by leveraging the Uniform Requirements, • Optimize resources including coordination of grant-monitoring activities to promote efficient use of scarce resources, • Focus on program outcomes, • Provide training and technical assistance for state agency staff and grantees, and • Assist grantees in using Uniform Requirements to build capacity and improve Illinois resources. |
| Outcome | Support Basic Functions of Government |

PROGRAM FUNDING

| Appropriations (\$ thousands) | | |
|-------------------------------|--------------|------------------|
| FY18 Actual | FY19 Enacted | FY20 Recommended |
| 4,000 | 4,300 | 4,300 |

MEASURES

Number of persons trained to assist grantees and subrecipients

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : **Source :**

Baseline : **Baseline Date :**

Methodology : Count of the number of individuals who participate in a GATA training during the period.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|---------|---------|--------------|---------------|
| 13,050 | 13,050 | 13,050 | |

Cost savings/avoidance from centralized grantee pre-qualification
(New program-based measure for FY2017.)

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : **Source :**

Baseline : **Baseline Date :**

Methodology : The GATA grant administration system provides efficiencies and cost savings to state agencies and grant recipients by centralizing various parts of the grant-making process. For instance, a grantee that receives grants from several state agencies may fill out certain information once in the centralized system, rather than submitting information separately for each agency. For each centralized function of the GATA system, GOMB estimates the time saved by various agency staff and community providers, and multiplies the time savings by the relevant staff salary to calculate cost savings/avoidance.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|-----------|-----------|--------------|---------------|
| 4,400,000 | 4,400,000 | 4,400,000 | |

Cost savings/avoidance from centralizing fiscal and administrative risk assessments
(New program-based measure for FY2017.)

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : **Source :**

Baseline : **Baseline Date :**

Methodology : The GATA grant administration system provides efficiencies and cost savings to state agencies and grant recipients by centralizing various parts of the grant-making process. For instance, a grantee that receives grants from several state agencies may fill out certain information once in the centralized system, rather than submitting information separately for each agency. For each centralized function of the GATA system, GOMB estimates the time saved by various agency staff and community providers, and multiplies the time savings by the relevant staff salary to calculate cost savings/avoidance.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|-----------|-----------|--------------|---------------|
| 8,560,000 | 8,560,000 | 8,560,000 | |

Cost savings/avoidance from centralizing indirect cost rate negotiations
(New program-based measure for FY2017.)

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : **Source :**

Baseline : **Baseline Date :**

Methodology : The GATA grant administration system provides efficiencies and cost savings to state agencies and grant recipients by centralizing various parts of the grant-making process. For instance, a grantee that receives grants from several state agencies may fill out certain information once in the centralized system, rather than submitting information separately for each agency. For each centralized function of the GATA system, GOMB estimates the time saved by various agency staff and community providers, and multiplies the time savings by the relevant staff salary to calculate cost savings/avoidance.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|------------|------------|--------------|---------------|
| 35,350,000 | 35,350,000 | 35,350,000 | |

Cost savings/avoidance from centralized audit report review
(New program-based measure for FY2019.)

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : **Source :**

Baseline : **Baseline Date :**

Methodology : The GATA grant administration system provides efficiencies and cost savings to state agencies and grant recipients by centralizing various parts of the grant-making process. For instance, a grantee that receives grants from several state agencies may fill out certain information once in the centralized system, rather than submitting information separately for each agency. For each centralized function of the GATA system, GOMB estimates the time saved by various agency staff and community providers, and multiplies the time savings by the relevant staff salary to calculate cost savings/avoidance.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|---------|------------|--------------|---------------|
| N/A | 21,500,000 | 21,500,000 | |

Cost savings/avoidance from automated notice of state award

(New program-based measure for FY2018.)

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Increase**Benchmark :** **Source :****Baseline :** **Baseline Date :**

Methodology : The GATA grant administration system provides efficiencies and cost savings to state agencies and grant recipients by centralizing various parts of the grant-making process. For instance, a grantee that receives grants from several state agencies may fill out certain information once in the centralized system, rather than submitting information separately for each agency. For each centralized function of the GATA system, GOMB estimates the time saved by various agency staff and community providers, and multiplies the time savings by the relevant staff salary to calculate cost savings/avoidance.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|-----------|-----------|--------------|---------------|
| 3,000,000 | 3,000,000 | 3,000,000 | |

Cost savings/avoidance from automated notice of funding opportunity

(New program-based measure for FY2018.)

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Increase**Benchmark :** **Source :****Baseline :** **Baseline Date :**

Methodology : The GATA grant administration system provides efficiencies and cost savings to state agencies and grant recipients by centralizing various parts of the grant-making process. For instance, a grantee that receives grants from several state agencies may fill out certain information once in the centralized system, rather than submitting information separately for each agency. For each centralized function of the GATA system, GOMB estimates the time saved by various agency staff and community providers, and multiplies the time savings by the relevant staff salary to calculate cost savings/avoidance.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|---------|---------|--------------|---------------|
| 215,000 | 215,000 | 215,000 | |

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|----------------------------|--|
| Agency | Governor's Office Of Management And Budget |
| Program Name | Management and Budgeting |
| Program Description | Provide decision makers with timely, accurate, and objective information, with which they will be better able to make decisions which will ultimately result in fiscally stronger and more efficient state government. In addition, actively managing state agency spending and resource allocation decisions, to ensure that they are in line with the Governor's priorities, resulting in a government more responsive to executive authority, and the will of people. |
| Target Population | 110 State Agencies;The Governor's Office; Legislators; Constitutional Officers including the Illinois Comptroller, and the Illinois Treasurer; The Residents of Illinois |
| Activities | <p>Budget Analysis and Management- GOMB analysts gather budget and management information from various sources, including state agencies, the Comptroller, and Treasurer. Data is analyzed to provide information to decision makers. GOMB Provides management guidance regarding spending, headcount, and other issues relevant to state agencies. GOMB produces the State Budget Book and other budget documents for the coming fiscal year.</p> <p>Capital Unit monitors cash flows as well as project prioritization, and publishes the annual Capital Budget Book, outlining the capital plan for the following fiscal year. This ensures proper Bond Fund cash management and stabilizes the fiscal impact of bond sales.</p> <p>Debt Management Unit administers the bonded indebtedness of the state. This administration includes reporting on outstanding debt and issuing new debt. Reporting on outstanding debt updates the market on the current state of the state. The issuance of new debt allows the state to continue to undertake capital projects to improve infrastructure. Debt Management Unit supplies investors with information concerning the state of the Illinois economy and relevant legislation through the public website and meetings or conference calls. Debt management also issues bonds and reports on outstanding bonds.</p> <p>Operations and Support provides administrative and technical support to GOMB and other state agencies through several proprietary IT platforms.</p> |
| Goals | Provide timely, accurate, and objective information to the Governor and decision makers to inform strategic decisions on budget and management issues. Ensure effective and efficient management of state resources. |
| Outcome | Support Basic Functions of Government |

PROGRAM FUNDING

| Appropriations (\$ thousands) | | |
|-------------------------------|--------------|------------------|
| FY18 Actual | FY19 Enacted | FY20 Recommended |
| 498,164.5 | 498,276.1 | 498,758.8 |

MEASURES

Percentage of timely posting of information products and report scores

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Maintain

Benchmark : 100 **Source :** FY 14 Budget Book

Baseline : 100 **Baseline Date :** 9/30/2013

Methodology : composite score for the "timeliness" of the production of vital GOMB deliverables. The composite score is indicated on a range from 0 through 120. A score of "100" indicates that the product was delivered on time. 10 points are deducted from the 100 base score for each ten day period that that the product is late. 10 points are added to the base 100 points for each ten day period the product is delivered before the deadline. An ideal score in this measure would be 100 points or higher. The deliverables that are evaluated in this measure are the annual Budget Book, the annual Economic and Fiscal Forecast "Three-year Projection," Quarterly Financial reports, and Locally Held Funds reports Reports include: Annual Budget Book, Annual Economic and Fiscal Forecast Policy Reports, Quarterly Financial Report and Locally Held Funds Report.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|---------|---------|--------------|---------------|
| 100 | 100 | 100 | |

Percentage of timely disclosures published as required under state law and municipal securities industry standards

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Increase

Benchmark : 100% **Source :** Internal agency established

Baseline : 100% **Baseline Date :** 9/30/2013

Methodology : Number of disclosures posted in timely fashion divided by total number of required disclosures in a given time period.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|---------|---------|--------------|---------------|
| 100 | 100 | 100 | |

Number of people served by GOMB systems per fiscal year

(New program-based measure for FY2018.)

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Maintain**Benchmark :** **Source :****Baseline :** 900 **Baseline Date :****Methodology :** Estimate based on the number of users who have logged in at least once since the start of the relevant fiscal year.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|---------|---------|--------------|---------------|
| 970 | 1200 | 1000 | |

Number of grantees served by GATA grantee portal per fiscal year

(New program-based measure for FY2019.)

Reported : Annually **Key Indicator :** Yes **Desired Direction :** Maintain**Benchmark :** **Source :****Baseline :** 7700 **Baseline Date :****Methodology :** Estimate based on unique users who have accessed the system since the beginning of the relevant fiscal year.

| FY 2018 | FY 2019 | FY 2020 Est. | FY 2021 Proj. |
|---------|---------|--------------|---------------|
| N/A | 7700 | 8000 | |