



**State of Illinois
Department of Innovation & Technology
Protected Health Information (PHI)
Supplemental Policy**



1. OVERVIEW

The State of Illinois has published this Supplemental Policy to ensure the appropriate protection of Protected Health Information (PHI), which requires the establishment of enhanced information security controls due to the sensitivity or criticality of the data. Unless otherwise specified, capitalized terms contained herein shall have the meaning assigned to them in the Terminology Glossary.

2. GOAL

The goal of this Supplemental Policy is to secure and control PHI. This minimum standard of security requirements ensures continuity of information protection.

3. SCOPE

This Policy applies to all entities with access to, or who operate in support of information regulated by the Federal Centers for Medicare and Medicaid Services (CMS). These entities include personnel, contractors, and third-party State of Illinois agencies, boards, and commissions that access, transmit, store, and/or process PHI subject to the Health Insurance Portability and Accountability Act ([HIPAA Security Rule](#)).

4. REQUIREMENTS

The State of Illinois adopts the [HIPAA Security Rule](#) as its minimum-security requirement for those serving as a HIPAA Exchange. All Information Systems developed, acquired, or utilized as a service by DoIT and/or its Client Agencies containing HIPAA regulated information will incorporate this security standard. Entities may develop local security policy; however, the [HIPAA Security Rule](#) shall be the minimum applicable standard, and local policy shall not detract from this baseline.

5. POLICY COMPLIANCE

Compliance with this Policy is accomplished through established procedures and designation of responsibility to specific personnel/job titles. To the extent necessary, each agency, board, and commission shall establish policies, standards, and procedures in accordance with this Policy.

6. APPLICABLE LAWS, GUIDELINES, OR SOURCES

[HIPAA Security Rule](#)

7. REVISION HISTORY

Original Effective Date	11/7/2018
Last Review Date	11/19/2019
Revised Date	



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8. APPROVALS AND MANAGEMENT COMMITMENT

Effective upon latest signature below.

_____ Date: _____
 DoIT Secretary, Ron Guerrier

_____ Date: _____
 Chief Information Security Officer, Adam Ford

_____ Date: _____
 DoIT General Counsel, Margaret L. van Dijk



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8. APPROVALS AND MANAGEMENT COMMITMENT

Effective upon latest signature below.

Ron Guerrier
DoIT Secretary, Ron Guerrier

Date: 11/19/19

Adam Ford
Chief Information Security Officer, Adam Ford

Date: 10/24/19

Margaret L. van Dijk
DoIT General Counsel, Margaret L. van Dijk

Date: 10/25/19



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8. APPROVALS AND MANAGEMENT COMMITMENT

Effective upon latest signature below.



 Acting DoIT Secretary, Kirk Lonbom

Date: 11/11/11



 Chief Information Security Officer, Chris Hill

Date: 11/11/11



 DoIT General Counsel, Michael Delcomyn

Date: 11/11/11